Mitsunobu and Related Reactions: Advances and Applications

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Contents

1. Ger	1. General Introduction				
2. Mec	Mechanism				
3. Carl Nuc Mac	boxylic Acids/Phosphorus-Based Acids as leophiles: Esterification Including prolactonization	2556			
3.1.	Esterification with Inversion	2556			
3.2.	Esterification with Retention (in the Intermolecular Mitsunobu Reaction)	2560			
3.3.	Competitive Esterification	2561			
3.4.	Lactonization/Macrolactonization	2562			
3.5.	Other Esterification Reactions Including Those of Phosphates/Phosphonates	2567			
4. Phe Forr	nols and Alcohols as Nucleophiles: Ether nation	2568			
4.1.	Etherification without Cyclization	2569			
4.2.	Etherification with Cyclization	2574			
4.3.	<i>O</i> -Alkylation (Ether Formation) via an NHC(O) to N=C(OH) Proton Shift	2578			
5. Ami Azio	nes, Amides (Including Nucleobases), or les as Nucleophiles	2581			
5.1.	N-Alkylation Using Phthalimide and Related Imides	2581			
5.2.	N-Alkylation with Sulfonamides and Related Nucleophiles	2584			
5.3.	N-Alkylation of Heterocyclic Compounds (Excluding Nucleobases)	2593			
5.4.	N-Alkylation of Nucleobases Including 6-Chloropurine	2595			
5.5.	Mitsunobu Reaction with Azides	2598			
6. Sulf Rea	ur Nucleophiles: C-S Bond Forming ctions	2601			
7. Carl Rea	bon Nucleophiles: C-C Bond Forming ctions	2604			
8. Mise	cellaneous Reactions	2605			
8.1.	Halogenation	2605			
8.2.	Reaction in the Presence of CO ₂	2605			
8.3.	Use of Oximes as Nucleophiles	2607			
8.4.	Reaction in the Absence of a Nucleophile	2607			
8.5.	Formation of Tetrazoles via Thioamides and Azide	2608			
8.6.	Reactivity toward Carbonyl Compounds	2608			
8.7.	Synthesis of Fluorophosphoranes	2609			
8.8.	Alcohol Dehydration	2609			
8.9.	Some Unusual Reactions	2609			
8.10.	Additional Literature	2610			
9. Moc	lification of Mitsunobu Protocol	2611			

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9.1. Polymer Supports in the Mitsunobu Reaction	2611			
9.2. Other Modified Reagent Systems (Including	2613			
Fluorous Reagents)				
10. Patented Literature				
10.1. Esterification	2616			
10.2. Ether Formation	2619			
10.2.1. Etherification without Cyclization	2619			
10.2.2. Etherification with Cyclization				
10.3. N-Alkylation				
10.4. Other Reactions				
11. Summary and Outlook				
12. Note Added in Proof				
13. Abbreviations Used in This Review				
14. Acknowledgments				
15. Supporting Information Available				
16. References				

1. General Introduction

The substitution of primary or secondary alcohols with nucleophiles mediated by a redox combination of a trialkylor triarylphosphine and a dialkyl azodicarboxylate is popularly known as the Mitsunobu reaction. Since its discovery in 1967 by Professor Oyo Mitsunobu (1934-2003),^{1,2} this reaction has enjoyed a privileged role in organic synthesis and medicinal chemistry because of its scope, stereospecificity, and mild reaction conditions. Several important variations were discovered by Mitsunobu and his co-workers in the early stages of its development as a synthetic tool.^{3–14} This reaction is often used as a key step in natural product syntheses. Its importance and utility can be gauged from the fact that in SciFinder, for explicit use of the phrase "Mitsunobu reaction", there were 1615 citations from 1996 to 2008 including 186 patents. This topic has been reviewed in several places earlier, and during the last several years, the focus has been to cover specific areas.^{15–39} In this review, we have made an attempt to give an overall picture, taking examples mostly from the literature during the last ten years. Apart from esters, a wide range of compounds that include amines, azides, ethers, cyanides, thiocyanides, thioesters, and thioethers can be synthesized using a Mitsunobu protocol (cf. Scheme 1). The azido or phthalimido derivatives so obtained can be readily transformed into amines while thioesters can be converted to thiols in a single step, allowing facile conversion of an alcoholic -OH group to a -NH₂ or -SH group. It is also possible to readily convert primary amines to isocyanates using CO₂ as an additional component. Thus, this reaction permits C–O, C–S, C–N, or C–C bond formation by the condensation of an acidic component with a primary or a secondary alcohol in the presence of triphenylphosphine (or another suitable phosphine) and



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diethyl azodicarboxylate (DEAD) or diisopropyl azodicarboxylate (DIAD).

Given below are some of the salient features of this reaction:

- (i) The substrates are primary or secondary alcohols. Chiral secondary alcohols undergo a complete inversion of configuration unless sterically very congested.⁴⁰⁻⁴² This aspect has been positively exploited in numerous reactions. In general, tertiary alcohols are less reactive, but there is at least one report of the synthesis of alkyl-aryl ethers (with complete inversion of configuration) starting from tertiary alcohols.⁴³
- (ii) The *nucleophile* (or pronucleophile) is normally a relatively acidic compound containing an O–H, S–H, or an N–H group with $pK_a \le 15$, preferably below 11. Some common nucleophiles are carboxylic acids, phenols, imides, purine/pyrimidine bases, and related heterocycles, hydrazoic acid (HN₃), thiocarboxylic acids, thiols, fluorinated alcohols, and



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hydroxamates. Phosphoric/phosphonic acids can also be used. In place of HN₃, it is possible to employ diphenylphosphoryl azide (DPPA), trimethylsilyl azide, or zinc azide, which is far easier to handle. With suitable modification of the phosphine, if necessary, one can use even C–H-based nucleophiles such as malonate esters, β -diketones, β -keto esters, and triethylmethane tricarboxylate [HC(CO₂Et)₃, TEMT].

- (iii) As can be expected, an intramolecular Mitsunobu reaction leading to lactones, lactams, cyclic ethers, and amines is possible. A large excess of Ph_3P -azodicarboxylate is utilized quite often in macro-lactonization to obtain the products in decent yields. In many cases, addition of a base such as triethyl-amine could help in increasing the yield of the product.
- (iv) In the presence of additional components such as acyl/alkyl halides or lithium/zinc halides, alcohols are converted to halides, with inversion of configuration. Use of additional CO₂ leads to carbamates



while a combination of a primary amine along with CO₂ gives isocyanates.

- (v) Common solvents for the reaction are tetrahydrofuran (THF), toluene, benzene (*Caution: Benzene is a carcinogen and may be replaced by toluene wherever possible.*), dimethyl formamide (DMF), diethyl ether, acetonitrile, dichloromethane, and 1,4dioxane. The first two solvents normally give better results. The reaction is generally conducted between 0 °C and 25 °C.
- The preferred P^{III} component is triphenylphosphine (vi) (Ph₃P) or tributylphosphine (*n*-Bu₃P), both of which are quite cheap and commercially available. The triphenylphosphine oxide byproduct as well as unreacted Ph₃P are water-insoluble, and very often chromatography is required for the separation of the products. This is one of the major limitations in Mitsunobu chemistry. The phosphine Me₃P is volatile but a safety hazard. Although n-Bu₃P works well in many cases,⁴⁴ this phosphine is still not as popular as triphenylphosphine. An alternative is to use either diphenyl(2-pyridyl)phosphine (1) or (4-dimethylaminophenyl)diphenylphosphine (2) or tris-(4-dimethylaminophenyl)phosphine (3).^{45–47} In such a case, the corresponding phosphine oxide can be removed by washing the reaction mixture with dilute hydrochloric acid. If the required product is fairly soluble in the chosen organic solvent, such as toluene or THF, a diphosphine such as 1,2-diphenylphosphinoethane (DPPE, 4) may be a better choice, since the byproduct phosphine oxide is insoluble and hence can be removed by filtration.⁴⁸ The use of polymer supports, other phosphines, and fluorous reagents to alleviate some of the problems has been reviewed recently.^{32,33,35} A brief survey of these aspects is also given in later sections.



Another alternative to Ph_3P is the ferrocenylappended phosphine 5.⁴⁹ Use of this compound, together with di-*tert*-butyl azodicarboxylate (DTBAD), could alleviate the problem of column chromatography. The ferrocenyl-appended phos-





Scheme 2



Scheme 3



phine oxide byproduct **6** can be oxidized at the iron center to the cation **7** (anion: chloride). The resulting species is rather insoluble in less polar media and, hence, can be separated from the required product. Di*-tert*-butyl azodicarboxylate (DTBAD) and the corresponding hydrazine byproduct are decomposed by treatment with aqueous hydrochloric acid. The cationic species **7** can be reverted back to **5** by reduction of the iron center (via Na₂S₂O₃), followed by treatment with HSiCl₃ (Scheme 2).

By employing a phosphine or/and an azocarboxylate tagged with a phosphonium (salt) side chain, the reagents as well as the resulting byproducts can be made insoluble in a less polar solvent such as diethyl ether. A simple filtration will then remove the byproducts, alleviating the problem of tedious column chromatography. Thus, in the esterification reactions of 2-octanol and menthol using the redox system 8a-9a (cf. Scheme 3) in ether medium, the phosphine oxide and the hydrazine byproducts are conveniently removed by filtration.^{50–52} The phos-

phine—phosphonium salt **8a** is prepared by starting with diphenyl(3-bromophenyl)phosphine, while the azocarboxylate—phosphonium salt **9a** is prepared by starting with 4-chlorophenylstilbene, 4-Cl-C₆H₄-C(H)=C(H)-C₆H₄-4-Cl. The phosphine oxide **8b** is reduced back to phosphine **8a** by trichlorosilane, while the hydrazine **9b** is oxidized to azocarboxylate **9a** by iodobenzene diacetate. What is important to note is that if the two phosphorus atoms are in the 1,4-position of the aromatic ring instead of 1,3- as in **8a**, reactions do not proceed that well.⁵⁰

(vii) Both diisopropyl azodicarboxylate (DIAD) and diethyl azodicarboxylate (DEAD) can be used interchangeably in most cases [Note: Azodicarboxylate esters are susceptible to explosion upon strong heating or impact. Hence, it is preferred that they are handled in solution. Wherever possible, smaller scale operations are recommended.]. They are commercially available, but the former is cheaper. They can also be prepared by starting with hydrazine hydrate.^{53–55} Only in a very few cases, DIAD is less effective.56 Generally, the Ph₃P-DEAD/DIAD system is useful for acidic nucleophiles with $pK_a < 11$. For those having a $pK_a > 11$, more active coupling reagents such as 1,1'-(azodicarbonyl)dipiperidine $[(cycl-C_5H_{10}N)C(O)-N=NC(O)(cycl-NC_5H_{10}),$ ADDP], 4,7-dimethyl-3,5,7-hexahydro-1,2,4,7-tet- ${[MeNCH_2CH_2NMe][C(O)$ razocin-3,8-dione N=NC(O)], DHTD}, and N,N,N',N'-tetramethyl azodicarboxamide [Me2NC(O)-N=NC(O)NMe2, TMAD] in combination with tributylphosphine (n-Bu₃P, TBP) or trimethylphosphine (Me₃P) have been developed.^{26,34,57-64} The reagent DHTD can be prepared by starting with diphenyl azodicarboxylate and N,N'-dimethylethylene diamine.⁶¹ In the reaction of dimethyl malonate with benzyl alcohol, while the use of Ph₃P-DEAD gave a negligible yield of C-alkylated product, a combination of n-Bu₃P with ADDP, TMAD, or DHTD afforded a much better yield (56%, 66%, or 75%, respectively).60,61 Barring the cost, the combination of diphenyl(2-pyridyl)phosphine (1) and the acid labile DTBAD is very convenient because, upon treatment with aqueous HCl, the former (or its oxide) is removed while the latter is converted to gaseous byproducts.47 The tagged reagents Ph2P(CH2CH2CO2-t-Bu) and t-BuO₂CCH₂NHC(O)N=NC(O)NHCH₂CO₂-t-Bu are other alternatives; the acid tag can be unmasked in subsequent steps.65



Modification of the organic group on the azodicarboxylate reagent for convenient handling is of some value. Thus di-4-chlorobenzyl azodicarboxylate





(DCAD, 11) has been conveniently prepared via hydrazine **10** starting with *p*-chlorobenzyl alcohol as shown in Scheme 4.66 This stable orange crystalline compound can be stored at room temperature and is nearly as efficient as DEAD or DIAD in the reactions studied. Most of the byproduct hydrazine 10 formed in the Mitsunobu reaction can be precipitated from dichloromethane. The polarity of 10 is quite different from those arising from DIAD/ DEAD which facilitates separation of the products more readily during chromatography. Another interesting approach by Curran and co-workers is noteworthy.⁶⁷ The azo compounds 12, 13 and the corresponding hydrazine products have longer retention times in cyclodextrin-bonded silica gel compared to normal Mitsunobu hydrazine byproducts that facilitate ready separation/purification of the expected Mitsunobu products. Sugimura and Hagiya have introduced the new azocarboxylate 14 [di-2-methoxyethyl azodicarboxylate, DMEAD].68 They point out that the highly polar and water soluble nature of 14 is an advantage and also that the preparation of 14 is easier than that of DEAD or DIAD.

(viii) Very often DEAD or DIAD is added (~5 min for the 1 mmol scale) to a solution of Ph₃P, followed

Scheme 5



by an alcohol and an acidic component (the orangered color of DEAD/DIAD disappears immediately). The reverse addition of Ph_3P to the solution of the other three components as well as the use of a premixed solution of $Ph_3P + DEAD/DIAD$ is also possible.

- In lieu of using new types of reagents, we can devise (ix) methodologies to remove the byproducts. By washing the reaction mixture with a solution of 15 wt % hydrogen peroxide, followed by addition of aqueous sodium thiosulfite (to remove peroxide), all Ph₃P will be converted to the highly polar $Ph_3P(O)$ oxide, which can be filtered off through silica gel. In specific cases such as N-alkylation of N-hydroxyphthalimide with prenyl alcohol, this procedure was quite useful and provided the product in nearly 96% yield.⁶⁹ It was found that, during this brief exposure to hydrogen peroxide, the hydrazide from the DIAD did not get oxidized back to the precursor (i.e. DIAD) to any significant extent (¹H NMR). However, the authors have suggested precautions that will be handy, particularly if very large amounts of the reagents are used.
- It may be noted that, in the normal Mitsunobu (x) reaction, the hydrazine byproduct is a waste. Therefore, if it can be reverted back to azodicarboxvlate, a more useful protocol can be developed. This is essentially what Toy and co-workers have achieved recently by introducing an additional component, PhI(OAc)₂, that converted the hydrazine back to the DEAD (Scheme 5).⁷⁰ Only a catalytic amount of DEAD (0.1 mol equiv with respect to the alcohol) was used in these reactions. It may be noted that, in the oxidation with PhI(OAc)₂, acetic acid is a byproduct. As long as it does not interfere in the expected reaction, this methodology could work out to be a very useful one. Although the yields were slightly lower than the uncatalyzed reactions and secondary alcohols afforded poor results under these conditions, this "organocatalytic" idea is quite novel and needs further exploration in view of the fact that it avoids wastage of the expensive DEAD/ DIAD.

2. Mechanism

Despite the fact that the Mitsunobu reaction is widely used in synthetic organic chemistry, the mechanistic details, particularly at the intermediate stages, are still a subject of debate and intensive studies.^{41–43,71–103} A possible pathway Scheme 6. Postulated Mechanism for the Mitsunobu Esterification



in the esterification process is shown in Scheme 6. The first step is the irreversible formation of the Morrison-Brunn-Huisgen (MBH) betaine 15, whose identity has been established by multinuclear NMR [³¹P NMR: δ 44.9 (R = Et), 44.2 (R = *i*-Pr), 42.6 (R = *tert*-Bu)]⁷⁶ and ESI-MS.⁸⁷ In step 2, this betaine 15 deprotonates the carboxylic acid to form the ionic species 16, which upon reacting with the alcohol forms the key alkoxyphosphonium salt 18 and the hydrazine RO₂CNH-NHCO₂R. Alternatively, betaine 15 can react first with the alcohol (depending on the order of addition) to lead to the pentacoordinate phosphorane 17, as shown in step 2a; this phosphorane may also lead to the alkoxyphosphonium salt 18 upon reacting with the acid (step 3a). At this stage, degradation of **16** may take place to lead to **19** as well as the phosphine oxide $Ph_3P(O)$. The inversion product 20, when a secondary alcohol is used, is formed in step 4. In cases where retention product 23 is observed, the intermediacy of the acylphosphonium salt 22, which is in equilibrium with 18 and the phosphorane 21, is invoked. Formation of the anhydride 24 via 22 is a complicating factor in some cases.

EPR spectroscopy shows that the betaine 15 may be formed via, or accompanied by, radical cations of type $(Ph_3P^+)-N(CO_2R)-N^{\bullet}-(CO_2R)^{89}$ or $(Ph_3P^+)-O-C(OR)=N-N^{\bullet}-N$ (CO_2R) .⁹⁴ The intensity of the EPR signal varies as *n*-Bu₃P < Ph₃P< (Me₂N)₃P in reactions with DIAD. This observation suggests that the nature of the intermediate in the Mitsunobu reaction could vary depending upon the P^{III} precursor. Indeed, the use of Ph_3P or *n*-Bu₃P using a Mitsunobu protocol afforded different isomers of 2-oxazolidones from CO2 and ethanolamines (section 8).¹⁰³ It is important to note that, by altering the electronic environment at the P^{III} precursor, intermediates other than 15 have been obtained in the first step of the reaction with DIAD/DEAD. These include the following: (a) pentacoordinate phosphoranes (e.g. 25) formed by the [4+1] cycloaddition (with the nitrogen and a carbonyl oxygen of DIAD/DEAD attached to phosphorus) when the P^{III} precursor contains at least two oxygen atoms connected

Scheme 7



to phosphorus, (b) dipolar cycloaddition when a functional group such as -NCO is present on the phosphorus precursor (e.g. **26**), and (c) phosphinimines with a P(=N-*tert*-Bu)[N(CO₂R)-NH(CO₂R)] moiety (e.g. **27**–**28**) if phosphorus initially had a -NH-*tert*-Bu group.^{100,101,104–107} The products **27**–**28** are the tautomeric forms of the expected betaine and, hence, give credence to the proposed first step in Scheme 6. Many such compounds are now well-characterized.^{101,106} Some of the derivatives as obtained in parts (a)–(c) can take part in the Mitsunobu esterification,^{101,102,108,109} leaving room to explore the viability of other P^{III} compounds for specific transformations.



The order of addition of acid and alcohol to betaine 15 in the Mitsunobu esterification has a profound effect on the reaction pathway, implying potential duality of the mechanism.^{79,83,95} The species {RO₂CN-(P⁺Ph₃)-NH-CO₂R}- $(R''CO_2^{-})$ (16) is formed from the reaction between the acid R''COOH and 15.^{40,79,81,85,89,90} The stability of this species may be enhanced by hydrogen bonding.81 Crystallographic evidence for a protonated compound of type 16 has been recently provided, and many compounds of this type can be readily synthesized (e.g. 29).^{101,102} Partial degradation of 16to **19** may also occur when a very weak acid $(pK_a > 15)$ is used. Oxyphosphorane intermediates $Ph_3P(OR')_2$ (17) are formed by the reaction of 15 with alcohols.^{76,78,80,110,111} Formation of a racemic phosphine oxide, when a chiral phosphine [e.g. (cyc-C₆H₁₁)(1-naphthyl)(Me)P in place of Ph₃P] and excess alcohol are used, indicates the involvement of analogous pentacoordinate dialkoxyphosphorane.95 Reaction of 16 with R'OH or 17 with R"CO₂H leads to the phosphonium-carboxylate salt $[Ph_3P^+(OR')](R''CO_2^-)$ (18).^{83,97} In most esterifications, attack of the carboxylate anion $R''CO_2^-$ at the alkoxy carbon in **18** is assumed for the formation of the configurationally inverted ester 20. For the more general case wherein the nucleophile is Nu-H and alcohol is chiral (R^aR^bCHOH), the inversion process is shown in Scheme 7.

For a few examples in which retention of configuration (species 23) is observed or when the acid anhydride (24) is the major product, intermediacy of the acyloxy phosphonium salt 22 is invoked.^{41,77,84,90,92} In a recent study on the coupling



of substituted benzoic acids with various phenols that afforded aryl benzoates (e.g. **30**), Fitzjarrald and Pongdee have proposed the involvement of an acyloxy phosphonium intermediate (cf. **31**).⁹³ It may be noted that while in etherification reactions phenol is used as the nucleophile (see section 4), here it has taken the role of the alcohol (substrate).



Recent theoretical calculations by Anders and co-workers show that the hypersurface of the Mitsunobu reaction is far more complex than is generally assumed, even for the simplest possible system (PH₃, MeO₂CN=NCO₂Me, MeOH, CH₃CO₂H).⁹⁷ Calculations also reveal that it is possible to divert the Mitsunobu procedure to a retention channel with judicious selection of experimental conditions, especially with regard to substituents at phosphorus.

3. Carboxylic Acids/Phosphorus-Based Acids as Nucleophiles: Esterification Including Macrolactonization

3.1. Esterification with Inversion

Alcohols react with carboxylic acids smoothly at room temperature (or lower) to afford the esters in good yields. When a chiral secondary alcohol is used, configurational inversion of alcohol occurs under mild and essentially neutral conditions. This is one of the "trump cards" of the Mitsunobu esterification route over many other methods. Hydrolysis of the product subsequent to esterification affords the inverted alcohol, generally in high enantiomeric purity. The pK_a of the usable acid must be below 13, preferably <11. The reaction is inherently sensitive to the steric environment of the alcohol. Primary alcohols, in general, react in preference to more sterically encumbered secondary alcohols. For successful esterification, a delicate balance is required such that the carboxylate anion is a strong enough base to initiate alcohol activation, but not such a strong nucleophile that it reacts with the cation in 16 (cf. Scheme 6) faster than the alcohol. It is likely that the acids of lower pK_a prefer the oxyphosphonium intermediate structure 18 over a phosphorane of type 17.84,85 Thus, to effect the inversion of configuration of chiral secondary alcohols, 4-nitrobenzoic acid (p K_a 3.41) or chloroacetic acid (p K_a 2.86) in a solvent such as THF or benzene (Cautionary note: It is advisable to *use toluene in place of benzene in view of the carcinogenic nature of the latter.*) is preferred.^{40,90,112–114} This aspect was studied in detail for the esterification of (-)-menthol (32; Scheme 8). In case a crystalline product with inversion is



Scheme 9



required, 3,5-dinitrobenzoic acid could be a good choice as the acidic partner. In place of substituted nitrobenzoic acids, picolinic acid is another option that can be considered. The advantage of using this acid is that the resulting esters can be cleaved under essentially neutral conditions using Cu $(OAc)_2$ /methanol.¹¹⁵ The (prenyloxymethyl)benzoic acid gives good yields of the inverted esters with secondary alcohols; the cleavage of this group later would require catalytic Yb(OTf)₃.¹¹⁶ Use of 4-benzyloxybutyryl esters for which deprotection may be effected by Pd–C/H₂ may be yet another choice, although the yields in the Mitsunobu

Scheme 10

Scheme 11

esterification were only moderate in the cases studied.¹¹⁷ There is an example in which $Zn(O-p-Ts)_2$ is used instead of the pure acid for Mitsunobu inversion.¹¹⁸

The utility of an inversion process is nicely illustrated in the total synthesis of (\pm) -ginkgolide B, where the undesired anti acetylenic alcohol 34 was efficiently converted to syn-35 by the Mitsunobu protocol (Scheme 9).¹¹⁹ In the esterification of sterically hindered 17-hydroxy steroids, it was found that a more acidic nucleophile provided a better yield of the inverted product.¹²⁰ 4-Nitrobenzoic acid was used as the preferred nucleophile to prepare the stereodefined precursor 37 in the synthesis of octalactins A and B (Scheme 10a).¹²¹ The Mitsunobu reaction was one of the useful steps in the total synthesis of the marine alkaloid (\pm) -fasicularin (40), wherein the configuration at the secondary alcohol 38 was inverted to lead to the formation of **39** (Scheme 10b).¹²² In the final step, an -OH group was converted to the required inverted thiocyanate compound 40 using the combination Ph₃P-DEAD/HSCN.

Compounds such as 42, but with a bulkier protecting group [such as $(i-Pr)_3$ Si in place of Me₃Si], are useful in ring closure metathesis leading to salicylihalamide.¹²³ Using the acetylenic alcohol 41 and a normal Mitsunobu protocol, compound 42 could be readily synthesized (Scheme 11a). A toluene solution of acid and DIAD was added to the solution of phosphine and alcohol using the stoichiometry 1:5:2.5:2.5 [alcohol/acid/phosphine/DIAD]. The product 42, after con-



(ii) K₂CO₃, MeOH, rt, 16 h, 82%

(iii) Ph₃P, DEAD, 6-chloropurine, THF, rt, 16 h, 62%





67

68

verting the $-SiMe_3$ group to $-Si(i-Pr)_3$, followed by ring closure via Grubbs' catalyst, led to **43**, which contained the required basic rings present in salicylihalamide. In the synthesis of carbocyclic nucleoside analogues, a Mitsunobu protocol can be effectively utilized for stereochemical inversion. The example given in Scheme 11b leading to the purine derivative **46** illustrates the manipulation of the configuration at the alcohol center by judicious choice of the nucleophile in different steps.¹²⁴

The Mitsunobu protocol has been successfully employed for esterification with inversion of methyl β -D-glucopyranoside **47**, which contains three secondary alcohol residues.¹²⁵ A 4.8-molar excess of Ph₃P–DEAD–benzoic acid was used to furnish four differently benzoylated methyl β -D-allopyranosides in a very good overall yield, with that of compound **48** being ~50% (Scheme 12). The results are significant in the sense that they give a better understanding of the reactivity of different –OH groups toward esterification in a polyol system.

A novel domino Mitsunobu–intramolecular nitrone cycloaddition process has been reported by Goti et al. (Scheme 13).¹²⁶ Here, the reaction of maleic acid monoester with the





69

70

Swamy et al.



hydroxy-substituted nitrone afforded an interesting cycloadduct **49** that must have come from the initially formed ester with inversion at the chiral center. The product **49** was later utilized for the synthesis of (-)-rosmarinecine, the necine base portion of many pyrrolizidine alkaloids.

In addition to the above, Mitsunobu inversion/epimerization is utilized in numerous diverse syntheses that include bicyclic lactams (e.g. 50),¹²⁷ dictyoprolene (51),¹²⁸ D-fructose-Lsorbose interconversion (e.g. 52),¹²⁹ intermediates for dihydroxyvitamin D₃ [e.g. 53 (from ref 130)],^{130,131} (S)-epimeric carbaspironucleosides (e.g. 54),¹³² fluoroproline (e.g. 55),¹³³ (*R*)-cytoxazone (e.g. 56 and 57),^{134,135} dihydroaquilegiolide (e.g. 58),¹³⁶ khafrefungin 59,¹³⁷ benzo-fused macrolactones (precursor to **60**),¹³⁸ salicylihalamide (e.g. **61** from ref 142),^{113,139–143} azidomethyl-substituted pyrrolidine esters (e.g. **62**),¹⁴⁴ (–)-clavosolide B intermediate **63**,¹⁴⁵ phomactin B2 (64),¹⁴⁶6-benzyl-2-methoxy-8-(4-methoxybenzyl)-6,8-diazabicyclo[3.2.2]nonane (65, this exhibited antitumor activity against lung cancer similar to cisplatin),¹⁴⁷ azafulvenium precursor (pyrroloindolizine) 66,¹⁴⁸ magellanine 67,¹⁴⁹ cy-cloheptenol 68,¹⁵⁰ diospongin A (69),¹⁵¹ and 7-ethyl-8indolizidinol (70).¹⁵² The structures of 50–70, with the arrows indicating the position of inversion, are shown in Chart 1. It may be noted that, in place of the more common nucleophile 4-nitrobenzoic acid, picolinic acid or formic acid was employed for the synthesis of **53** and **55**, respectively.^{130,133} For the conversion of 4-nitrobenzoate ester precursor to 57, Et₃N/THF was used for hydrolysis during the workup.¹³⁵ Due to the large number of other applications involving similar inversion/epimerization, we only list them here; the structural drawings pertaining to these references are given in the Supporting Information (Table S1). These pertain to the synthesis of (i) pregnanone derivatives,¹⁵³ (ii) glucosphingolipids,154 (iii) pyrrolidine-amide oligonucleotide mimics,155 (iv) tubronic acid,¹⁵⁶ (v) enantiopure butanoates,¹⁵⁷ (vi)

Scheme 15

macroviracins,¹⁵⁸ (vii) reduced products of *cis*- and *trans*hexahydronaphthalenones,159 (viii) stereoinversion of myoinositol into scyllo-inositol,160 (ix) orthogonally-protected and unprotected depsipeptides such as L-Lys-D-Ala-D-Lac¹⁶¹ and Boc-(S)-HOMeVal-(R)-Hmb, 162 (x) (2S,3S,4R)-4-(*tert*-butyldimethylsilyloxy)-2,3-isopropylidenedioxy-4-phenylbutanoate,¹⁶³ (xi) dihydroxycholesterol,¹⁶⁴ (xii) the acetate of the triol derived from jasminine,¹⁶⁵ (xiii) stereochemically inverted products of xylofuranosyl derivatives,166 (xiv) 6-epiaucubin,¹⁶⁷ (xv) hydroxyethylene dipeptide isosteres (e.g. L-682,679),¹⁶⁸ (xvi) orobanchol (a germination stimulant),¹⁶⁹ (xvii) long chain pentadeca-1,3,5,7,9,11,13,15-octols (16 diastereomers),¹⁷⁰ (xviii) mycalamide A (natural product),¹⁷¹ (xix) murisolin (natural product),¹⁷² (xx) leucascandrolide A (natural product with a sterically congested carbon),^{173,174} (xxi) L-lyxose esters,¹⁷⁵ (xxii) cryptophycin (5-hydroxy acid subunit),¹⁷⁶ (xxiii) polycyclic carbohydrates,¹⁷⁷ (xxiv) protected aminooxyprolines,¹⁷⁸ (xxv) carbahexopyranose stereoisomers,179 (xxvi) deoxynucleic guanidine (DNG) oligonucleotide,¹⁸⁰ (xxvii) D-hica, a component of kulokekahilide-2,¹⁸¹ (xxviii) methylsulfonate esters,¹⁸² (xxix) (4*R*,5*S*)- and (4S,5R)-muricatacins,¹⁸³ (xxx) trioxilins,¹⁸⁴ (xxxi) functionalized β -C-glycosyl aldehydes (a part of ambruticin),¹⁸⁵ (xxxii) pyrrolidinols,¹⁸⁶ (xxxiii) carbocyclic nucleosides,¹⁸⁷ (xxxiv) Δ^2 -OPC-8:0 (a substituted cyclopentanone derivative),¹⁸⁸ (xxxv) fluorescence-labeled probes based on phyllanthurinolactone [in these cases, 4-dimethylaminophenyldiphenylphosphine (2) instead of Ph₃P worked better],¹⁸⁹ (xxxvi) (+)-cardiobutanolide,¹⁹⁰ (xxxvii) 3-amino-2,3,6trideoxysugars,¹⁹¹ (xxxviii) sesquiterpene lactones,¹⁹² (xxxix) 3-methylcyclopentadecanol,¹⁹³ (xl) optically active β -methyl- γ -alkyl- γ -butyrolactone,¹⁹⁴ (xli) chiral P,N-ligands with a cyclohexane backbone,195 (xlii) optically active aminobenzindanol,¹⁹⁶ (xliii) cyclopenta[d]pyridazinediol,¹⁹⁷ (xliv) 4-hydroxytetrahydropyranone,¹⁹⁸ (xlv) alkynic esters as precursors to chiral substituted phthalides,¹⁹⁹ (xlvi) tetracyclic lactones as structural analogues of kaurane diterpenoids,²⁰⁰ (xlvii) pyrrolidine-trans-lactones,²⁰¹ (xlviii) sporiolide B,²⁰² (xlix) 1- β -O-glucoronide esters,²⁰³ (l) *trans*-dihydrodiols,²⁰⁴ (li) aigialomycin D,²⁰⁵ (lii) butenolides (α , β -unsaturated lactones),²⁰⁶ (liii) phospholipid diastereomers,²⁰⁷ (liv) enantiomerically enriched aryl-alkyl carbinols [e.g. 1-indanol, 1-tetralol, 1-phenylethanol, 1-(1-naphthyl)ethanol],²⁰⁸ (lv) the chlorohydrin precursor for NPS-2143 (calcilytic agent),²⁰⁹ (lvi) (S)-4-benzyloxy-5,5-dimethoxypentanoic acid,²¹⁰ (lvii) (3S,4R)-1,1-difluoro-4-hydoxy-3-(palmitoylamino)-4-phenylbutylphosphonic acid (sphingomyelinase inhibitor),²¹¹ (lviii) a tetracyclic diol precursor in the synthesis of cylindrospermopsin,²¹² (lix) azidosphingosine,²¹³ (lx) a pseudoenantiomeric bisoxane fragment of phorboxazole A,²¹⁴ (lxi) 1-aminoalkyl- γ -lactones,²¹⁵ (lxii) C(1)-C(9) and C(12)-C(26) subunits of macrolide rhizoxin,²¹⁶ (lxiii) taxol precursors (a



PMB = p-methoxybenzyl; DMB = dimethoxybenzyl; TBS = tert-butyldimethylsilyl

bicyclo[9.3.1]pentadecatriene derivative),²¹⁷ (lxiv) 2,3,5,6-tetrasubstituted tetrahydropyrans,²¹⁸ (lxv) N-Boc- β -methylphenylalanines,²¹⁹ (lxvi) *cis*-2-amino-1-indanol,²²⁰ (lxvii) 4-amino-1,2,3-cyclopentanetriols,²²¹ (lxviii) segment C of tautomycin,²²² (lxix) the C(3)–C(13) segment of the macrolide rhizoxin,²²³ (lxx) macrosphelide A/B,^{224,225} (lxxi) bengamide B,226 (lxxii) chiral substituted cyclopentenols,227 (lxxiii) N-Cbz-protected 6-aminotalose and 6-aminogulose,²²⁸ (lxxiv) (-)-lasubine II,²²⁹ (lxxv) L-lyxose dibenzyl dithioacetal from D-ribose dibenzyl dithioacetal,230 (lxxvi) enantiomers of 1-phenylethan-1,2-diol,²³¹ (lxxvii) 3-O-dimethoxytrityl-2(S)-(N-thymin-1-ylacetyl)-amino-1(R)-phenyl-1,3-propanediol,²³² (lxxviii) tropane alkaloids,²³³ (lxxix) macrosphelides C and F,²³⁴ (lxxx) the hydroxyl- and aldehydesubstituted cyclohexene part of the guanacastepene skeleton,²³⁵ (lxxxi) 4-deacetoxyagosterol A,²³⁶ (lxxxii) configuration confirmation (not total synthesis) of 6-epikericembrenolide A (1S,2S,6R),²³⁷ (lxxxiii) modified 1 β -methyl carbapenem antibiotic S-4661,²³⁸ (lxxxiv) vitamin D₃ A ring precursor diols²³⁹ and chiral cyclohexenols (analogues of dihydroxyvitamin-D₃ A ring synthons),^{240,241} (lxxxv) 8-(phenylsulfonyl)de-A,B-cholestane precursors to hydroxyvitamin D₃,²⁴² (lxxxvi) bullatacin,²⁴³ (lxxxvii) 2'-deoxy-4'-thio-1'purine nucleosides,²⁴⁴ (lxxxviii) the aplasmomycin tetrahy-drofuran ring,²⁴⁵ (lxxxix) D-isomanide,²⁴⁶ (xc) *trans*-2,3dihydroxy-1,2-dihydrobenzenes,²⁴⁷ (xci) 7-hydroxy-5dodecanolides,²⁴⁸ (xcii) C¹⁰ esters of dihydroartemisinin,²⁴⁹ (xciii) amphidinolide A (presumed structure),²⁵⁰ (xciv) 17α dihydroequilenin (a steroid),²⁵¹ (xcv) *cis*-methyl-3-hydroxy-2-pyrrolidone-5-carboxylates,²⁵² (xcvi) tetrahydrolipostatin,²⁵³ (xcvii) cyclohexylnorstatine,²⁵⁴ (xcviii) trilobacin,²⁵⁵ (xcix) *trans*-(2*R*,3*S*)-2-hydroxymethyl-3-hydroxypyrrolidine,²⁵⁶ (c) syn- α -hydroxy- β -amino acids,²⁵⁷ (ci) optically active 1,2diols,²⁵⁸ (cii) protected 3-hydroxy-4-cyclohexenylcarbinol,²⁵⁹ (ciii) an intermediate for the β -adrenergic receptor antagonist MY336 (results obtained in the esterification were utilized),²⁶⁰ (civ) 3,4-epoxybutane-1,2-dio1,²⁶¹ (cv) 7-deoxyxestobergsterol A (a pentacyclic steroid),²⁶² (cvi) (-)-hastanecine,^{263,264} (cvii) (+)-asperlin,²⁶⁵ (cviii) an enantiomer of lubeluzole,²⁶⁶ (cix) 8-O-4'-neolignans,²⁶⁷ (cx) (–)-andrachcinidine,²⁶⁸ (cxi) (S)-timolol,²⁶⁹ (cxii) the cytotoxic agent (–)-macrolactin A,²⁷⁰ (cxiii) the C^4 epimer of 7-oxa-phomopsolide E,²⁷¹ (cxiv) a precursor to amphidinolide H1,²⁷² (cxv) panclicins A-E (pancreatic lipase inhibitors),²⁷³ (cxvi) 17α -estradiol derivatives,²⁷⁴ (cxvii) (+)-muscarine iodide,²⁷⁵ (cxviii) 3,5-dideoxy-5-C-branched-chain hexulopyranose derivatives,²⁷⁶ (cxix) the L-enantiomer of trifluridine, an antiherpetic drug approved by the FDA for topical applications,²⁷⁷ (cxx) unsaturated aminopyranosides,²⁷⁸ (cxxi) (+)-broussonetine C,²⁷⁹ (cxxii) trans-4-N³-benzoylthymin-1-yl-2-(Boc)aminomethyl pyrrolidine,²⁸⁰ (cxxiii) (*R*)-3-iodocyclohexenyl acetate,²⁸¹ (cxxiv) the acetate of chiral dihydropyranol,²⁸² (cxxv) cyclic ADPcarbocyclic xylose,²⁸³ (cxxvi) (-)-3-hydroxybaikiain,²⁸⁴ and (cxxvii) an epimer of 5α -cholestan- 3α -ol.²⁸⁵

It is of some interest to see whether kinetic resolution of secondary alcohols can be effected or not by a Mitsunobu protocol. In the reaction using 0.5 mol equiv each of Ph₃P, DEAD, and (1*S*)-(+)-ketopinic acid with 1 mol of racemic (±)-PhMeCHOH, the unreacted alcohol could be obtained in yields of 44% with an *ee* of ~90%.²⁸⁶ It was also possible to use the pentacoordinate derivative **72** obtained by reacting 1,1'-bi-2-naphthoxy-based P^{III} compound (+)-(1,1'-C₂₀H₁₂O₂)-P(NMe₂) (**71**) with DIAD to effect kinetic resolution as shown by Kellogg and co-workers (Scheme 14).¹⁰⁸ However,





the enantiomeric excess (*ee*) was only moderate (up to 39%) and the yields were not very high. It may be noted that this reaction took place via a pentacoordinate phosphorus intermediate rather than a betaine analogous to **15**. Use of the phthalimide route [Ph₃P–DEAD, phthalimide, and racemic alcohol] also gave moderate *ee* of the resolved alcohols.¹⁰⁹

Although the Mitsunobu reaction is conducted typically at low temperatures, it has now been established that the controlled microwave heating minimized the time required. It has been noted that while the conventional protocol at room temperature was sluggish, essentially complete esterification of (*S*)-sulcatol to the (*R*)-acetate using ~ 2 equiv of the reagents could be accomplished within 5 min at 180 °C under sealed-vessel microwave conditions.²⁸⁷ An excellent review on this as well as *N*-alkylation (in general, on microwaveassisted synthesis) is available.²⁸⁸

3.2. Esterification with Retention (in the Intermolecular Mitsunobu Reaction)

In intermolecular Mitsunobu esterification, if the alcohol is sterically hindered, retention of configuration may be favored. In the total synthesis of (+)-zampanolide reported by Smith and co-workers, retention of configuration leading to product 74 was encountered in an intermediate step (Scheme 15).²⁸⁹ Two possible reasons were considered. First was the failure of the Morrisson-Brunn-Huisgen intermediate to activate the alcohol because of steric congestion. The reaction would then proceed with the acyloxyphosphonium intermediate. The second possibility was the formation of an oxonium intermediate followed by ring opening by the carboxylate. This was not favored in view of the regioselectivity observed in the reaction. It is important to note that the investigators had to saturate the reaction medium with a large excess of the carboxylic acid as well as the reagents to effect the reaction. When they used the normal procedure of premixing acid and alcohol followed by addition of Ph₃P-DEAD, incomplete consumption of the starting material 73 was noticed even after prolonged stirring at room temperature. When they tried to perform the reaction at 40-50 °C, only an unexpected dehydration side product with an internal double bond was obtained almost exclusively. In reactions using allyl alcohols also, a fairly high percentage

OBz Ph₃P+DIAD (a) н PhC(O)OH B₇C Intermediate for Pavoninin- 4 Ĥ 85% HC 78 PhCOOH +DEAD R -Ph_PO Majo PhCOO 81 79 80 PhCOOH PhC(O)C -Ph₃PC ΩН PhCOO Minor 82 Ph₃P+DEAD (1 equiv each) (c) OC(O)Ph PhCOOH OC(O)Ph OC(O)Ph ÔН THF 83 84 <5% >80%

of retention product is usually obtained;²⁹⁰ several earlier reports also have revealed such a feature.^{291–293} The observation of retention is attributed to deviations from the normal mechanistic pathways involving S_N2' or S_N1 processes or to the participation of neighboring groups. While preparing isodideoxythionucleosides, Jeong et al. observed minor retention products in esterification (as well as azidation) and ascribed it to the participation of sulfur of the nucleoside skeleton.^{294,295} Retention of configuration was also reported recently by Qing and co-workers in the synthesis of fluorinated nucleosides.²⁹⁶

3.3. Competitive Esterification

Since the Mitsunobu reaction effectively differentiates between alcoholic and phenolic hydroxyls in esterification reactions, it provides a broadly applicable entry into various phenolics and polyphenolics (e.g. **75**) of biomedical and nutritional relevance (Scheme 16a).²⁹⁷ In the synthesis of vanillin nonanoate **76** (Scheme 16b), other routes [X = Cl using pyridine and X = OH using DCC/DMAP (cat.), DEPC/ TEA, or Yb(OTf)₃ (cat.), THF] gave poor yields or a mixture of products. Discrimination between alcoholic and phenolic hydroxyls has also been recently utilized in the total synthesis of (–)-oleocanthal (**77**), a naturally occurring nonsteroidal, anti-inflammatory and antioxidant agent (Scheme 16c).²⁹⁸

In cases where two secondary alcoholic groups are available, it can be expected that the sterically less hindered site is the one that will preferentially take part in esterification with inversion. Such a distinction has been utilized in the synthesis of the shark repellent pavoninin-4 recently (Scheme 17a).²⁹⁹ However, this need not be the case with 1,2-diols. Unsymmetrical 1,2-diols (e.g. 1,2-propanediol and 1-phenyl-1,2-ethanediol) underwent a highly chemoselective monoben-zoylation with Ph₃P–DEAD/benzoic acid, affording both *kinetically and thermodynamically* least stable secondary benzoate (Scheme 17b).^{83,300} The 1,3,2- λ^5 -dioxaphospholane species **80** was the key intermediate; in its conversion to the oxyphosphonium salt, the proton transfer from the acid occurred predominantly at the least hindered site, leading to

Scheme 18



secondary benzoate **81** as the major product. This result is important to be noted whenever one is using a 1,2-diol in the Mitsunobu reaction. Similar selectivity has also been observed by Voelter and co-workers.³⁰¹ In an intermediate step in the total synthesis of *R*-(–)-argentilactone, they obtained 80% of the *secondary* monobenzoate **83** with <5% of the dibenzoate **84** when 1 mol equiv of Ph₃P–DEAD was used (Scheme 17c). One more example of this type has been reported recently by Wang and Yue in connection with the synthesis of (*R*)-(–)-dihydrokavain by starting with (*S*)-4phenyl-1,2-butanediol (*S*)-PhCH₂CH(OH)CH₂OH.³⁰² In this case, the authors found it more convenient to prepare the di-4-nitrobenzoate ester and then hydrolyze it to get the inverted diol (*R*)-PhCH₂CH(OH)CH₂OH in high yield and excellent *ee* (98%).

The ozonide-acid **85** reacts with 1-(2-hydroxyethyl)imidazole to form the ozonide imidazole ester **86** (Scheme 18) in decent yield; similarly phenyl ethers also are synthesized.³⁰³ This reaction illustrates that the ozonide functionality does not interfere with triphenylphosphine.

In contrast to the normal alcohols, Baylis–Hillman adducts (allylic alcohols)³⁰⁴ behave differently. Both the α and γ adducts are formed, with the latter predominating (Scheme 19a).^{305–307} The yields of the γ adducts can be maximized by using non-cross-linked polystyrene-based triphenylphosphine **87**.³⁰⁶ After forming the oxyphosphonium salt (**88**), the carboxylate anion attacks the olefinic γ -carbon rather than the normally expected α -carbon leading to the *E*-isomer of

Me

Ŵе

PhC(O)O

Scheme 19

Scheme 20





Table 1. Product Distribution in the Mitsunobu Esterification of 93 (cf. Scheme $20)^a$

					product ratio	
		94a	94b			
Ph ₃ P 4 2 2 2 2 2	acid 4 2 10 2 10	DEAD 4 2 2 2 2 2	Et ₃ N 5 25 5 25	solvent THF THF THF toluene toluene	1 2 1 4 1	5^{b} 1 2 1 1

^{*a*} Data taken from ref 310. ^{*b*} The authors observed minor cyclization product **94c** also.

89. A stereo- and regioselective rearrangement was also observed by Chung and co-workers while attempting to esterify a conduritol (an allylic alcohol) derivative (Scheme 19b).^{308,309} The reaction proceeded predominantly with both inversion and allylic rearrangement; a likely pathway for the formation of **91** has also been suggested by the authors.

The Mitsunobu reaction on the glucose derivative (3S,4R,5R,6R)-3,4,5,7-tetrabenzyloxy-6-hydroxy-1-heptene (**93**) yielded an unexpected major rearranged product (Scheme 20), as reported by Persky and Albeck.³¹⁰ The product distribution varied with the stoichiometry of the reagents as well as the solvent used. Thus, by suitably modifying the ratios of the reactants and solvents, the authors could obtain a 4:1 ratio of the products **94a/94b**. Possible mechanistic pathways are also discussed in the paper. Because these results are instructive for any future work, the data are shown in Table 1. Similar epimerization in other reactions leading to selectively labeled D-fructose and D-fructose phosphate analogues is also reported by the same authors.³¹¹

(7-membered ring) 95 96 In an attempted epimerization of 6-hydroxy-1,4-diazepan-2-one (95) by a normal Mitsunobu protocol, Knapp et al. found ring contraction to 1,4-piperazine-2-one (96), although esterification did occur (Scheme 21).³¹² A possible pathway involving one of the nitrogen lone pairs of electrons [N⁴ in the scheme] was put forth by the authors to rationalize this result. Stereoselective transformations (along with esterification) of polyhydroxyazepanes to piperidine or pyrrolidine

derivatives were also reported by Lohray et al. earlier.³¹³

PhCO₂H, benzene

80% yield

3.4. Lactonization/Macrolactonization

ÓН

Me

An intramolecular esterification will lead to lactonization, and the Mitsunobu protocol has long been known to be one of the viable methods to effect this.^{12,314–320} Although inversion of configuration takes place during normal Mitsunobu esterification most often, retention is observed during lactonization of the hindered alcohol **99** (Scheme 22), very likely through the intermediacy of an acyloxyphosphonium salt followed by acyl transfer to the alcohol.^{41,321} These results reported by DeShong and co-workers are of paramount importance in assigning the stereochemistry during macrolactonization involving natural product syntheses. Fleming and Ghosh had also observed retention of configuration during lactonization leading to a five-membered ring.³²² Involvement of a carbocation intermediate was invoked to explain this observation.

For the preparation of *S*,*S*- and *R*,*R*-configured morpholine-2,5-diones **102a**, when the hydroxy acid **101a** was treated with $Ph_3P-DEAD$ in THF, it was difficult to purify the products due to persistence of Ph_3PO and the hydrazine. This problem was overcome by using diphenyl(2-pyridyl)phosphine (**1**), which could be removed in the workup by an aqueous acid wash (*cf.* Scheme 23a).³²³ The isolated compounds were the products of Mitsunobu cyclization with *retention* of configuration. However, in the case of **101b**,



Scheme 23



the configuration of the product was dependent on the addition time. Slow addition (1-2 h) of the hydroxy acid to the premixed solution of $Ph_3P + DEAD$ resulted in **102b**, while fast addition (10 min) afforded **102b'** (Scheme 23b). These studies were done in connection with the total synthesis of bassiatin and its stereoisomers. The authors have pointed out that this was the first example of Mitsunobu cyclization with a different stereochemical outcome depending on the rate of addition. This paper also summarizes some of the earlier results with regard to retention/inversion in the lactonization process.

In the synthesis of spiroketals **104–106** from **103**, Lopez et al. have found that a less polar solvent mixture can avoid the interference due to retention as well as other byproducts by using benzene–hexane (2:1) medium in place of THF (Scheme 24).³²⁴ Cyclization of *N*-Boc- α -alkylserines leading to 2-oxetanones **108** was efficiently carried out by cyclization of *N*-Boc- α -alkylserines **107** using a Mitsunobu protocol (Scheme 25a).⁵⁶ Here, deprotection of the amine by CF₃COOH in the presence of *p*-toluenesulfonic acid afforded



(a) Fig. (b) Ph₃P, DEAD, 4-O₂N-C₆H₄CO₂H, C₆H₆-hexane (better yield of inverted product)

Scheme 25



the *p*-toluenesulfonate salts of optically active 3-amino-3alkyl-2-oxetanes. These were then coupled with amino acids followed by oxetane ring opening by appropriate thiols in the presence of Cs_2CO_3 to lead to peptidomimics. An analogous lactonization has been used in the synthesis of enantioenterobactin.³²⁵ Another useful alternative is to open the four-membered lactone ring (obtained via the Mitsunobu protocol) with an amine to give various modified amino acids



TES = triethylsilyl; MOM = methoxymethyl; TBS = *tert*-butyldimethylsilyl

as shown by Moura and Pinto (Scheme 25b).³²⁶ Mohapatra et al. have used Mitsunobu lactonization to generate a spirobicyclic β -lactone- γ -lactam that is present in oxazolomycin.³²⁷ A γ -lactone intermediate with a five-membered ring required in the total synthesis of pyranicin, a cytotoxic acetogenin, was prepared in excellent yield via Mitsunobu lactonization by Takahashi et al.³²⁸ In the preparation of 1-deoxy-D-galactohomonojirimycin reported by Achmatowicz and Hegedus also, a five-membered lactone was involved in an intermediate step. Both Mitsunobu and Mukaiyama procedures worked well in this case.³²⁹ A bicyclic tetronate that is a part of the core structure in the antibiotic abyssomicin-C was prepared by Maier and co-workers via Mitsunobu transannular lactonization.330 Polymer-supported triphenyl phosphine along with DIAD was utilized recently in a lactonization step by Burke and co-workers in the synthesis of thrombaxane B2, since use of Ph3P itself posed separation problems.³³¹

Macrolactonization

Creation of large lactone rings (macrolactonization) is a synthetic challenge. Perhaps because of the mild reaction conditions, the Mitsunobu protocol is quite popular in this area and is utilized very frequently in natural product syntheses. Earlier reports on the macrolactonization route relate to (i) vertucarin A, 332 (ii) the griseoviridin core (9membered macrocycle),^{333–335} (iii) (+)-milbemycin β_3 ,^{336,337} (iv) suspensolide,^{338,339} (v) latrunculins A and B,^{340–344} (vi) (+)-gloeosporone,^{345–347} (vii) (+)-brefeldin C,³⁴⁸ (viii) 19epi-avermectin B_1 ,³⁴⁹ (ix) cyclodepsipeptides,^{350–353} (x) erythromycin derivatives,^{354,355} (xi) cyclothialinide,³⁵⁶ (xii) lasiodiplodin,³⁵⁷ (xiii) antifungal dilactones UK-2A and UK-3A,^{358,359} and (xiv) (\pm)-patulolide C.³⁶⁰ In the synthesis of zearalenone dimethyl diether, polymer-supported alkyl diazocarboxylate afforded a better yield (42%) compared to the classical route (8%).³⁶¹ Bracher and Krauss have reported a simple Mitsunobu route to the saturated analogue, (\pm) Zearalanone, which contains two intact phenolic -OH groups.³⁶² The yield in the cyclization step was 42%. A similar macrocycle was also prepared by Krauss et al. by macrolactonization.³⁶³ A

solid as well as solution phase synthesis (based on Wang resin) of two 19-membered ring containing macrolactones via the hydroxy acids has been reported by Gragnon et al.³⁶⁴

Mitsunobu lactonization was utilized by De Brabander and co-workers in the synthesis of (-)-114, the enantiomer of the naturally occurring (+)-peloruside, a marine metabolite (Scheme 26).³⁶⁵ More importantly, while preparing its protected C¹³ epimer 111, an interesting observation was made. By starting with either 110a or the isomeric 110b, the authors ended up with the same macrocyclic compound **111**. They rationalized this result on the basis of geometrical/ conformational constraints that could have precluded the formation of C15 epimeric lactones and enforced the cyclization of substrate 110a via an acyloxyphosphonium intermediate (retention) and **110b** via the alkoxyphosphonium intermediate (inversion), thus providing a nice illustration of a configuration dependent mechanistic switch. The other two unprotected hydroxyl groups do not seem to have interfered in this lactonization. Macrolactonization involving primary alcohols does not have this problem of stereochemistry. A recent example of this type is involved in the synthesis of (+)-tedanolide (Scheme 27).³⁶⁶ Considering the fact that an 18-membered macrocycle 116 is formed, a decent yield of \sim 66% (including a preceding deprotection of allyl ester) in the Mitsunobu cyclization is noteworthy. This yield was higher than that obtained by other routes such as the Keck-Boden protocol or Yamaguchi esterification.

Several members of the family of structurally complex milbemycins have shown significant activity against agricultural pests as well as parasites, with low toxicity to plants. In recent work on the synthesis of one of these members (**118**), Li and O'Doherty utilized the Mitsunobu reaction in two important steps, one involving etherification and the other incorporating a macrolactonization; the latter is shown in Scheme 28a.³⁶⁷ The NaSEt used in the last step was to remove the methyl protecting group. It may be noted that, in this case, an inversion of configuration at the secondary alcohol site is observed. The yield in the Mitsunobu step was 79%. In the synthesis of several other macrocycles that include (–)-dactylolide³⁶⁸ and (–)-spongidepsin,³⁶⁹ inversion



Scheme 28



of configuration at the secondary alcohol site was effected by Mitsunobu esterification. In the preparation of (+)amphidinolide **120**, the antipode of the natural antitumor macrolide, the macrolactonization took place by inversion of configuration at the secondary alcoholic carbon site (Scheme 28b).³⁷⁰ The structure of compound **120** has been established by single crystal X-ray diffraction studies. Lactonization with inversion of configuration at the chiral center has also been observed in the synthesis of (i) the naturally occurring macrocycle (S)-citreofuran 121 [using Ph₃P-DEAD/toluene] reported by Bracher and Schulte,³⁷¹ (ii) the potent antitumor macrolide lobatamide C (122) $[Ph_3P]$ (10 equiv)/DIAD (10 equiv)/THF] reported by Porco et al.,^{372–374}(iii) the cell growth inhibitor (–)-laulimalide 123 reported by Paterson et al.^{375,376} as well as Crimmins et al.,³⁷⁷ and (iv) the marine metabolite xestodecalactone A reported by Danishefsky and co-workers.³⁷⁸ In the lactonization leading to the DNA gyrase inhibitor (cyclothialidine analogue) 124³⁷⁹ again, inversion was the pathway; approximately 1:1.3 mol equiv of the substrate to reagents in toluene was used to obtain reasonable yields (53%) of the cyclized product. Analogous core structures with different ring sizes have been prepared by Hebeisen and co-workers.³⁸⁰ Inversion at the chiral alcohol center was utilized in the construction of the 9-membered thiolactone core (e.g. 125a) of griseoviridin under dilute conditions (0.014 M) in toluene-THF medium.³⁸¹ In the synthesis of the same core with different substituents (125b; yield 68%) reported by Marcantoni et al., use of (2-pyridyl)PPh2 and DTBAD alleviated the problem of tedious chromatography.³⁸² The authors have pointed out the crucial importance of adding the precursor hydroxyl acid very slowly, which might have avoided high stationary concentrations of the substrate. The corresponding methyl ester had been prepared earlier by Meyers and Amos by a traditional Mitsunobu reaction using Ph₃P-DEAD.³³³ In a later paper, Meyers and co-workers have prepared the lactone with the same skeleton but as an allyl (in place of methyl or ethyl) ester that was obtained in 50-70% yields;³⁸³ this was an intermediate in their synthesis of (-)-griseoviridin.

The 14-membered ring containing macrolides required for the synthesis of hypothemycin 126 were prepared more efficiently via a Mitsunobu macrolactonization (64-70%, inversion at the secondary alcohol chiral center) than via an intramolecular Suzuki coupling, by maintaining a concentration of 0.007–0.01 M of the precursor in toluene.³⁸⁴ For the macrolactonization in the synthesis of leucascandrolide A (127), Wipf and Reeves added the required hydroxyl acid via syringe to a premixed Ph₃P (25 equiv)-DIAD (20 equiv) solution in THF at 0 °C to obtain a yield of 58% of the protected intermediate lactone. Only the inversion pathway was observed here.³⁸⁵ Paterson and Tudge also used an excess of Ph₃P-DEAD (~6 equiv to 1 equiv of the hydroxyl acid) to obtain a decent yield of \sim 50% at the lactonization step while preparing this compound.³⁸⁶ In another paper, for the macrolactonization step in the synthesis of (+)-leucascandrolide A, the same authors could get a 65% yield. In the

penultimate step, a Mitsunobu inversion (81% yield) was effected on a chiral secondary alcohol center.³⁸⁷



A convergent stereoselective synthesis of the macrolactone ring of the bacterial DNA primase inhibitor Sch 642305 (128) was established using the Mitsunobu cyclization with inversion of configuration; 1:5 mol equiv of the substrate to the reagents was utilized.³⁸⁸ Mitsunobu cyclization was also employed for (i) the synthesis of polyhydroxylated oxamacrolide 129 (97% yield) wherein only a primary alcoholic group was involved in the cyclization step,³⁸⁹ (ii) the construction of a 14-membered ring (73% yield) of the spiromacrolide retipolide (present in North American mushrooms),³⁹⁰ and (iii) an intermediate stage while preparing the benzolactone 130 (78% yield), a model for the naturally occurring queenslandon.³⁹¹ In the synthesis of one of the enantiomers of zearalane [(R)-131], Mitsunobu lactonization with inversion was a key step. About 8 mol equiv of the reagents per substrate was utilized to obtain a 50% yield during cyclization.392



High dilution conditions, in general, should favor formation of cyclic products. In this context, polymer-supported phosphines/azocarboxylates which give rather low concentrations of the reactants, in addition to the fact that the products can be removed by filtration, may offer advantages over the traditional Ph₃P-DEAD system. Resin-bound triphenylphosphine in conjunction with DEAD was found to be more effective (yield 43%) compared to resin-bound DEAD along with free Ph₃P in the ring closure leading to lactone 132 (Scheme 29), an intermediate in the synthesis of salicylihalamides A and B.393 Actually, there was no reaction in the latter case. Use of DIAD in place of DEAD reduced the yields to ca. 25%. The resulting compound is a 12-membered macrocycle. In a later work, the same group has reported the total synthesis of salicylihalamides A and B that involved (i) Suzuki cross-coupling and intramolecular Mitsunobu cyclization as well as (ii) Mitsunobu esterification and ring-





Scheme 30



Scheme 31



closing metathesis.¹⁴³ Two other syntheses involving macrolactonization are those of (a) combretastatin D-4, which inhibits cell proliferation of colon carcinoma cells reported by Nishiyama and co-workers,³⁹⁴ and (b) macrocyclic glycopeptides reported by Wong and his co-workers.³⁹⁵

Although we have discussed success stories of Mitsunobu macrolactonization above, there are cases wherein difficulties have surfaced. For example, in the total synthesis of the antibiotic lonomycin A that was reported by Evans and coworkers (Scheme 30), use of DEAD/THF/25 °C resulted only in the DEAD substrate acylation (85%) but not the required macrocyclic intermediate 133.396 Changing the solvent to benzene afforded 133 in a yield of only 47%. The problem was solved by the use of hindered DIAD in conjunction with a less polar solvent such as toluene, which gave the best yields (95%); a 1:4 mole ratio of the substrate to reagents was utilized. In another study on the synthesis of the natural product (-)-spinosyn A, Frank and Roush observed that although macrolactonization from 134 to 135 in THF solvent occurred, the hydrazide N-alkylated product 136 was also obtained in significant amounts (Scheme 31). Use of DIAD (48% yield of 135) in place of DEAD also did not help much in this case.³⁹⁷ Nicolaou and co-workers have recently explored different approaches for the construction of the macrocycle present in palmerolide isomers. Despite the fact that the Mitsunobu route afforded the expected macrolactone, side products that contained the diethyl azodicarboxylate residue also had formed.398

It was mentioned above (cf. Scheme 19) that the Baylis–Hillman alcohols, which are allylic in nature, lead to both α and γ esters and thus behave differently from normal alcohols. Even in the synthesis of macrolactonization, use of allyl alcohols is not straightforward, as pointed out by Campagne and co-workers.³⁷ Rychnovsky and Hwang

Scheme 32



used both allylic 137 and its corresponding saturated substrate (138) and found that only the saturated substrate underwent smooth macrolactonization to lead to 140 (Scheme 32). They rationalized the results owing to a competing S_N1 side reaction because of conjugation to an electron-rich aromatic ring in the case of an allylic substrate, and they have used this methodology in the synthesis of the natural product combretastatin D1.399 Couladouros et al. obtained an excellent yield (91%) in the macrolactonization step by slow addition of the saturated *seco*-hydroxy acid (7 h) and maintaining a concentration of <2 mM.⁴⁰⁰⁻⁴⁰² Simon and co-workers noted that addition of *p*-toluenesulfonic acid and an excess (>20 fold) of the reagents prevented the side reactions of the allylic alcohol substrate in the synthesis of cyclodepsipeptides.³⁵³ A similar approach was adapted by Chen et al. for the synthesis of 141, a precursor for the natural product depsipeptide FR-901375 (142) (Scheme 33).⁴⁰³

3.5. Other Esterification Reactions Including Those of Phosphates/Phosphonates

Use of a primary or a saturated achiral alcoholic substrate generally does not pose a problem in the Mitsunobu process, and hence, only a list of compounds wherein it is used is provided here. These include (i) photoresponsive esters with an azobenzene group, using the reaction of the mesogenic alcohols with fumaric acid or maleic acid,⁴⁰⁴ (ii) cyclohexyl nitronic ester by starting with cyclohexanol,⁴⁰⁵ (iii) polar functional PPV derivatives with ester groups for sensor applications,⁴⁰⁶ (iv) capsular polysaccharides,⁴⁰⁷ (v) acetylenic esters of 2,3-dibromomaleic acid⁴⁰⁸ and isophthalate esters⁴⁰⁹ as precursors for dendrimers, (vi) enfumafungin,⁴¹⁰ (vii) buprestin A and B,⁴¹¹ (viii) cinnamyl monoglyceride,⁴¹² (ix) β -acyl glucuronides,^{413–415} (x) ¹⁷O-enriched esters of carbohydrates with PhC(O)¹⁷OH,⁴¹⁶ (xi) bromoacetylation of Wang resin,⁴¹⁷ (xii) (±)-6-myoporol,⁴¹⁸ (xiii) multiple porphyrin arrays through ester linkages,⁴¹⁹ (xiv) 3,5-hexadienyl acrylates,⁴²⁰ (xv) mono- and di-esters of sucrose with long chain carboxylic acids,⁴²¹ (xvi) (*S*)-(–)-camphanic acid esters of Scheme 34



(hydroxymethyl)pyrroles,⁴²² (xvii) *syn*, *syn*-bicyclo[3.3.0]octyl-2-benzoate,⁴²³ (xviii) an esterification step in the synthesis of syn-enol ethers,⁴²⁴ (xix) glass forming liquid crystals,⁴²⁵ (xx) conjugated reactive mesogen 2-methyl-1,4-bis[2-(4acryloylpropyloxyphenyl)ethenyl]benzene,⁴²⁶ (xxi) 6,6'-di-O-p-nitrobenzoyl-2,3,4,3',4'-penta-O-benzyl-1'-methoxymethylsucrose,⁴²⁷ (xxii) poly(triacetylene) oligomers with ester linkages, $^{428}(xxiii)\beta$ -anomers of bile acid 24-acyl glucuronides, 429,430 (xxiv) geodiamolide A (an 18-membered cytotoxic depsipeptide from marine sponges),⁴³¹ (xxv) 5'-O-acyl derivatives of nucleosides, 432,433 (xxvi) the acetate of (R)-1-indanol, 434 (xxvii) side chain copolymers containing liquid crystalline and photoactive chromophores, 435 (xxviii) dimethyl-3-((1Z)propenyl)cyclopropanecarboxylic acid methyl ester, 436 (xxix) oligothiophene containing photorefractive material with NLO chromophore,⁴³⁷ and (xxx) 4-flurobenzyl 4-(4-nitrophenyl-)butyrate.⁴³⁸ The structural drawings pertaining to these references are given in the Supporting Information (Table S2).

Generally, the phenolic –OH group participates as an acidic component in the Mitsunobu reaction. Apart from the report of Fitzjarrald and Pongdee cited above,⁹³ esterification of phenols was employed by Attias and co-workers in the synthesis of polyester-based low molecular weight copolymer **143** [$M_n = 5.3 \times 10^3$, $M_w = 6.3 \times 10^3$, $T_g = 75$ °C], incorporating the fluorescent center for application in PLEDs (Scheme 34).⁴³⁹ The resulting polymer had properties similar to those obtained by using the normal acid chloride route.

Organophosphates/phosphonates and sulfates can also undergo Mitsunobu esterification.^{15,440–451} Nonhydrolyzable methylene bridged polyphosphate analogues are useful as probes for and inhibitors of enzymes and other proteins that bind or hydrolyze polyphosphates. Mitsunobu coupling of the phosphonic acid with the nucleosides can be a convenient route to such compounds. Recently, Taylor and co-workers have utilized this method to obtain bismethylene triphosphate analogues.^{452,453} While the synthesis of **144** from the precursor acid smoothly proceeded to afford 79% yield, the authors had to use the triethylammonium salt to get a good yield in the case of **145** (Scheme 35a).⁴⁵² This result is consistent with earlier observations on the beneficial effects of the addition of Et₃N/pyridine in such reactions. Previously, Salaski and Maag as well as Imamura and Hashimoto had



Scheme 35



also used alkylammonium salts for the phosphonate esterification in the synthesis of nucleoside-appended phosphonates.^{454,455} Lay and co-workers have made extensive use of the Mitsunobu protocol in their synthesis of phosphonoesters. In place of the phosphonic acid, they have also used the corresponding triethylamine salt during the esterification with the 6-OH moiety of a mannosaminyl residue.^{456,457} Phosphonate esters of type **146** have been prepared before by a similar route (Scheme 35b).⁴⁵⁸ Pyridine was used in this reaction to preserve the full integrity of the acid sensitive moieties.

A thiophosphate salt is expected to have the P=O unit intact, but when its ester is prepared, this is the oxygen to which the alcoholic residue gets connected. Thus, the salt **147** reacted with 3'-O-acetylthymidine under Mitsunobu conditions to give the dinucleotide **148** (Scheme 36).⁴⁵⁹ In this reaction, it has been claimed that the phosphorus configuration is controlled. When a free thiophosphate is used, S-alkylation could also occur.⁴⁶⁰

Macrocycles can also be generated by using phosphonates. An example of this is shown in Scheme 37, wherein, apart



from the 14-membered phosphacycle **150**, a 28-membered diphospha-heterocycle **151** is also isolated in small quantities starting with the acid **149**.⁴⁶¹

It is possible to prepare phosphite esters $(MeO)_2P(OR)$ from the phosphites such as $(MeO)_2P(O)H$ and $Ph_3P-DIAD$ in toluene.⁴⁶² The reaction probably occurs *via* $(MeO)_2P$ - $[N(CO_2-i-Pr)NH(CO_2-i-Pr)]$. Interestingly, the compound $(MeO)_2P(OPh)$ [slow reaction] can also be obtained by this route; we may note that, in several other reactions such as etherification, phenol takes the role of the nucleophile. Another interesting application of the Mitsunobu reaction involves $Ph_3P \cdot HBF_4$ as the nucleophilic source in reactions with alcohols to obtain the phosphonium salts $[Ph_3PR]^+[BF_4]^{-.463}$ This system has been utilized for the synthesis of *N*-(acyl)-triphenylphosphonio- and *N*-(acyl)dimethoxyphosphoryl- glycinates.^{464,465}

4. Phenols and Alcohols as Nucleophiles: Ether Formation

Phenols, and alcohols with strongly electron withdrawing groups attached to the carbon, can act as nucleophiles in the Mitsunobu coupling. If the substrate is a diol, intramolecular dehydration can also occur even though both –OH groups are alcoholic. These reactions will lead to cyclic or acyclic



Scheme 39



ethers, depending on whether the reaction is intra- or intermolecular. Inversion of configuration in general may be expected if the substrate is a chiral secondary alcohol, although there are a few cases in which retention is observed.⁴⁶⁶ We shall first discuss those in which acyclic ethers are formed, followed by the ones that give cyclic products. Later on, we shall also briefly allude to special cases wherein NHC(O) to N=C(OH) tautomerization precedes ether formation.

4.1. Etherification without Cyclization

Aryl α -sialosides (e.g. **152**) that are often used as chromogenic substrates for detecting and quantifying sialidase activity are readily synthesized along with their β diastereomers *via* Mitsunobu etherification by the reaction of carbohydrate substrates with the weakly acidic phenols. The best stereoselectivity is observed with 2-naphthol (α/β 74:26) (Scheme 38).⁴⁶⁷

Configurational inversion of (*R*)-3-chloro-1-phenyl-1-propanol (a secondary alcohol) in etherification with 2-bromophenol takes place readily.^{468,469} The resulting compounds are useful in the synthesis of biologically active chromans. Chiral secondary alcohols undergo Mitsunobu etherification with inversion in their reaction with substituted 3-pyridinols by using the *n*-Bu₃P–TMAD combination.⁴⁷⁰ A rare example in which a tertiary alcohol takes part in the etherification is that of the reaction of chiral (*S*)-**153** with a phenol (Scheme 39) at *elevated* temperatures to afford the desired ether **154** in moderate yields with inversion of configuration.⁴³

Sequential allylation of a dihydric phenol using a Mitsunobu protocol can lead to dendrimeric structures. A nice example of such a situation is the allyl ether system $155 \rightarrow 156 \rightarrow 157 \rightarrow$ shown in Scheme $40.^{471}$ Compound 157upon reduction followed by etherification with the phenol 155 led to more branched species. In such later steps, the Mitsunobu protocol was found to be superior to Williamson's etherification route. Species 157 and its subsequent dendritic



products have potential in molecularly imprinted dendrimers (MIDs). A similar strategy, with **155** as the phenolic precursor, has been employed by Luscombe et al. recently in the high yield synthesis of perfluorinated dendrons with thiol residues that may find application in generating self-assembled monolayers (SAMs) for attachment to a gold surface via the thiol.⁴⁷² For the synthesis of dendrons, protected 3,5-bis(hydroxymethyl)phenols can also be coupled with 3,5-bis(methoxycarbonyl)phenols.⁴⁷³ An analogous iterative two-step protocol involving Mitsunobu coupling and carbonyl reduction has been utilized for the synthesis of a library of 84 ether oligomers.⁴⁷⁴ In reactions of guanidinium mimetics and *N*-substituted 5-hydroxyindoles to prepare compounds that antagonize integrin—ligand interactions, a Mitsunobu etherification protocol is employed.⁴⁷⁵

The Mitsunobu reaction is extensively used for the preparation of alkyl-aryl ethers under mild conditions. However, the reaction becomes slow in the case of sterically hindered substrates. In the reaction of phenols with alcohols, where either or both are sterically hindered, carried out at high concentrations combined with sonication, a vast increase in product formation (e.g. **159**) rate is achieved (Scheme 41).⁴⁷⁶

As mentioned above, if sufficiently electronegative groups are provided at the α -carbon of an alcohol so that the pK_a becomes low, such an alcohol can behave as a nucleophile in the Mitsunobu reaction. It is then possible to couple it with other alcohols. For example, (F₃C)₃COH [nucleophile] can be coupled with C_nF_{2n+1}CH₂CH₂CH₂CH₂OH, leading to the formation of fluorophilic ether (F₃C)₃COCH₂CH₂CH₂C_RF_{2n+1} (**160**).⁴⁷⁷ Similar ethers have been prepared by using perfluoro-*tert*-butanol by the same research group.⁴⁷⁸ The products were isolated using fluorous extraction, fluorous solid—organic liquid filtration, or steam-distillation. Hexafluoroacetone hemihydrate reacts with the fluoroalcohols



Scheme 42



 $C_n F_{2n+1}(CH_2)_3 OH [n = 4, 6, 8, 10]$ using Ph₃P-DEAD in ether solution to lead to the ketals [(CF₃)₂C(O(CH₂)₃- C_nF_{2n+1})₂].⁴⁷⁹ Fluorophilic ethers with the formula $ArC(CF_3)_2O(CH_2)_m(CF_2)_nF$ (m = 1, n = 1, 7; m = 3, n = 8) were obtained in high yields, by reacting 2-aryl-1,1,1,3,3,3hexafluoropropanols with 3-perfluorooctylpropanol using the Ph₃P-DEAD/PhCF₃ system.⁴⁸⁰ Mitsunobu etherification offers the best possible synthetic route to fluoroalkyl and fluoroaryl glycosides (e.g. 161) from primary, secondary, and tertiary fluoroalkyl alcohols (p K_a 9–12) and pentafluorophenol (cf. Scheme 42);⁴⁸¹ similar ethers derived from normal alcohols have also been reported before.482 The reaction proceeds under comparatively mild conditions, most often in isolated yields of above 70%. Other similar applications include the synthesis of (a) oligonucleotides containing a hexafluoroacetone ketal internucleotide linkage,⁴⁸³ (b) perfluorinated ethers as oils/amphiles,⁴⁸⁴ (c) fluoroalkyl ethers of dihydroartemisinin,⁴⁸⁵ and (d) perfluoro-tert-butyl ethers.⁴⁸⁶

The steric factor imposed by the di-*tert*-butylsilylene protection can alter the stereochemical outcome in the etherification reactions. Thus, Ando and co-workers reacted the silyl protected hemiacetal **162** with 4-methylumbelliferone using various combinations of phosphines and azodicarboxylates (Scheme 43a).⁴⁸⁷ The best result (yield 80%) was obtained using Ph₃P–DEAD and 8 equiv of 4-methylumbelliferone at the reflux temperature of toluene. Both the silyl and *N*-2,2,2-trichloroethoxycarbonyl (Troc)-protection

Scheme 43

influenced the observed retention of stereochemistry at the alcohol site. The resulting compound was useful for the final synthesis of 4-methylumbelliferyl (4-MU) T-antigen. In another study, by etherification using umbelliferone, a geiparvarin analogue with a fluorinated segment has been synthesized by Amato and Calas.⁴⁸⁸ The Mitsunobu glycosylation using lactam-substituted phenols and protected sugars led to the glucuronides in good yield after subsequent deprotection.⁴⁸⁹ Here, the combination *n*-Bu₃P/ADDP was utilized to effect the reaction (cf. **164**, Scheme 43b). However, similar reactions utilizing many other substituents in place of the Ph(CH₂)₃- moiety on the lactam ring were not successful.⁴⁹⁰

Alkylation of L-ascorbic acid (165) with primary alcohols preferentially takes place on the 3-OH group to lead to ethers 166.⁴⁹¹ An intermediate of type 167 is invoked for the observed reaction (Scheme 44). Details on further steps are not clear, however. Interestingly, after protection of the other two hydroxyls, compound 167' could be isolated (assignment of the structure is based on ¹H, ¹³C, and ³¹P NMR). A similar alkylation at the 3-hydroxy position of L-ascorbic acid is also reported by Déléris and co-workers.⁴⁹² This route avoided the unwanted alkoxide alkylation (Williamson's procedure). In analogous chemistry, reaction of codeine and 2-bromophenol led cleanly to the etherification with inversion and without any interference from the allylic double bond of codeine.⁴⁹³

Etherification of 4-hydroxycoumarin with alcohols (e.g. isopropyl alcohol) in the presence of high-intensity ultrasound reduced the time required from 5 h (conventional method) to 1 h and gave better yields.⁴⁹⁴ This modification perhaps needs more studies for general applicability.



Mitsunobu and Related Reactions

Scheme 44



A report by Wang and Gutsche on calixarenes is also interesting. Here, the authors observed both O-alkylation and C-alkylation on the calixarene (Scheme 45).495 For the alcohol R¹R²CHOH, the mono- and bis-O-alkylated products **168–169** were obtained when $R^1 = Ph$ and $R^2 = H$. While $R^1 = 4 - O_2 N - C_6 H_4$ and $R^2 = H$ led only to mono-O-alkylated product, for $R^1 = 4$ -O₂N-C₆H₄ and $R^2 = CH=CH_2$, essentially C-alkylated product 170 was isolated. Thus, it appears that, for the less reactive alcohol, the likelihood of *C*-alkylation is greater, with the reactivity being determined by both steric and electronic factors. A plausible rationalization for the unusual C-alkylation is also suggested in the same paper. In another study, by using proximal bistriflate substitution, Hattori and co-workers could achieve an antiselective dialkylation of thiacalixarenes.⁴⁹⁶ In addition, they have also prepared syn-O,O"-bis(2-aminoethyl)-p-tertbutylthiacalix[4]arenes by starting with thiacalixarene and N-(2-hydroxyethyl)phthalimide.497

The benzazepin **171** (SB-273005) is a known vitronectin receptor antagonist. In the scale-up operations to produce multi-kilogram quantities of **171**, Wallace et al. found that the Mitsunobu protocol was a better approach than Will-iamson's etherification.⁴⁹⁸ Although the phosphine oxide was

Scheme 45

difficult to remove completely by column chromatography, a subsequent work-up procedure that included a saponification step gave the desired compound **171** in 99% purity and 66% yield over two steps without recourse to chromatography. The authors noted that even though Mitsunobu chemistry is environmentally unfriendly, is atom uneconomical, and poses problems in purification, it worked better.



The somewhat unusual behavior of Baylis-Hillman (allyl) alcohols was discussed above. An interesting microwaveassisted combined Mitsunobu etherification-Claisen rearrangement of allylic alcohols is reported by Jacob and Moody.⁴⁹⁹ An example (172) from their studies is given in Scheme 46a. These results were later utilized for the synthesis of primin natural products and unusual nitrogen containing 3-alkyl-1,4-benzoquinones.⁵⁰⁰ Such rearrangements have also been reported in the reaction of 4-hydroxycoumarin with prenyl alcohol; buchapine was thus obtained from 4-hydroxyquinolone and dimethylallyl alcohol.⁵⁰¹ A similar rearrangement in the etherification using allylic alcohols was reported by Wan et al. in their studies on epi-gallocatechin gallate (EGCG) analogues as proteasome inhibitors.⁵⁰² Similar but polymer bound species 173 underwent reaction with phenol, leading to an S_N1 -reaction product (89% yield), which could later be hydrolyzed to the acid 174 by TFA/CH₂Cl₂ (Scheme 46b). NMR analysis using NOE experiments suggested the formation of only one isomeric ether in which the aryl and the ether groups were on the same side.⁵⁰³

Use of polymer-supported substrates/reagents in the synthesis of small molecules constitutes an important modification in the Mitsunobu etherification process (see section 9 also). Selective alkylation of pharmaceutically important ethers derived from 6,7-dihydroxyquinazoline (e.g. **175**) via polystyrene-supported phosphine [PS-PPh₃] and DTBAD has





been reported by Harris and co-workers.504 There was no interference from competitive N-alkylation, and the yields were very good with no contamination from the homodialkylated product. Gentles et al. have developed an automated Mitsunobu protocol using PS-PPh₃ along with DTBAD that is useful for etherification.⁵⁰⁵ Primary alcohols reacted with not much difficulty, while in the case of secondary alcohols double addition of DTBAD with the overall stoichiometry of phenol/PS-PPh3/DTBAD/alcohol maintained at 1:4.4:3.2:2.5 significantly improved the yield. Tunoori et al. also have earlier shown the utility of PS-PPh₃ in ether (e.g. 176) formation;⁵⁰⁶ only the sterically unhindered phenolic -OH reacted in this case. Three other examples of the use of PS-PPh₃ include diverse aryl alkyl ethers,⁵⁰⁷ palmarumycin analogues (e.g. **177**),⁵⁰⁸ and ethers derived from N-protected amino alcohols (e.g. 178).⁵⁰⁹ In the second example, use of PS-PPh₃ greatly facilitated purification while, in the last one, the presence of an added base such as triethylamine in the reaction medium was beneficial.



Mitsunobu etherification can be performed on polymers with appropriate functional groups to lead to new polymers for further applications or small target molecules after delinking the polymer backbone. Azobenzene-modified cellulose polymers have been successfully synthesized through linking of 4-cyanophenylazophenol to natural cellulose of ultrahigh molecular weight by Mitsunobu coupling (Ph₃P–DEAD in DMF).⁵¹⁰ Dendritic resins with an efficiency comparable to that of tentagel (polystyrene–PEG) resin have been used in the synthesis of aryl-alkyl ethers.⁵¹¹ Here, resin bound phenols were used as the acidic substrates. Using an fmoc-protected PL-Rink resin as the solid support for phenols, a 4500 member library of tyrphostin ethers was obtained by Player and co-workers.⁵¹² The same group has also developed a procedure for solid-phase synthesis of ethers starting from N(fmoc), O(THP)-protected cis-hydroxyproline derivatives and 2-(3,5-dimethoxy-4-formylphenoxy) ethoxymethyl polystyrene (FDMP).⁵¹³ Other related applications include synthesis of (i) 2-substituted 4-aminopyrido[2,3*d*]pyrimidines (179) using etherified Wang resin (180), 514 (ii) tyrosine containing cyclic peptides using fmoc protected resin (181),⁵¹⁵ (iii) functionalized phenolic amino acids,⁵¹⁶ (iv) tellurium bound polymer with an ether linkage,⁵¹⁷ (v) polymer-supported chiral amines (e.g. 182) with ether linkages,⁵¹⁸ (vi) non-PEG derived polyether resins,⁵¹⁹ (vii) resin-bound aryl-cyclohexyl ethers (e.g. 183),⁵²⁰ (viii) amphiphilic poly(para-phenylene)s,521 (ix) germanium-based linker (aryl-alkyl ether) for solid phase synthesis of pyrazoles, ⁵²² (x) polymer-bound alkyl-bromophenyl ethers for nickel-catalyzed couplings,⁵²³ (xi) ethers derived from OHterminal Wang resin and hydroxybenzenesulfonate esters,⁵²⁴ (xii) 2/4-hydroxyl acetophenone linked Wang resin useful for the synthesis of 2,4,6-trisubstituted pyrimidines (e.g. 184),⁵²⁵ (xiii) polymer-bound seleno-alkyl-aryl ethers,⁵²⁶ (xiv) oxindole-quinazolines with a side-chain ether linkage,⁵²⁷ (xv) 4-alkoxyacetophenones,⁵²⁸ (xvi) Shikimic acid-based arylallyl ethers (185),⁵²⁹ (xvii) 6-arylpyridazin-3(2H)-one precursors, ⁵³⁰ (xviii) tentagel-supported peptide containing disperse red residue,⁵³¹ (xix) aryloxypropanolamines (e.g. **186**),⁵³² (xx) 5-substituted oxazoles via (5-hydroxymethyl)oxazoles,⁵³³ (xxi) luminescent polymers of distyrylbenzenes with oligo-(ethylene glycol) spacers,⁵³⁴ (xxii) precursors to biphenyl tetrazoles (**187**, **188**),⁵³⁵ (xxiii) dendritic macromolecules,⁵³⁶ and (xxiv) aryl-allyl (e.g. 189) and aryl-alkyl ethers.⁵³⁷⁻⁵⁴⁰ There are also applications related to the synthesis of NLO active polymers (e.g. 190) containing disperse red 1 (DR1)⁵⁴¹ or other suitable chromophores.^{542–549} Compounds 179–190 are shown in Chart 2; others are given as Supporting Information (Table S3). For the Mitsunobu step in the preparation of 188, 5 equiv each of Ph₃P, DIAD, and the alcohol were employed in DMF solvent medium.

In view of the multitude of other reports on normal Mitsunobu etherification, only a consolidated list is provided here. These include the synthesis of (i) aryl-alkyl, propargylic, cinnamyl, benzyl, and allylic ethers,^{550–588} (ii) substituted benzofurans⁵⁸⁹ and benzopyrans⁵⁹⁰ with ether linkages, (iii) indolyl, imidazolyl, indazole-pyridyl [protein kinase-B

Chart 2



(Akt) inhibitors (191)], and other N-heterocyclic-alkyl/aryl and aryl/sec-alkyl ethers, 591-594 (iv) tropolonyl ethers of saccharides (**192**),⁵⁹⁵ (v) side chain modified riboside phos-phoramidites,⁵⁹⁶ (vi) lupiwighteone,⁵⁹⁷ (vii) dianhydrohexi-tole-based benzamidines,⁵⁹⁸ (viii) (4*S*)-phenoxy-(*S*)-proline,⁵⁹⁹ (ix) the carbamate protected Cdc42 inhibitor secramine A,600 (x) constrained arylpiperidines, 601 (xi) repinotan [a 2-(ami-nomethyl)chroman derivative, a potent 5-hydroxytryptamine antagonist],602 (xii) BILN 2061 (a protease inhibitor),603 (xiii) ziziphine N [193, an antiplasmodial agent],⁶⁰⁴ (xiv) O⁶-alkyl derivatives of triacyl-2'-deoxyguanosines,⁶⁰⁵ (xv) ferrocene-modified artificial ditopic nucleobase receptors,⁶⁰⁶ (xvi) 2'-O-benzyladenosine,⁶⁰⁷ (xvii) CMI-977 [a 5-lipoxygenase (5-LO) inhibitor],608 (xviii) 3'-O-(carboxyalkyl)fluorescein labels,⁶⁰⁹ (xix) cored dendrimers with a 1,3,5-trisubstituted benzene moiety,⁶¹⁰ (xx) chiral ferroelectric thiobenzoates,⁶¹¹ (xxi) the codeine precursor allyl ether **194**,⁶¹² (xxii) amino-/ guanidino-G-clamp PNA monomers,613 (xxiii) the neolignans rhaphidecursinol Å and virolongin B,614 (xxiv) virologins,615 (xxv) 5,8-bicyclic γ -alkylidenebutenolides,⁶¹⁶ (xxvi) hydroxy-substituted rosiglitazone derivatives,⁶¹⁷ (xxvii) prodrugs derived from the reaction of hydroxymethylimides (saccharin,

benzotriazole, etc.) with substituted phenols,⁶¹⁸ (xxviii) (-)episilvestrol and (-)-silvestrol (195),⁶¹⁹ (xxix) optically pure cyclopenta[b]benzofurans,⁶²⁰ (xxx) ferrocenyl units linked to perfluoroethers,⁶²¹ (xxxi) a functionally rigid rotaxane precursor (196),⁶²² (xxxii) 3-aryloxymethylindolequinones,⁶²³ (xxxiii) xanthohumol and isoxanthohumol,⁶²⁴ (xxxiv) precursor 197 for the alkaloid (-)-galanthamine (a drug to treat Alzheimer's disease),⁶²⁵ (xxxv) epicalyxin F,⁶²⁶ (xxxvi) phosphinated dendrimers,⁶²⁷ (xxxvii) 3-{4-(2-aminoethoxy)-phenyl}propanoic acid derivatives,⁶²⁸ (xxxviii) 3-(2,5-dihydro-1H-pyrrol-2-ylmethoxy)pyridines (analogues of epibatidine and tebanicline),629 (xxxix) nonbasic quinolone GnRH antagonists via 4-hydroxyquinolone,⁶³⁰ (xl) dibromotyrosine alkaloids,⁶³¹ (xli) ethers from methyl vanillate-aliphatic diols (yield was better than that of Williamson's synthesis),⁶³² (xlii) fluorenylaminoserine-acridine conjugates (sonication was used),⁶³³ (xliii) 5'-ethers derived from 2'-deoxyuridine,⁶³⁴ (xliv) dendrimers with tridentate pyridylthioether coordination sites, 635 (xlv) (+)-(S)-dapoxetine (198), 636 (xlvi) highly functionalized cis-decalins via ethers derived from protected hydroquinones and dienols,⁶³⁷ (xlvii) histamine H3-receptor antagonists possessing a 4-(3-(phenoxy)propyl)-1H-imidazole

Chart 3



structure,638 (xlviii) oligophenylenevinylene derivatives with long alkyl chains and a carboxylic acid that show liquid crystalline phases,639 (xlix) succinate-derived hydroxamic acids incorporating a macrocyclic ring as inhibitors of matrix metalloproteinases,640 (1) mono(6-chloropyridin-2-yloxy-)cholane (**199**),⁶⁴¹ (li) optically pure (R,R)-1,1':5',1"-ternaphthalene-2,2',6',2"-tetraol,⁶⁴² (lii) photoaffinity-labeled 3-(4alkoxyphenyl)-3-trifluoromethyldiazirine derivatives,643 (liii) (-)-gallocatechin,⁶⁴⁴ (liv) neoisopinocampheyl-aryl ethers,⁶⁴⁵ (lv) azobenzene-modified cellulose (azocellulose),⁶⁴⁶⁻⁶⁴⁸ (lvi) mono-O-alkylated BINOL derivatives, 649,650 (lvii) (R)-5',6'benzo-6-methoxy-2,2'-biphenol,⁶⁵¹ (lviii) (R)-l-(4-trifluoromethylphenoxy)-1-phenyl-3-butene,⁶⁵² (lix) calixarene-sugars, 653 (lx) (R)-(+)-O-coumarinyllactic acid, 654 (lxi) C₂ symmetric 2,6-diarylalkyloxybenzaldehydes,655 (lxii) N-alkylated 5- and 6-alkoxy-1,2,3,4-tetrahydroisoquinolines,656 (lxiii) chiral perfluoroalkyl-substituted hexahydrofuro[2,3-b]pyran derivatives,657 (lxiv) photo-cross-linkable polyimide-based polymers with a decyl alcohol residue,⁶⁵⁸ (lxv) bicyclic peptidomimetic inhibitors,659 (lxvi) naphthyl O-glycoside,660 (lxvii) 2'-benzyl-substituted 6-chloropurine 3'-O-benzoylriboside,⁶⁶¹ (lxviii) isoflavanones,⁶⁶² (lxix) C⁵-substituted imidazolines,⁶⁶³ (lxx) duloxetine,⁶⁶⁴ (lxxi) 4-substituted α -tolyl-galactosides,⁶⁶⁵ (lxxii) benzoxazole containing thiazolidinediones,666 (lxxiii) chiral ferrocene-labeled tyrosine PNA monomer,⁶⁶⁷ (lxxiv) ether derived from poly(4-vinyl phenol) (P4VP), and poly(ethylene glycol)methyl ether (MPEG) for lithium battery electrolyte applications,⁶⁶⁸ (lxxv) erythro 8-*O*-4'-neolignans,⁶⁶⁹ (lxxvi) chiral liquid crystalline 3,6-disubstituted cyclohex-2-enones/azafluorenones/thiadiazoles,670-672 (lxxvii) α, ω -bis(4-cyanobiphenyl-4'-yloxy)alkanes,⁶⁷³ (lxxviii) ferroelectric liquid crystals bearing a chiral pyrrolidinetype ring,⁶⁷⁴ (lxxix) 2-alkoxyethoxy-substituted nematic

Scheme 47



crystals,⁶⁷⁵ (lxxx) chiral liquid crystals based on 2-amino-1,3,4-thiadiazoles,⁶⁷⁶ (lxxxi) liquid crystals based on 4-arylbutyric acid [aryl = 2',3'-difluoro-4'-(2-(*E*-4-pentylcyclohexyl)ethyl)-biphenyl-1-yl],⁶⁷⁷ (lxxxii) aryloxy-alkylpyridines,⁶⁷⁸ and (lxxxiii) chiral liquid crystalline materials.⁶⁷⁹ Chart 3 shows the structures of **191–199**; the rest are given in the Supporting Information (Table S4).

4.2. Etherification with Cyclization

Mitsunobu cyclodehydration of 1,2-diols readily leads to the epoxides.^{680–682} Although the nucleophilic site is most often not acidic, the cyclization takes place readily, leading to the formation of the three-membered ring. Thus, optically active phenethane-1,2-diol (e.g. **200**) led to the stereoselective formation (up to 99%) of styrene oxide (e.g. **201**) in substrates lacking electron donating substituents (Scheme 47).⁶⁸¹ A combination of tricyclohexylphosphine and DIAD in THF gave the best results. Remarkably, use of Ph₃P produced more *inversion* whereas tricyclohexylphosphine resulted in *retention*.

In the reaction shown in Scheme 48a, although many possibilities exist, only the epoxide **202** is formed preferen-

Scheme 48



tially. In reaction b, shown in Scheme 48, only **203** with the formation of a furan ring, and not an aziridine, is formed. These results are quite useful when one intends to use a multifunctional substrate in Mitsunobu coupling.⁶⁸³ The epoxide, 1,6-di-*O*-benzoyl-2,5:3,4-dianhydro-*d*-talitol is also readily obtained from its mannitol precursor.⁶⁸⁴ Other examples wherein epoxide formation via a Mitsunobu protocol is effected include several anhydro-carbohydrate derivatives^{685,686} and substituted porphyrins.⁶⁸⁷ In the esterification of sucrose also, Molinier et al. have provided unequivocal evidence for the formation of anhydro-derivatives, albeit as minor products.²⁷²

δ(P): -45.5

In an elegant study, Verdaguer and co-workers have recently shown that Mitsunobu cyclodehydration is very effective in transforming triaryl-1,2-ethanediols stereospecifically into their corresponding chiral nonracemic epoxides.⁶⁸⁸ More importantly, depending on the phosphine used, the two enantiomers of the final epoxides (204a and b) are formed in high enantiomeric excess (Scheme 49). Here, use of an electron-rich phosphine such as n-Bu₃P provided the corresponding epoxide with inversion of configuration, while the use of Ph₃P led to retention. This result may be contrasted with that shown in Scheme 47. The configuration of the products was also dependent on the aryl groups of the 1,2diols. The authors were also able to isolate the pentacoordinate intermediate 205, which, over a period of a month, decomposed in dichloromethane solution at room temperature to give **204a** with 79% ee. On the basis of this, a mechanistic pathway, involving pentacoordinate species of type 205 that converted into different intermediate alkoxyphosphonium salts prior to epoxide formation, is proposed.

When a multifunctional substrate such as *N*-Boc neomycin B was utilized in the Mitsunobu reaction with N^3 -benzoyl thymine, it was reported that a product with double-intramolecular ring closure leading to an aziridine ring and

a four-membered N-heterocyclic ring were formed in 78% yield.⁶⁸⁹ The thymine nucleophile did not react. However, Jenkins, Houston, and their co-workers, based on their more recent studies on the reaction of hexa-N-Boc neomycin B 206 with 4-nitrobenzoic acid, suggested that epoxide (207) formation is much more likely than aziridine or azetidine formation, which has literature precedence.690,691 A full account of the aforementioned work is now published.692 The epoxide was formed under carefully controlled conditions (Scheme 50), but subsequently under more forcing conditions, aziridine ring formation also took place. Interestingly, involvement of an intermediate of type 208 was proposed for formation of the aziridine ring. This aziridine formation could be blocked by protecting the primary alcoholic group of the ribosyl ring (as a 4-nitrobenzoate ester) in the precursor.

The work by Barrero also gives more insight into the different possibilities that exist in reactions using 1,2-diols. Although epoxides (e.g. **209**) are formed in many cases (Scheme 51a), if the intermediate pentacoordinate phosphorane is sufficiently stabilized, it can be isolated as the major product (e.g. **210**, Scheme 51b). If one were to use 1,1-disubstituted 1,2-diols such as **211**, carbonyl compounds (e.g. **212**) are formed by dehydration (Scheme 51c).⁶⁹³ Similarly, 1,1,2-trisubstituted 1,2-diols yield ketones. Synthesis of compound **210** has also been reported previously in an earlier work.⁶⁹⁴ Even in the case of **213**, dehydration led to the ketones sesquiterpene **214** and isocaryolan-9-one **215**.⁶⁹⁵

A common method for the synthesis of oxetanes (e.g. **217**) is the intramolecular dehydration of 1,3-diols of type **216**. A Mitsunobu-type procedure using zinc *N*,*N*-dimethyldithia-carbamate [(Me₂NCS₂)₂Zn, Ziram[@]] as an additive worked very well for their stereoselective synthesis (Scheme 52).⁶⁹⁶ The amount of the byproduct, substituted tetrahydrofuran **218**, could be minimized by using toluene as the reaction medium in the presence of 2 mol equiv of Ziram[@]. A ³¹P NMR investigation suggested the intermediacy of the phosphorane **219**. Similar ring closure is feasible in xylofuranoside chemistry also.⁶⁹⁷ Cirelli et al. were also successful in generating the oxetane ring present in thromboxane A₂ from the precursor 1,3-diol-2-bromo-2,6-dideoxy-4-*O*-methyl- α/β -D-altropyranose.⁶⁹⁸

In the synthesis of excitatory amino acid analogue 220, an intramolecular etherification of sterically cumbersome substrate leading to a furan skeleton was accessed using the Mitsunobu reaction (Scheme 53a).⁶⁹⁹ Such a ring closure by dehydration leading to a furan system should be quite general and is used in the synthesis of several C-nucleosides. Thus, Guianvarćh et al. have reported a stereoselelctive synthesis of heterocyclic (indole, imidazole, benzimidazole, and 6iodobenzimidazole) C-nucleosides.700,701 Imanishi and coworkers have also utilized a similar strategy to obtain C-nucleosides.⁷⁰² In selected instances, they have used *n*-Bu₃P-TMAD/benzene in place of Ph₃P-DEAD/THF for better results. In each case, one of the anomers was obtained exclusively or as a predominant product. Kurihara and coworkers have studied the Mitsunobu cyclization of 221, which was obtained by starting with L-glutamic acid, and prepared a 1:1 mixture of (trans+cis)-cyclization product **222** in 97% yield (Scheme 53b).⁷⁰³⁻⁷⁰⁵ These investigations were directed toward the synthesis of new histamine derivatives. Similar furan ring construction (using *n*-Bu₃P-TMAD) in the synthesis of C-aryl nucleotides has been reported by Wengel and co-workers.⁷⁰⁶ Other examples of intramolecular



Scheme 51



Quantitative $PhS \notalpha$ 218Quantitative Ph_3 Ph_3 Ph_3

etherification leading to a five-membered ring involve (i) 3'- β -C-branched anhydromannitol nucleosides,⁷⁰⁷ (ii) epoxy-

Scheme 53



phenylmorphans,⁷⁰⁸ (iii) flavone *C*-glycosides,⁷⁰⁹ (iv) [(2*R*,3*R*)-3-aminotetrahydrofuran-2-yl]imidazole,⁷¹⁰ (v) (α -D-xylofuranosyl)imidazoles,⁷¹¹ (vi) indole/thiazole-appended *C*-nucleosides,⁷¹² (vii) isonucleosides,⁷¹³ (viii) furan-3,4-dicarboxylic acid,⁷¹⁴ (ix) cycloalkenobenzofurans,⁷¹⁵ (x) 3-aryl-2,3-dihydrobenzofurans,⁷¹⁶ (xi) fluorescent 3-aminobiphenyl-*C*nucleosides,⁷¹⁷ (xii) 2-amino-5-(2-deoxy- β -D-ribofuranosyl)pyridine,⁷¹⁸ (xiii) β -ribofuranosides,⁷¹⁹ (xiv) pharmaceutically interesting chiral tetrahydrofurans,⁷²⁰ (xv) 4(5)-(β -D-ribofuranosyl)imidazole,⁷²¹ (xvi) 8-oxa-3-azabicyclo[3.2.]]octane-6,7-diol,⁷²² and (xvii) 3'- β -*C*-branched anhydrohexitol nucleosides.⁷²³ The structural drawings corresponding to these references are given in the Supporting Information (Table S5).

A ready access to chiral substituted morpholines and dioxanes (e.g. **223–224**) is achieved by diol cyclization as shown in Scheme 54 (a and b).⁷²⁴ In the synthesis of **224**, to alleviate the problem arising from oligomerization, the authors used dilute (0.1 M) conditions. Enantiomerically pure arylmorpholin-2-ylethanols have also been prepared earlier by a similar route.⁷²⁵ Jew et al. employed Mitsunobu cyclization for preparing (2*R*,3*S*)-(+)-catechin **225** (Scheme 54c), a member of the category of 3-flavanols.⁷²⁶ It may be

Scheme 54



noted that a five-membered ring also might have formed in this reaction. The same saturated six-membered ring in flavanone (both enantiomers), 2-methylchromanone,⁷²⁷ and 3-hydroxyflavanones⁷²⁸ was also constructed using the Mitsunobu etherification protocol. This type of chroman ring is fairly easily synthesized if suitable OH groups are available at the 1,5-positions.⁷²⁹ Hodgetts has also utilized the Mitsunobu protocol for the cyclization step leading to the saturated ring in the asymmetric synthesis of (S)-2,6dimethylchroman-4-one⁷³⁰ and other 2-substituted chroman-4-ones, including (-)-pinostrobin.⁷³¹ The author has pointed out that the specific rotation of the synthetic (S)-2,6dimethylchroman-4-one was higher than that for the natural product. The intermediates 2-methyl- and 2,3-dimethylchromanones required for (+)-calanolide A, an anti-HIV agent, were prepared by a Mitsunobu intramolecular cyclization.⁷³²⁻⁷³⁴ Other applications leading to six-membered heterocycles involve (i) heliquinomycinone reported by Danishefsky and co-workers,735 (ii) functionalized steroid-like molecules reported by Yus and co-workers,⁷³⁶ and (iii) the structural elucidation of capituloside reported by Zhou and coworkers.737

The electron-poor benzopyran **226** could be obtained via intramolecular cyclization using a Mitsunobu protocol (Scheme 55a).⁷³⁸ This reaction showed that intramolecular ether formation could be readily achieved in an inactivated system also. Another example that led to the fused ring system **227**, utilizing TMAD that enhanced the reactivity of these nucleophiles, is shown in Scheme 55b.⁶² This paper also dealt with a variety of other heterocycles generated via Mitsunobu cyclization. The δ -*altro*-2,6-dihydroxy-hexonolactones underwent efficient Mitsunobu dehydration by ring closure of the C^2 -OH onto C^6 to lead to tetrahydropyrans (bicyclic lactones).⁷³⁹ Reduced phenoxazines that contain a sixmembered ring have also been prepared by Mitsunobu

Scheme 55



etherification.⁷⁴⁰ In the etherification of protected polyhydroxy pyrrolidines bearing a CH₂OH connected to the carbon adjacent to the nitrogen of the ring, in addition to the expected ethers, ring enlargement to piperidines also took place, as shown by Dondoni et al.⁷⁴¹ Intramolecular etherification leading to the formation of dihydrobenzo[f][1,4]oxazepin-5-one (228), which contains a seven-membered ring, is also reported (Scheme 55c).742 The amide functionality did not appear to adversely affect the reaction, and the best results were achieved by using DEAD in the presence of triethylamine ($\sim 65\%$ yield). An analogous route is adapted by the same research group to prepare many other tetrahydrobenzo[1,4]diazepinones.743 Oxepane/oxepin derivatives,744-746 including the naturally occurring radulanin E, were synthesized similarly.⁷⁴⁶ Dehydration leading to the construction of the seven-membered oxygen heterocycle in dihydro-6,13dioxabenzo[3,4]cycloheptanaphthalene was also accomplished readily via etherification, despite the presence of two additional phenolic -OH groups in the precursor.747

In the synthesis of hepatitis C virus (HCV) protease inhibitors 229–230 that are potent therapeutic agents, the macrocyclization was accomplished by a Mitsunobu protocol using Ph₃P-ADDP.⁷⁴⁸ Although an NHC(O) group was present in the precursor, the reaction took place preferentially with phenolic -OH, and the yield for the cyclization step was quite good (66%). Arasappan et al. have synthesized 4-hydroxyproline derived macrocycles that showed HCV NS3 serine protease inhibitory activity.749,750 Novel prolinebased 16- and 17-membered macrocycles have been prepared by Chen et al. via intramolecular etherification using the combination Ph₃P-ADDP.⁷⁵¹ The authors stated that bubbling the reaction solution with argon gas helped in obtaining a better yield. Such a macrocyclization leading to a 17membered macrocycle has been used later by the same research group for the synthesis of potent inhibitors of the hepatitis C virus.752



Naturally occurring *S*-amino acids have been employed as chiral synthons for enantiomerically pure benzannulated

Scheme 56



oxazepine, diazepine, thiazepine, oxazocine, and diazocine compounds (e.g. **231–235**; Scheme 56).⁷⁵³ Both inter- and intramolecular Mitsunobu reactions have been used to construct these heterocycles. Either *N*-alkylation or ether formation was used in the cyclization process with the normal reagent system of Ph₃P–DEAD in THF (0 °C to room temperature) to obtain yields of >63%. The authors have stated that this was the first example where the Mitsunobu approach was utilized for the construction of *S*-amino acid-based seven- and eight-membered ring systems.

The monomer for poly(3,4-ethylenedioxythiophene) (PEDOT), a widely used antistatic coating in photographic films and an electrode material for solid electrolyte capacitors, is substituted 3,4-ethylenedioxythiophene (EDOT). Both achiral (236) and chiral (237) monomers were readily synthesized by using a Mitsunobu protocol (Scheme 57); the yields were moderate to good, except in the case of 1,2cyclohexane diol.754 Cyclization was effected by intermolecular dehydration. Use of a chiral glycol led to an inverted product. Reynolds and co-workers have reported compounds of type 236 as well as those derived from 1,3-diols (that lead to seven membered rings) in place of 1,2-diols. The yields were in the range 60–95%.⁷⁵⁵ Qing and co-workers have also prepared compounds of the type 236 with R = Hand $R' = -CH_2 - n - C_6 F_{13}$; after the removal of the carboxylate group, they obtained the corresponding poly(ethylenedioxythiophene).⁷⁵⁶ Analogous pyrrole derivatives and crown ethers based on such thiophene/pyrrole skeletons (e.g. 238–239; for applications to sensory electroactive polymers) have been synthesized by a similar route.757,758

Selective ring closures of *p-tert*-butylcalix[4]arene (**240**) and *p-tert*-butylthiacalix[4]arene (**241**) with diols have been effected using a Mitsunobu protocol in very short reaction times.⁷⁵⁹ The 1:1, 2:2, and 1:2 couplings, leading to **242–246**, were observed depending upon the type of glycol used (Scheme 58). It was also shown that the sterically less hindered 1,3-phenolic positions were more prone to alkylation and the corresponding 1,3-diethers were readily formed by using simple alcohols.⁷⁶⁰ This observation was later used to obtain ethers with long chain chloro and bromo alcohols.⁷⁶¹ The resulting mono- and diethers with residual –Cl or –Br functionalities were needed for the generation of macrocycles. Following the same approach, synthesis of macro-



cycles containing 1,1'-bi-2-napthoxy derivatives could be accomplished. The thiacalixarene **241** underwent an unusual reaction with 1,2-diols in the presence of Ph₃P–DEAD, and the structure **247** was assigned to the product.⁷⁶² The same principles were extended to the preparation of (i) oligoethylene glycol analogues composed of O, S, and N atoms in the chain⁷⁶³ and (ii) macrocyclic ethers containing two calixarene units.⁷⁶⁴ Chiral macrocycles capped by carboxamide bridges were also synthesized by chemoselective intramolecular ring closure on the phenolic OH groups of *p-tert*-butylthiacalix[4]arene-1,3-bis(*N*-hydroxyalkylamides) under Mitsunobu conditions recently.⁷⁶⁵ Bartsch and co-workers have also reported calixarene-crown ethers that were prepared using a Mitsunobu route and used later for competitive metal ion extraction.⁷⁶⁶

EtO₂C

ĊH,Ph

238

CO_Et

239

4.3. *O*-Alkylation (Ether Formation) via an NHC(O) to N=C(OH) Proton Shift

When there is an NH-C(O) group in the molecule, Mitsunobu coupling using such a compound as a nucleophile often leads to O-alkylation, effectively via the N=C(OH) tautomeric form. Thus, in the Mitsunobu coupling of 2,6di(pyridin-2-yl)pyridin-4(1H)-one (248), ether formation instead of N-alkylation was the preferred pathway, as shown by Hovinen (Scheme 59).⁷⁶⁷ This method has been utilized to obtain 249 with a terminal alkyne unit. In all cases, the reaction was completed in a few hours at room temperature. In the glycosylation of the 8-oxo-purine nucleoside 2',3',5'tri-O-acetyl-6-N-trityl-8-oxoadenosine with 2,3,4,6-tetra-Obenzylmannopyranose, Sugimura and co-workers have obtained the O-alkylated product in excellent yield.⁷⁶⁸ In the Mitsunobu reaction of N-(2-hydroxyethyl)ureas PhNHC-(O)NRC(R'R")CH₂OH using Ph₃P-DEAD, a mixture of Oand N-alkylation products or a single isomer was obtained, depending on the substrates.⁷⁶⁹ O-Alkylation via a NH-C(O) to N=C(OH) tautomeric shift was used by Maruyama and





(247)

Scheme 59



co-workers to obtain a uric acid derivative.⁷⁷⁰ However, an exocyclic NHC(O)CH₃ group connected to guanosine underwent only *N*-alkylation leading to N^2 -alkylguanosine.⁷⁷¹ In the synthesis of pteridine $-N^8$ -nucleosides, Pfleiderer and co-workers utilized an *O*-alkylation in an intermediate step for protection of an amide functionality.⁷⁷²

An interesting case of O(S)-alkylation with cyclization involved bisbenzoxazoles and bisbenzothiazoles of type **250** (Scheme 60a).⁷⁷³ Here also, an NH to OH tautomerization is required prior to cyclization and crude dihydroxyaryl diimides could be used directly. A possible mechanism involving the phenolate salt of [(EtO₂C)HN-N(CO₂Et)-PPh₃]⁺ was also proposed by the authors. Another interesting case entails a highly effective route for the synthesis of polymer-bound 2-(3-aryl-1H-pyrazol-4-yl)-1,3-benzoxazole **251** but by using a stepwise solid phase path with Mitsunobu cyclization as the key step (Scheme 60b).⁷⁷⁴ Here, the *n*-Bu₃P-DEAD reagent system was employed. The reaction did not go to completion when Ph₃P was used instead of *n*-Bu₃P. An earlier report by Wang and Haske also involved an analogous solid phase synthesis.775 A similar intramolecular etherification afforded interesting carbocyclic nucleosides such as 252 (Scheme 60c);⁷⁷⁶ the derivative with a PMB group in place of triphenylmethyl (Trt) is also known.⁷⁷⁷ Oxazoline formation by this route appears to be facile, and many useful ligands for transition metals and an intermediate for norpseudoephedrine (253, Scheme 60d) have been synthesized.778,779 An unwanted oxazoline formation was noted by Jackson and Zhang in the course of their studies on the carbacephem antibiotic loracarbef.⁷⁸⁰ In the reactions of saccharins, Woodward and co-workers observed Oalkylation with alcohols using a Mitsunobu protocol, while that using alkyl halide and a base afforded N-alkylated derivatives.⁷⁸¹ Upon Mitsunobu etherification conditions, β -hydroxy amides gave oxazines (O-alkylation), while β -hydroxy thioamides gave either thiazines (S-alkylation) or pyrrolidines (N-alkylation) depending on the substituents present in the precursors.782

D-Mannono-1,4-lactone was efficiently converted into L-ribose **256**, in which a key step was the cyclization of γ -hydroxyalkoxamate **254** (Scheme 61).⁷⁸³ The *O*-alkylation product was obtained in 94% yield with none of the *N*-alkylation product detected in the cyclization process. Using D-glycono-1,5-lactones, L-pyranoses were obtained

Scheme 60





similarly. Here, depending on the stereochemistry of the substituents, *N*-alkylation was observed in a few cases with *O*-alkylated product still predominating.^{783,784} *O*-Alkylation on purine bases was also employed in the synthesis of protected *O*⁶-deoxyguanosine derivatives via NHC(O) to N=C(OH) tautomerization.⁷⁸⁵ Similarly, 9,*N*²-diacetylguanine reacted with 2-(*p*-nitrophenyl)-ethanol to give the *O*-alkylated compound *N*²-acetyl-*O*⁶-(2-(*p*-nitrophenyl))eth-yl)guanine.⁷⁸⁶ For *O*⁶-protection in the synthesis of *N*²-benzyl[2-¹⁵N]guanosine⁷⁸⁷ and 2,8-disubstituted guanosine derivatives also,⁷⁸⁸ a similar etherification with 2-(4-nitrophenyl)ethanol has been utilized.

Azidothymidine (AZT)/Zidovudine (ZDV)/Retrovir/Retrovis (**257**) is a drug approved for treatment of HIV. Balagopala and co-workers have described a high yield route to this compound using a Mitsunobu protocol (Scheme 62).⁷⁸⁹ In the first step, Mitsunobu dehydration converted thymidine into 2,3'-anhydrothymidine, which upon heating with NaN₃ gave AZT in an overall yield of 62%. It is interesting to note that the configuration at the secondary alcohol site was retained after azidation. A similar chemistry has been utilized by Marquez et al. for the synthesis of many AZT analogues.⁷⁹⁰ In another study, Christopher Wilds et al. have synthesized oligonucleotides containing an alkyl interstrand





Scheme 63



cross-link between the two O^6 atoms of deoxyguanosine by using a Mitsunobu protocol, where the coupling probably occurred via a NHC(O) to N=C(OH) tautomerization.⁷⁹¹

In the synthesis of 1-sulfonyl-1,4-diazepan-5-ones, Banfi et al. encountered an interesting difference in reactivity between the cis- and trans-isomers of substituted pyrrolidines 258-259 (Scheme 63).⁷⁹² While the *cis*-isomer gave the O-cyclized eight-membered ring containing product 260, the trans-derivative gave a different product 261, in which cyclization led to a fused spirocyclic five-membered ring system. The yields were quite good in both the cases. The two types of proton shifts [NH-C(O) to N=C-(OH) andCH-C(O) to C=C(OH)] coupled with different orientations of the reactive functional groups might have contributed to this difference. These results also show that different amidic groups can interfere with the expected course of the Mitsunobu reaction. In the reaction of 3-methyl-4H-[1,2,4]oxadiazol-5-one, although both N- and O-alkylation occurred, the authors noted that alkylation was selective for the allylic when compared to alkyl sites of the alcohol substrates.⁷⁹³ In the synthesis of many isoquinoline/isoquinolinone-based prodrugs, Threadgill and co-workers used both O-alkylation and ring N-alkylation.794 Isoquinolin-1-ones795 and 3-methyl-1-phenyl-2-pyrazolin-5-ones⁷⁹⁶ underwent predominantly Oalkylation with benzyl alcohol under Mitsunobu conditions. Sulfahydantoins reacted with hydroxyl acids (e.g. ethyl (S)lactate) to lead to O-substituted compounds rather than the expected N-alkylated ones. Here also NH-C(O) to N=C(OH)tautomerization might have occurred prior to O-alkylation.⁷⁹⁷ In an earlier study by Overman and Zipp, it was noted that [PhC(O)]₂NH underwent Mitsunobu *O*-alkylation with allylic alcohols [e.g., PhCH=CH-C(Me)(OH)] to afford allylic N-(benzoyl)benzimidates.⁷⁹⁸ N³-Benzoyl thymine gave more of the O-alkylated product while the primary base thymine itself underwent predominantly N-alkylation with 2-(tetrahydropyran-2'-yloxymethyl)hexahydropyrrolo[1,2]isoxazol-4ol in the Ph₃P-DEAD/dioxane system.⁷⁹⁹ O-Alkylation after tautomerization was also employed in the preparation of isoxanthopterin N^{8} -(2'-deoxy- β -D-ribonucleosides by Lehbauer and Pfleiderer.⁸⁰⁰ Synthesis of nucleoside-based solid supports, in which the nucleosides are anchored onto the resin



through the base, has been reported by Di Fabio and coworkers.⁸⁰¹ The authors were able to obtain high yields of the products using the normal reagents $Ph_3P-DEAD$ in THF-CH₂Cl₂ medium.

5. Amines, Amides (Including Nucleobases), or Azides as Nucleophiles

The utility of the Mitsunobu reaction in the conversion of alcohols to amines using acidic imide derivatives as nucleophiles is well-known.¹⁵ Phthalimides and related compounds in which an NH is connected to an electronegative group (e.g. *o*-nitrobenzenesulfonyl) can readily take part in *N*-alkylation. In place of phthalimide, one can use nucleobases. Suitably protected amino acid moieties also contain activated NH moieties that readily undergo Mitsunobu alkylation, thus expanding the scope of this reaction enormously. Finally, HN₃ or any of its alternative sources, such as trimethylsilyl azide, diphenylphosphoryl azide (DPPA), and zinc(II) azide, do take part in this reaction. Since the resulting phthalimides or azide functionalities can be conveniently transformed into amines or heterocycles, the Mitsunobu protocol is useful in various organic syntheses. These aspects are discussed below.

5.1. *N*-Alkylation Using Phthalimide and Related Imides

Allylic amines can be prepared by the reaction of corresponding allylic alcohols with phthalimide under Mitsunobu conditions followed by treatment with methylamine. Deprotection using methylamine rather than hydrazine hydrate would alleviate the problem of allylic rearrangement and destruction of sensitive functionalities, besides providing very mild reaction conditions, high yields, and high isomeric purity. This procedure was used for the preparation of farnesyl amine 262, a potent in vitro inhibitor of ras prenyl transferase and synthetic precursor to several squalene synthase inhibitors (Scheme 64a).⁸⁰² Buser and Vasella have utilized phthalimide for the synthesis of 7-oxanorbornanyl amino alcohols (e.g. 263). For the removal of the phthalimide residue later, they used hydrazine (Scheme 64b).803 The yields were pretty good. Gotor and co-workers obtained orthogonally protected cis- and trans-indane-1,3-diamines in high yields using the phthalimide route.⁸⁰⁴ The inversion process (>99% ee) in this reaction was corroborated by a combination of NMR spectroscopy and molecular modeling. Linares et al. reported that although the phthalimido group could be readily introduced at the 5"-position of ribostamycin, a similar reaction was not possible on neomycin B, wherein a competitive bicyclization process involving other functional groups occurred.⁸⁰⁵ Deguin and co-workers were able to introduce more than one NH₂ group using a phthalimide protocol in the conversion of aucubin into aminoside antibiotic analogues, diamino-dideoxyaucubin and triamino-trideoxyaucubin.806 They had earlier prepared polyfunctionalized tetrahydro-1H-cyclopenta[c]furan glucosides also by starting with aucubin.⁸⁰⁷ Yuan, Fujita, and co-workers have been successful in the conversion of two adjacent primary face -OH groups of α -cyclodextrin (α -CD) to phthalimide derivatives.⁸⁰⁸ The monosubstituted derivative 264 was obtained in 41% yield using a 3.3:1.8:2.4:1 molar ratio of phthalimide, DEAD, Ph₃P, and α -cyclodextrin with DMF as a solvent at room temperature. A mixture of three bis-isomers of 265 (A, B, C) and the mono compound (yields of 22%, 9.5%, 4.6%, and 13%, respectively) were obtained



using a 7:4.6:4:1 stoichiometry of the same reagents. All these intermediates could be successfully converted to the amino compounds by hydrazinolysis. Another recent report, by Pirondini et al., involved phthalimide mediated conversion of -OH to NH_2 (and then to hydrochloride) at the lower rim of tolylpyridine-bridged cavitands for use as watersoluble molecular receptors.⁸⁰⁹ In a reaction reported by Morita and Krause, the allenyl alcohol 266 was converted to the α -aminoallene 267 in good yield with complete inversion as depicted in Scheme 65.810,811 N-Alkylation of nitrophthalimide using DEAD incorporated nanoporous magnesium aluminometasilicate tablets has been reported recently. In this process, however, the phosphine reagent has not been specified.⁸¹² A phthalimide analogue supported on aminomethyl polystyrene resin has been prepared and used for the solid phase synthesis of 5'-amino-5'-deoxy- N^6 benzyladenosine by Aronov and Gelb.813

An elegant use of Mitsunobu N-alkylation for the synthesis of chiral synthons has been developed by Grycko et al.⁸¹⁴ For example, the chiral diamine 268 was obtained by starting with L-tartaric acid, as shown in Scheme 66a. The utility of this precursor for the macrocyclization process was also demonstrated by the authors in the same paper. Another important reaction is reported by Yan and Rajan Babu in which the more reactive benzylic alcohol reacted with phthalimide to afford **269** (Scheme 66b).⁸¹⁵ In the preparation of phthalimide derivatives of resin-linked benzyl alcohol, Krchňák reported that adding DIAD to a solution of Ph₃P and phthalimide in anhydrous DMF and then adding the solution to the resin avoided the formation of side products significantly.⁸¹⁶ Other examples wherein a similar phthalimide route was put to use include the synthesis of (i) the antitumour agent batracylin,⁸¹⁷ (ii) the amine-functionalized crown chalcogenide 6-amino[14]aneS₄,⁸¹⁸ (iii) gem-diamine 1-N-iminosugars [\alpha-L-fucosidase/glycosidase inhibitors], 819-821 (iv) α, α -difluoro- β -amino acids,⁸²² (v) amino-substituted triazoles,⁸²³ (vi) gem-difluoroallenylamines,⁸²⁴ (vii) 4-amino-*N*-methylproline derivatives (CLX peptide),⁸²⁵ (viii) diaminocyclopentathiaphenes,826 (ix) optically and diastereomerically pure N-protected (2S,3R)-2-amino-3-fluoroundecanoic and (3R)-3-amino-2,2-difluoroundecanoic acids,⁸²⁷ (x) ditopic Swamy et al.

Scheme 67



ligands containing both tetrazole and 1,2,4-triazole moieties,⁸²⁸ (xi) N-methylphthalimide,⁸²⁹ (xii) (R)-3-aminooctanoic acid (D-BAOA) from (S)-1-octyn-3-ol,⁸³⁰ (xiii) azaanalogues of batracylins,⁸³¹ (xiv) 1*α*-amino- and 1,3-diaminosubstituted 1 α ,25-dihydroxyvitamin D₃ analogues,^{832,833} (xv) chiral macrocyclic bisamides derived from D-mannitol and L-threitol,⁸³⁴ (xvi) chiral *trans*-2,3-bis(aminomethyl)norbornane,⁸³⁵ (xvii) N-[1-(2-allyl-3-benzyloxy-4,6-dimethoxyphenyl)ethyl]acetamide,836 (xviii) amino di(ethylene glycol)terminated alkylthiol (AEG2) designed to form self-assembled monolayers (SAMs) on gold,⁸³⁷ (xix) α-aminophosphonates [(Boc)NHP(O)(OEt)2; HN3 route was also used],⁸³⁸ (xx) nor-seco-taxoids,⁸³⁹ (xxi) 4-(aminoalkyl)estra-diols,⁸⁴⁰ (xxii) *tert*-butyl methyl-*N*-tributylstannyliminodicarbonates,⁸⁴¹ (xxiii) polyhydroxypiperidines,⁸⁴² (xxiv) 2-azaspiro[5.5]undecane,⁸⁴³ (xxv) (-)-(R)- and (+)-(S)mexiletine,844 (xxvi) a bisubstrate inhibitor bound to the enzyme catechol-O-methyltransferase,845 (xxvii) fused carbolines,⁸⁴⁶ (xxviii) 5'-ethylenic modified L-nucleosides,⁸⁴⁷ (xxix) the pineal hormone melatonin,848 (xxx) 10-unedecene-1-amine,⁸⁴⁹ (xxxi) chiral N-substituted phthalimides for liquid crystalline applications,850 and (xxxii) 2'-O-aminoethyl adenosine.851 The structural drawings pertaining to these references are given in the Supporting Information (Table S6).

N-Alkylation has been applied for synthesizing a variety of donor tethered phthalimides and naphthalimides (e.g. **270**; Scheme 67) that underwent interesting photochemical reactions such as the one leading to **271**.⁸⁵² The more acidic tetrachlorophthalimide has been shown to be an excellent agent for displacement of primary OH groups in a wide variety of substrates.^{853,854} Secondary alcohols also reacted readily, except in carbohydrates where the success rate was low. In a competition experiment between phthalimide and the tetrachloro counterpart, no trace of a product from the former could be found.

The reaction using phthalimide can be extended to those containing two phthalimide units that lead to polymeric materials with useful properties. Thus, Yoon and Shim have reported the synthesis of several NLO-functionalized polyimides by *N*-alkylation (Scheme 68). All the monomeric imide was consumed during the reaction.⁸⁵⁵ These polymers showed high nonlinearity and good temporal stability. The $\chi^{(2)}_{33}$ value of **272** with a quartz crystal as the reference was found to be 82 pmV⁻¹ (the SHG signal was stable up to 150 °C). Analogous polymers using a similar alcohol but with an azo functionality, also for NLO usage, were prepared by Lee and co-workers.⁸⁵⁶ Many other applications on func-





tionalized second-order NLO active polyimides have been reported.^{857–863,700–703}

Use of a diimide in place of a monoimide can lead to macrocycles. An elegant display of such a result has been reported by Sanders and co-workers in the synthesis of a series of macrocycles (e.g. 275) utilizing Mitsunobu alkylation of diimides (Scheme 69).^{864,865} The same route led to the novel catenane 276 [275 + naphthyl crown ether] by the Mitsunobu N-alkylation of 273 with 274 in the presence of a naphthyl crown ether. Donor-acceptor macrocycles incorporating tetrathiafulvalene and pyromellitic diimide were also synthesized using a similar strategy.⁸⁶⁶

A rapid and efficient two-step synthesis of monoalkylated tert-butylcarbazates via a Mitsunobu protocol using N-tertbutoxycarbonylaminophthalimide and other acylphthalimides as acid partners was developed by Jamart-Grégoire and co-workers (Scheme 70).^{867–872} Synthesis of compounds such as 277 could be extended to obtain optically pure α -hydrazino esters. Removal of one of the protecting groups led to α -hydrazino esters (e.g. 278). The same research group



has also shown that aminophthalimide derivatives are better acidic partners than the aminoimidodicarbonate (NBoc₂) analogues and have reduced steric hindrance.⁸⁷³ Solid phase synthesis of orthogonally protected α -hydrazine acid derivatives using activated phthalylhydrazines has been reported by Brosse and co-workers.⁸⁷⁴

In place of phthalimide, maleimide has also been utilized as the nucleophilic component.^{875–878} Thus, by starting with 279, the N-alkylated compound 280 was obtained (Scheme 71a).^{875,876} However, when the amino alcohol 281 was used, unusual reactions took place, leading to the cyclic compounds 282 and 283 (Scheme 71a). Compound 282 was the sole product in the absence of maleimide and neopentyl alcohol.877 In another report, maleimide moieties of $poly(\alpha$ -methylstyrene-co-maleimide) were connected to disperse red 1 (DR1) chromophore via the Mitsunobu reaction;879 the same research group has also reported many other similar nonlinear optical polyimides using etherification.⁸⁸⁰ The degree of substitution of the chromophore was dependent on the solvent as well as steric factors. N-Alkylation of phthalimide as well as a large number of analogous cyclic imides has been employed for the preparation of a variety of P2X7 receptor antagonists.⁸⁸¹ The yield was excellent in the case of phthalimide but moderate in the case of other cyclic imides (e.g. thiazolidine-2,4-dione). Even glutaramide was used in the synthesis of (\pm) -lasubine I and (\pm) -lasubine II.⁸⁸² There is also a report on the use of cantharidinimide and $4-RC_6H_4CH_2OH$ (R = Me, Cl, NO₂) in the Mitsunobu N-alkylation.⁸⁸³ A moderate excess of the reagents (1.5 mol equiv) afforded good yield of the product. Substituted hydantoins 284 have a (O)C-NH-C(O) skeleton similar to phthalimide, and this has been made use of in Mitsunobu *N*-alkylation (Scheme 71b).⁸⁸⁴ The resulting product **285** was then converted in later steps to muscarinic M3 receptor antagonists, the diaryl imidazolidin-2-ones. N-Alkylation of triazolopyridazines possessing a -C(O)-NH-C(O) skeleton was similarly effected to obtain the corresponding Nalkylated derivatives.885 Solid supports linking nucleoside scaffolds have been obtained by alkylating hydroxyalkyl TentaGel-resin with an imino function of suitably modified nucleosides;⁸⁸⁶ some of these were employed later for the preparation of uridine hybrids. Using 2-methylfuran-protected maleimide and an appropriate alcohol, Tweig and co-workers have synthesized a sizable number of dicyanodihydrofuran (DCDHF) fluorophores (e.g. 286) in very good overall yields. These derivatives might be useful in single molecule fluorescence imaging.⁸⁸⁷ Polyimides that can be coated on



films and show optical anisotropy have been synthesized at room temperature by the reaction of diols with diimides using the Mitsunobu protocol.⁸⁸⁸ This procedure was deemed useful for temperature sensitive applications.

5.2. *N*-Alkylation with Sulfonamides and Related Nucleophiles

In Fukuyama's modification (Fukuyama-Mitsunobu reaction; N-alkylation of secondary sulfonamides under Mitsunobu conditions), either nitrobenzenesulfonamide (2- or 4-substituted) or 2,4-dinitrobenzenesulfonamide is employed as a pronucleophile (Scheme 72a).^{889–891} A useful procedure for the preparation of one of the pronucleophiles, (Boc)NH(o-Ns) (o-Ns = o-nitrobenzenesulfonyl), is available.⁸⁹² After the N-alkylation, the sulfonamide portion can be readily removed by treatment with thiols. Secondary amines (e.g. 287) are the final products. Fukuyama and co-workers have utilized their protocol in the total synthesis of lipogrammistin-A and (-)-ephedradine A.⁸⁹³⁻⁸⁹⁵ The viability of this protocol has been improved upon by Guisado et al. through the use of the diphenylpyridinylphosphine and DTBAD.⁸⁹⁶ Using this technique, they have prepared a complex lipopeptide (lung-targeted gene delivery agent). Pyrazine heterocycles (e.g. 288, Scheme 72b) for peptidomimetic drug design were conveniently obtained by Zapf and co-workers by an intramolecular Fukuyama-Mitsunobu reaction.897 Using this methodology, they were successful in obtaining several somatostatin receptor analogues. Chiral and achiral peptide nucleic acid (PNA) monomers have been prepared via N-(o-Ns) protected amino acid esters.⁸⁹⁸ Here, deprotection of the o-Ns group in a later step was accomplished by



PhSH– K_2CO_3 in acetonitrile. Viirre and Hudson used the Fukuyama–Mitsunobu reaction on a resin bound amino acid to obtain similar PNA monomers.^{899,900} In this case, the combination *n*-Bu₃P–TMAD in the presence of an excess of base had to be used; the normal reagent system Ph₃P–DEAD did not work. In the synthesis of tripeptides that are useful as potential peptide turn mimetics, Liskamp and co-workers have used *N*-(*o*-Ns) activation for



Scheme 74



N-alkylation;^{901,902} 5 mol equiv of the reagents per mole of the nucleophile were utilized. Functionalized piperazin-2ones were also readily synthesized by intramolecular cyclization of precursor amino alcohols possessing an NH(o-Ns) group via the Mitsunobu protocol.⁹⁰³ Olsen, Franzyk, and co-workers have checked various reagent combinations and found that the normal Ph₃P-DEAD or DIAD combination is sufficient to effect N-monoalkylation of peptides and sulfonamides.⁹⁰⁴ In successive alkylation steps the yields dropped, and this was identified as a limitation of the Fukuyama-Mitsunobu reaction in the solid phase synthesis of polyamines by starting with secondary alcohols. Their group as well as Bycroft and co-workers have earlier used the same reaction for the solid-phase synthesis of polyamine toxins (e.g. PhTX4.3.3).905,906 This Fukuyama modification has also been utilized recently for the solid-phase synthesis of mono-N-protected diamino acids 291 and 292 (Scheme 73a).⁹⁰⁷ Here, the Me₃P–ADDP combination worked satisfactorily for 1,4-diazepanecarboxylic acid 292 but not for the piperazinecarboxylic acid 291. For the latter, the Et₃P-DEAD combination gave reasonable yields. The precursors 289–290 in these reactions were obtained by the aminolysis of *p*-nitrobenzenesulfonyl (*p*-Ns) activated aziridines. The resin part was cleaved subsequent to the Fukuyama-Mitsunobu reaction using trifluoroacetic acid to obtain the desired products. This protocol was applied for the preparation of enantiopure piperazine carboxylic acid derivatives (e.g. 294, Scheme 73b). Nuss and co-workers synthesized *N*-substituted α -amino acids and trisubstituted diketopiperazines (DKPs) in the solid phase and obtained excellent yields of the products with high purity.⁹⁰⁸ Other interesting applications of solid-phase methodology include the synthesis of (i) agel 416, an acylpolyamine found in spiders, by Hone and Payne,⁹⁰⁹ (ii) amine-bridged cyclic enkephalin analogues by Rew and Goodman,⁹¹⁰ (iii) tetrahydropyrazine-2-ones by Kung and Swayze,⁹¹¹ and (iv) hydroxyindoline-derived tricyclic derivatives by Arya and co-workers.⁹¹²

Generation of an eight-membered ring is also not too difficult, as shown by Fukuyama and co-workers in the enantioselective total synthesis of antitumor antibiotic FR900482 (**297**) (Scheme 74a).⁹¹³ The intermediate **296** was obtained in good yield (71%, including a previous step) via Mitsunobu *N*-alkylation. The synthesis of the racemic form was reported before.⁹¹⁴ They have also shown that this route is more general and can be adapted for the preparation of cyclic amines with eight to ten membered rings by starting with (*o*-Ns)NH(Boc).⁹¹⁵ The key macrocyclization step in the synthesis of the natural product vinblastine **298** was also accomplished via the Mitsunobu protocol by Fukuyama's group recently (Scheme 74b).⁹¹⁶

A primary amino group can be made more nucleophilic by converting it to a pernosylated [*o*-Ns] derivative, as can be seen by the examples cited above. This feature has been utilized in the synthesis of azamacrocycles of type **299** (Scheme 75a).⁹¹⁷ It should be noted that preparation of these







1,4,7-triazacyclodecanes was not possible by starting with the corresponding tosylate in DMF in the presence of Cs_2CO_3 . As discussed above (Scheme 74), intramolecular cyclization using amine and alcohol functionalities on the same molecule is also feasible. Thus, the amino alcohol 300 readily gave pyrrolidine 301 upon treatment with Ph₃P-DIAD (Scheme 75b).⁹¹⁸ The tosyl group was subsequently cleaved using Mg/MeOH to obtain the free amine for further reactions. The same route was utilized to construct four-membered rings919 as well as macrocycles.920 N-Alkylation of o-Ns-substituted amines was one of the important steps in solid phase combinatorial synthesis of macroheterocycles⁹²¹ developed by Ramaseshan et al. The same research group also used tosyl-substituted nucleophiles to prepare structural analogues of tirofiban.⁹²² Protected (R)- α -phenylproline derivatives were prepared by a similar methodology by Betsbrugge et al.⁹²³

When (R,S)-1,3-butanediol was subjected to alkylation with 2-nitro-N(2-phenylethyl)benzenesulfonamide [PhCH2-CH₂NH(o-Ns)] using the n-Bu₃P-TMAD reagent pair, monoalkylation (63% yield) occurred predominantly at the primary alcohol site to lead to **302** (Scheme 76a).⁹²⁴ This situation is different from that seen for esterification of 1,2diols discussed above.^{83,300} Only 4% of the dialkylation product was isolated. Further reaction with Me₃P-ADDP gave the bis-alkylated product readily in 66% yield. Using a similar activation at the nitrogen center, Kirillova and coworkers have shown that Mitsunobu condensation is a universal preparative method which allows the formation of different reduced peptides (e.g. 303; Scheme 76b).⁹²⁵ For the synthesis of many pseudo-peptides, reaction of tertbutoxycarbonyl-protected amino alcohols with Pmc-protected amino esters (p $K_a \sim 12$; Pmc = 2,2,5,7,8-pentamethylchro-man-6-sulfonyl) has been utilized.⁹²⁶ The best conversion was obtained with the n-Bu₃P-TMAD reagent system. Falkiewicz has reported the synthesis of a PNA type monomer backbone with a reduced peptide bond using Boc-aminoet-



Scheme 78



hanol (derived from an amino acid) and resin-bound *o*nitrobenzenesulfonylglycine.⁹²⁷ A similar reaction leading to *o*-Ns protected pseudopeptides was also reported by Boyarskaya et al.⁹²⁸

5'-Aziridinoadenylates of the type **304** and related nitrogen mustard variants allow conversion of biological methyltransferases into nucleoside transferases, thus providing powerful tools for investigating *S*-adenosyl-L-menthionine (SAM)dependent methylation. A highly efficient synthesis of such molecules was achieved by using the Mitsunobu reaction in a multistep synthesis (Scheme 77).⁹²⁹ Here, adenine base protection was not required.

Chiral cyanohydrins and an *N*-protected sulfonamide as substrates in *N*-alkylation afforded new amino acids (e.g. **305**, Scheme 78a).⁹³⁰ Some of these amino acids have been obtained in high enantiomeric purity. In a few cases, however, there was no significant *ee*. *N*-Alkylation of peptides (e.g. **306**) containing a sulfonamide linker with a protected 2-mercaptoethanol has been conducted in solid phase (Scheme 78b) (fmoc = 9-fluorenylmethoxycarbonyl).⁹³¹ The product **306**, upon thiol deprotection (TBAF/AcOH) led to the corresponding thiol, which spontaneously rearranged to the thioester with a protected peptide; this, upon treatment with trifluoroacetic acid, furnished the free thioester **307**.

Many other amine nucleophiles and deprotection methods have been investigated for use, particularly in cases where sensitive functionalities are involved. One such precursor is HN(SO₂CF₃)(CH₂CN). This was readily alkylated using Ph₃P–DEAD (81–94% yield) and an appropriate alcohol. Subsequent base-catalyzed elimination of trifluoromethanesulfinate yielded synthetically valuable iminoacetonitriles, RN=CH(CN).⁹³² Alternatively, Dominguez and co-workers
Scheme 79



utilized N-trifluoromethanesulfonamide as a pronucleophile to convert -OH to an -NHR group in the synthesis of clavizepine analogue 310.933 This substrate was regarded as more efficient than N-tosyl derivatives (discussed below), and it afforded a yield of 80% with only very minor amounts of side products (Scheme 79). The trifluoromethanesulfonamide group was replaced later by hydrogen via Red-Al. In another work, substituted trifluoromethanesulfonamides $CF_3SO_2N[(CH_2)_3R]_2$ (R = C_nF_{2n+1} ; n = 4, 6, 8, 10) were obtained in high yields using CF₃SO₂NH₂ and perfluoroalkanols in the presence of Ph₃P-DEAD/diethyl ether.⁹³⁴ Here, products were isolated by fluorous extraction and fluorous solid-organic liquid filtration. Wanner and co-workers utilized 1-phenyl-3-(2,2,2-trifluoroacetyl)urea [PhNHC(O)N-HC(O)CF₃] in N-alkylation reactions to prepare many glycine equivalents.⁹³⁵ Three other useful perfluoroalkyl group containing nucleophiles that have been shown to undergo facile N-alkylation are (i) substituted trifluoroacetamide,⁹³⁶ (ii) perfluoroalkanesulfonamide, $C_8F_{17}SO_2NH(Et)$,⁹³⁷ and (iii) CF₃SO₂NH(Et).⁹³⁸

It is known that tosyl- and Boc-hydrazones are effective nucleophiles in the Mitsunobu reaction.939 While tosyl hydrazones reacted cleanly with primary and secondary alcohols (ROH) when coadministered to a cooled Ph₃P-DTBAD or Ph₃P-DEAD complex to afford products of type 311, Boc-hydrazones required electron-withdrawing substituents for the reaction to take place. It is also reported in the same paper that preformation of Ph₃P-DEAD complex prior to the addition of alcohol and hydrazones gave superior results. An interesting application of N-isopropylidene-N'-2-nitrobenzenesulfonyl hydrazine (IPNBSH, 312; cf. Scheme 80) in the reduction of alcohols has been reported by Movassaghi and Ahmad recently.940 The Mitsunobu derivative after removal of the sulfonate group underwent loss of nitrogen from monoalkyl diazene intermediate to afford the final product. This procedure has been adapted to obtain the triene 313 from farnesol. Earlier, a similar reaction using o-nitrobenzenesulfonylhydrazine (NBSH) was published by Meyers et al.⁹⁴¹ There, the reaction of NBSH with RCH₂OH vielded the alkane via the sequence RCH₂N(NH₂)SO₂(2- $O_2NC_6H_4) \rightarrow [RCH_2N=NH] \rightarrow RCH_3.$



Synthesis of a diverse class of substituted allenes (e.g. **315**) was achieved by Myers and Zheng in a single step starting from propargylic alcohols (e.g. **314**) using an activated hydrazine nucleophile (Scheme 81).⁹⁴²⁻⁹⁴⁵ Among several







such nucleophiles, *o*-nitrobenzenesulfonylhydrazine proved to be the best. Premixing Ph_3P (1.5 equiv) and DEAD (1.5 equiv) in THF at -15 °C and then adding the propargylic alcohol (1 equiv) and finally the substituted hydrazine (1 equiv) afforded the allenes in 72–77% yield in a single operation, after the extrusion of nitrogen and arylsufinic acid. This transformation proceeded with complete stereospecificity. It provides access to a wide range of optically active allenes, since a large number of optically active propargylic alcohols are available.

The silyloxy-substituted 1,8-naphthosultams **316** have been utilized to prepare many pharmacologically active anti-MRS carbapenem derivatives (e.g. L-786,392).^{946–948} $Bis(\beta$ -trimethylsilylethanesulfonyl)imide

(Me₃SiCH₂CH₂SO₂)₂NH is another synthetically valuable precursor developed by Weinreb and co-workers. The protective group can be readily removed later by fluoride ion.949 Many protected amine derivatives have been prepared by starting with this nucleophile. Unsymmetrical sulfamides were prepared by Ghassemi and Fuchs by alkylation of Bocsulfamides [e.g. (Boc)NH(SO₂N(Me)Ph)] with alcohols using microwave heating (1-4 min); the Boc-group was removed later using silica-bonded toluenesulfonic acid.950 The final sulfamides were released by treating the polymer sulfonate salt with NH₃/MeOH. Mitsunobu N-alkylation of a toluene sulfonamide [e.g. (Ts)NH(2-vinyl-C₆H₄)] was employed as one of the key steps by Theeraladanon et al. in the synthesis of (+)-(S)-angustureine, a novel quinoline alkaloid.⁹⁵¹ Tsunoda and co-workers have recently developed 2-(1,3-dioxan-2-yl)ethylsulfonyl (Dios) amides (e.g. 317) for activating the amino group in reactions using their alternative Mitsunobu reagent, (cyanomethylene)tributylphosphorane (CMBP). This compound is stable under basic as well as reductive conditions and can be removed by heating in a hot aqueous

solution of trifluoroacetic acid.⁹⁵² They have also reported that even *p*-toluenesulfonamide could be readily alkylated by CMBP.⁹⁵³ An interesting study on competitive *O*-(phenolic) vs *N*-(sulfonated/acylated) alkylation of tyrosine derivatives by Attolini et al. revealed that the selectivity was dependent on both steric factors and the pK_a values of the substrates.⁹⁵⁴



Synthetic procedures for N-activated precursors [o-NsNH-Boc, Ts-NH-Boc, etc.] that are useful in peptide chemistry, as well as several N-alkylations using these, have been reported by Kołodziejczyk and co-workers.955 The compound Ts-NH-Boc as the nucleophile led to either N-tosylated or N-Boc-protected amines (e.g. 318-319) via Mitsunobu N-alkylation followed by appropriate choice of the next deprotection step (Scheme 82).956 The same precursor was also employed by Taguchi and co-workers to prepare several tosylated tertiary amines.957 Other applications of sulfonamide activation include the synthesis of (i) highly functionalized isoxazoles via HN(Boc)(SO₂Ph),⁹⁵⁸ (ii) marine product α -kainic acid via (substituted allyl)HN-(tosyl),⁹⁵⁹ (iii) cyclic sulfamides via acyclic sulfonamides of the type RN(H)SO₂NH(Boc),⁹⁶⁰ (iv) *N*,*N*-bis[[6-(hydroxymethyl)pyridin-2-yl]methyl]-2-nitrobenzenesulfonamide using (o-Ns)NH₂ and 2,6-pyridine-dimethanol,⁹⁶¹ (v) (solid phase) polyamines via nosyl terminal secondary amines,⁹⁶² (vi) fmoc-protected amides via (fmoc)(Ts)NH,963 (vii) azabicyclic enones [and the alkaloid (-)-brunsvigine] using (Ts)NH(CH₂)_nCON(OMe)Me,⁹⁶⁴ (viii) an N-connected NAD analogue via [PhCH₂NHSO₂]₂CH₂,⁹⁶⁵ (ix) compound **320** via $(T_s)HNCH_2C \equiv C(TMS)$,⁹⁶⁶ (x) species **321** via 2,4-dinitro-N-phenylbenzenesulfonamides, 967 (xi) substituted N-hydroxysulfamides via (Boc)HNSO₂N(Me)(OTMBMS),968 (xii) cyclic oxazo derivatives via HN(p-Ns)(CH(Bn)CH=CH₂),⁹⁶⁹

Scheme 82



(xiii) chiral 3.4.5-trihvdroxy-2-piperidin-2-ones (solid phase) via (o-Ns)NH[CH(CO₂Me)CH₂CHMe₂],⁹⁷⁰ (xiv) multisubstituted urea derivatives of hydrazines via tosylated hydrazine precursors,⁹⁷¹ (xv) aspidospermidine via 2-IC₆H₄NHMs,⁹⁷² (xvi) pitiamide A via $(o-Ns)NH[C(O)CH_2CH_2CH=$ CHCH₂CH₂CH₂CH₃],⁹⁷³ (xvii) queuine from ribose and (o-Ns)NH[CH₂CH₂CH₂OTBDMS],⁹⁷⁴ (xviii) tosyl-substituted iodoanilines 322, which were required later for the synthesis of heterocyclic oximes,975 (xix) fluoride containing RCM precursors via (Ts)NHCH₂C(=CH₂)F,⁹⁷⁶ (xx) fosmidomycin (antimalarial drug) analogues via (*o*-Ns)NHOBn,⁹⁷⁷ (xxi) (–)metazocine via MeC(O)CH(CH₂Ar)NH(o-Ns),⁹⁷⁸ (xxii) orthogonally-protected α,β -diaminopropionic acids via (Ts)N-H(Boc),⁹⁷⁹ (xxiii) N-linked carbohydrate derivatives via glucose-6-sulfonamides,980 (xxiv) functionalized cyclopentenyl amines via BocNH(o-Ns),⁹⁸¹ (xxv) ketopiperazines using a sulfonamide connected to a 2,4-dimethoxybenzyl arylhydrazine (DMBAH) linker (solid phase),982 (xxvi) substituted oxopiperazines via sulfonamide activated amines,983 (xxvii) 4-methoxybenzenesulfonyl-N-benzylleucinamide (solid phase),984 (xxviii) 2-chloroethylnitrososulfamides,⁹⁸⁵ (xxix) *N*-alkylsulfonamides (solid phase),⁹⁸⁶ (xxx) *N*-alkylamino acids,⁹⁸⁷ (xxxi) azasugars,⁹⁸⁸ (xxxii) aminode-oxyconduritols,⁹⁸⁹ (xxxiii) amino acid–carbohyhydrate hybrids,⁹⁹⁰ (xxxiv) Boc-protected blastidic acid,⁹⁹¹ (xxxv) *N*-tosylated allylamines,^{992,993} (xxxvi) *N*-acyl-*N*-arylalanine ethyl esters,⁹⁹⁴ (xxxvii) benzhydryl *N*-methyl-*N*-tosyl-*S*aminosulfeniminocephalosporinate,995 (xxxviii) diprotected alkylhydrazines,⁹⁹⁶ (xxxix) N^2 , N^5 -substituted five-membered cyclic sulfamides,⁹⁹⁷ (xl) cyclic sulfamoyl carbamates/ ureas,⁹⁹⁸ (xli) reversed chain modified oligopeptides,⁹⁹⁹ and (xlii) N-sulfamoyloxazolidinone and chiral substituted 1,2,5thiadiazolidine 1,1-dioxides.^{1000,1001} The structural drawings pertaining to these references are given in the Supporting Information (Table S7).

Sun and Pelletier have recently reported that the reaction of alcohols with PS–PPh₃–DTBAD/(Boc)₂NH followed by addition of trifluoroacetic acid in a single vessel is a convenient procedure to convert alcohols to primary amines.¹⁰⁰² For the synthesis of the antitumor, antibacterial, and antifungal agent phoslactomycin B (**325**) via **324**, an *N*-alkylation of the allyl compound HN(CO₂-allyl)₂, in which the proton connected to nitrogen is sufficiently acidic, was utilized (Scheme 83).¹⁰⁰³ The deallylation at the nitrogen end to the amine was accomplished by Pd(PPh₃)₂Cl₂/Bu₃SnH in a later step to afford the required compound **325**. Thus, use of HN(CO₂-allyl)₂ offers another alternative for the conversion of a primary alcohol to the corresponding primary amine. This nucleophile has also been utilized for the synthesis of tricyclic carbapenems (trinems) before.¹⁰⁰⁴

The phosphoramidate **326** underwent ready alkylation with *n*-butanol under Mitsunobu conditions (Scheme 84). This reaction shows that a phosphoryl group together with another activating group can make nitrogen sufficiently nucleophilic to undergo *N*-alkylation even with the traditional Ph_3P -DIAD system.¹⁰⁰⁵

As was mentioned earlier, the presence of two electronwithdrawing groups on nitrogen should lower the pK_a of the N-H bond, and hence, bis-protected hydroxylamines are good Mitsunobu nucleophiles. Many of them were readily prepared by a Schotten-Bauman route in which an ice-cold aqueous THF solution of HONH₂·HCl containing sodium carbonate was reacted with 2 equiv of the respective chloroformate.¹⁰⁰⁶ A variety of protecting groups (Alloc,



Phoslactomycin B







Troc, Boc) for the *N*,*O*-protection of hydroxylamine **327** could be utilized for the synthesis of *N*-alkylhydroxylamines via a Mitsunobu protocol, as shown in Chart 4. It was noted that older samples of the azodicarboxylate often delivered inferior yields, but recently procured samples usually restored good yields.

Reaction of the homopropargyl alcohol with *N*,*O*-bis(phenoxycarbonyl)hydroxylamine HN[C(O)(OPh)][OC(O)(OPh)] followed by aminolysis afforded 5-lipoxygenase inhibitor CMI-977 (**328**; see Scheme 85a).¹⁰⁰⁷ The nitrogen nucleophile was prepared via hydroxylamine hydrochloride and phenylchloroformate; the nitrogen center is sufficiently reactive because of the attachment of two electronegative groups. A similar Mitsunobu protocol has also been utilized in the synthesis of an oxepane derivative.¹⁰⁰⁸ In the cyclization of TBDMS-protected δ -hydroxybenzyloxamate **329** that



also has a -O-NHC(O)- type activation, a higher ratio of O-cyclization (**330**) was observed in C₆F₆ and toluene while N-cyclization (**331**) preferentially occurred in CH₂Cl₂, DMSO, MeCN, and EtCN (Scheme 85b).¹⁰⁰⁹ Similar N-cyclization has been reported by the same research group in the synthesis of 1-deoxy-azasugars.¹⁰¹⁰

Li and Miller utilized *N*-(*tert*-butoxycarbonyl)-*O*-(benzyloxycarbonyl)hydroxylamine (BocNHOCbz) to prepare a variety of 5'-deoxy-5'-*N*-hydroxylaminonucleosides (Scheme 86).¹⁰¹¹ The yield of the products was critically dependent on the reaction conditions. Thus, in the reaction using uracil compound **332** (B = uracil residue), the cyclized product **334** was obtained in a significant amount (33%) and became predominant when the solvent system was DMF/THF (1:10). Similar cyclized derivatives were the only products obtained when the base residue was guanine. Use of (Boc)HN[OCH₂C=CH] has been made in the synthesis of cyclic peroxides by Tae and co-workers after *N*-alkylating



B = adenyl; solvent used DMF:THF = 1:10; yield 84%

B = cytosinyl; solvent used DMF:THF = 1:1; yield 62%

B = uracilyl;: solvent used THF; yield 60% (other products present)



Scheme 87



Scheme 88

i·BuOH + HN CO_2Me CO_2-t-Bu CO_2-t-Bu *iii*) KOH-MeOH, rt, 3 h *iii*) KOH-MeOH, rt, 3 h *iiii*) KOH-MeOH, rt, 3 h *iiiii*) KOH-MeOH, rt, 3 h

this compound with allylic alcohols.¹⁰¹² Govuverneur and Lalloz used PhOC(O)NHO(Boc) and α -hydroxy phosphonates to obtain *N*-hydroxy- α -aminophosphonate derivatives.¹⁰¹³ In general, no side products were detected and the products were obtained in good yields.

For the synthesis of disubstituted guanidines, only a limited number of routes exist.^{1014–1016} An elegant approach to these involving the extrusion of pyrazole is depicted in Scheme 87. Here, species 336 is the proposed intermediate prior to the formation of the product 335.¹⁰¹⁴ Solid-phase synthesis of an 880-member library of trisubstituted arylguanidines, also involving pyrazole displacement and Mitsunobu Nalkylation, has been reported by Pátek et al.¹⁰¹⁷ To effect optimal conversion, they used a 25-fold excess of the alcohol and the reagents. For the preparation of guanidyl-substituted (at the side chain) prolines, N-alkylation utilizing tri-Boc guanidine has been successful.¹⁰¹⁸ Sim and co-workers utilized N,N'-BocNHC(SMe)=NBoc as the masked guanidine nucleophile in the synthesis of guanidinoglycosides.¹⁰¹⁹ Other viable precursors in this connection are (Cbz)NHC(NH₂)=NCbz, (Boc)NHC(NH₂)=NBoc, and [(Boc)HN]₂C=NH; these have been used in the synthesis of α -helix mimetics by Oguri et al.,¹⁰²⁰ mimics of cyclic CXCR4 pentapeptide antagonists by Cluzeau et al.,¹⁰²¹ and guanidine containing ketopiperazines by Chen et al.¹⁰²²

It is even possible to use a substrate such as $HN(CO_2Me)(Boc)$, $HN(Cbz)_2$, or ethyl oxamate [EtO_2CC(O)-NHBoc] to prepare *N*-Boc amines (e.g. **337**; Scheme 88) in good yields after suitable deprotection.^{1023–1025} The latter nucleophile EtO_2CC(O)NHBoc has been obtained by starting

Scheme 89



with ethyl oxamate.¹⁰²⁵ The trityl group also activates nitrogen in aziridine formation from 1-amino-2-alcohols, as reported by Somfai and co-workers.^{1026,1027} Kim and Kahn have performed the Mitsunobu *N*-alkylation of Boc-protected amino-oxazoles and -thiazoles with lysinol and argininol to obtain reduced peptidyl azoles.¹⁰²⁸ 2-Acetamido-6-chloro- or -6-bromo-9*H*-purines were also readily alkylated;¹⁰²⁹ the reaction worked better with the bromopurine using (*i*-PrO)₃P–DIAD.¹⁰³⁰ Resin-bound carbamates underwent *N*-alkylation to afford secondary aryl or heteroaryl amines.¹⁰³¹

Benzylamines are versatile intermediates for the synthesis of a variety of pharmaceutically active nitrogen heterocycles. The Mitsunobu route involving the reaction of primary and secondary amines with activated benzyl alcohols of type **338** afforded the substituted benzylamines **339** (Scheme 89).¹⁰³² It is important to note that here the amine nucleophile was not activated, but still the reaction took place readily. Only amines with $pK_a < 9$ or sterically hindered ones gave low yields. It was crucial to have the *ortho*-functionality (OH or NH₂) for this reaction to be effective. Involvement of an azaquinomethane intermediate of type **340**, formed after the elimination of Ph₃PO and the hydrazine, was proposed for the observed reactivity.

Mitsunobu cyclodehydrative N-alkylation allows access to a good number of nitrogen heterocycles. The cis- and transisomers of the aziridine 342 were synthesized in high yields from the diastereomeric alcohols 341 using a Mitsunobu protocol (Scheme 90a).¹⁰³³ This procedure gave higher yields than the one using DAST. Chiral aziridines (e.g. 343) were also synthesized by starting with chiral 1,2-amino alcohols without any activation at the nitrogen center (Scheme 90b).¹⁰³⁴ The straightforward route to NH-vinylaziridines by ring-closure of vicinal amino alcohols possessing vinyl substituents via a Mitsunobu protocol gave good yields of the products. This method was shown to be more useful compared to the sulfate ester route to aziridines for small scale synthesis.¹⁰²⁶ 1,4-Amino alcohols that contain a double bond between C(2) and C(3) also underwent Mitsunobu aziridination readily to lead to vinylaziridines 344 (Scheme 90c).¹⁰³⁵ In general, good yields were obtained. Obviously, reorganization of the substituents must have taken place at an intermediate stage in order to form the three-membered ring in this reaction. A unique additive dependent regiochemical switching of cyclization mode of vicinal diamines with pendant hydroxyl group leading to 345 or 346 has been recently reported by Anderson and Chapman (Scheme 90d).¹⁰³⁶ The authors have offered a possible rationalization based on the involvement of Et₃N·HCl for these interesting results. Other examples involving aziridine formation pertain



to the synthesis of (i) 2-(2-hydroxy-substituted) piperidine alkaloids,¹⁰³⁷ (ii) peptidomimetics via a *N*-2,4,6-trimethylphenylsulfonyl (Mts)-protected amino alcohol,¹⁰³⁸ (iii) pyrrolyl aziridines via the corresponding inactivated 1,2aminoalcohols,¹⁰³⁹ (iv) aziridine sulfides based on reduced (*R*)-cysteine,¹⁰⁴⁰ (v) nosyl-substituted aziridines derived from L-serine,¹⁰⁴¹ (vi) exocyclic γ -aminoolefins,¹⁰⁴² and (vii) tosyl aziridine-2-carboxylates.¹⁰⁴³

Direct formation of a lactam ring from 1,3-amino(amido) alcohols is fairly straightforward, and several examples of this type are known.¹⁰⁴⁴ An elegant single-pot, mild conversion of β -lactones to β -lactams (e.g. 347) via hydroxyhydroxamic acid derivatives and involving an intramolecular Mitsunobu reaction has been reported by Yang and Romo (Scheme 91a).¹⁰⁴⁵ Prior conversion of β -lactones to the corresponding N-benzyloxyhydroxamic acid derivatives was achieved under neat conditions. The synthesis of azafenestrane with a strained four-membered ring could also be efficiently accomplished by means of Mitsunobu cyclization.¹⁰⁴⁶ The corresponding borane adduct c, c, c, c-[4.5.5.5]-1-azafenestrane • BH₃ (348) was readily separated from the byproducts in 87% overall yield (Scheme 91b). Confirmation of the structure (X-ray crystallography) was done by means of the analogous BF₃ adduct **349**. The β -lactam ring that contains a four-membered ring is the key component of commonly used antibiotics such as penicillin, carbapenem, thienamycin, etc. The lactams 351 with such a fourmembered ring were conveniently synthesized by using solid support and freshly distilled DEAD in THF medium (Scheme 91c).¹⁰⁴⁷ Five mole equivalents of DEAD and 10 mol equiv of Ph₃P per mole of the substrate 350, however, had to be used. The support was delinked later using SmI₂. Using an acyl hydroxylamine functionality and an internal alcoholic OH group, bicyclic β -lactamase inhibitors (e.g. 352, Scheme 91d) have been obtained by an intramolecular Mitsunobu cyclization.¹⁰⁴⁸ N-Tosyl-2-C-carbamoyl glycosides possessing the α -L-arabino- and β -D-xylo-configurations led to a β -lactam ring that was fused to the pyranoid ring.¹⁰⁴⁹ There was Scheme 91



no interference from either epoxide or γ -lactam formation in this case. Interestingly, in the enantioselective synthesis of the carbacephem antibiotic loracarbef, (EtO)₃P-DIAD in toluene at elevated temperature (90 °C) was found to be the best for the β -lactam formation.⁷⁸⁰ This result clearly shows that, at least in some cases, the traditional phosphine [Ph₃P] can be replaced by a more readily hydrolytically degradable phosphite. The mechanistic pathway also could be different (cf. section 2). Formation of four membered azetidinone lactams was also utilized in the synthesis of lankacidins, ¹⁰⁵⁰ 3-(hydroxymethyl)carbacephalosporin, ¹⁰⁵¹ azapeptidomimetics,^{1052,1053} and an intermediate for thienamycin.¹⁰⁵⁴ Highly functionalized azetidines¹⁰⁵⁵ and 1,2-diazetidines¹⁰⁵⁶ were also easily generated via N-tosylated 1,3-amino alcohols and 1-(1-hydroxypropan-2-yl)hydrazine-1,2-dicarboxylate, respectively.

Mitsunobu *N*-alkylation with cyclization can lead to pyrrolidinones with heterocyclic functionality that is not compatible with other known methodologies. Thus, many optically active 3-aminopyrrolidinones with a β -lactam skeleton (e.g. **353**; Scheme 92) have been synthesized.¹⁰⁵⁷ A variety of substituents on the amide nitrogen were tolerated. Here the nucleophilic NH center was activated by the presence of an adjacent carbonyl group and an aromatic residue. Synthesis of five-membered ring heterocycles, isotussilagine and tussilagine, was accomplished by Ma and Zhang using a similar protocol.¹⁰⁵⁸ *N*-unprotected azacyclopentylidene complexes of chromium and tungsten that contain a five-membered nitrogen heterocycle have been





Scheme 94



prepared by Dötz and co-workers.^{1059–1061} Poullennec and Romo utilized a system with a (Cbz)NH(O₂CR) group in an *N*-alkylation with cyclization for the enantioselective total synthesis of (+)-dibromophakellstatin.¹⁰⁶²

An elegant use of *N*-alkylation with cyclization has been reported recently by Azzouz et al.¹⁰⁶³ In this case, a pyridinium salt played the role of the nucleophile and a five-membered ring was formed preferentially. This route was used in the total synthesis of 1-*epi*-lentiginosine alkaloid **354** (Scheme 93). There is also another report of *N*-alkylation with cyclization leading to a fused five-membered *N*-heterocycle, but the reaction was performed with a neutral precursor using pyridine as the solvent.¹⁰⁶⁴

Bicyclic γ -lactams 356, competitive inhibitors of β -lactamases, were efficiently synthesized using intramolecular coupling reactions of amide functionalities 355 with alcohols in the solid phase (Scheme 94a).¹⁰⁶⁵ An earlier study by Page and co-workers involved a similar lactam formation in solution phase.¹⁰⁶⁶ Such annulations leading to fivemembered rings are generally straightforward, and a solid phase synthesis of imidazolones 357 has been reported recently (Scheme 94b).¹⁰⁶⁷ Although S-cyclization was also possible, the main products were those with N-cyclization. Highly substituted γ -lactams with five-membered rings that are analogues of a thiazolidine follicle stimulating hormone receptor have been synthesized by Pelletier et al.¹⁰⁶⁸ The reaction occurred stereoselectively. Substituted, enantiomerically pure dihydroimidazole-4-carboxylic acid derivatives (e.g. 358) were prepared by *N*-alkylation at an imine center, as shown in Scheme 95a.¹⁰⁶⁹ In a recent paper by Roberge, Scheme 95



Scheme 96



Ewinge, and co-workers, a unique Mitsunobu dehydration assisted by Me_3SiN_3 leading to triazolo pyridines (**359**) and pyrimidines (**360**) has been reported (Scheme 95b and c).¹⁰⁷⁰ Here, two protons need to be shifted for the cyclization process to occur.

N-Alkylation of p-toluenesulfonamide has been used to synthesize the intermediate 361 in the preparation of deoxynupharidine (Scheme 96a).¹⁰⁷¹ Here, the reagent combination n-Bu₃P-ADDP provided a good yield of the product. For the preparation of 1-deoxy-D-galactohomonojirimycin 362, Achmatowicz and Hegedus generated a six-membered nitrogen heterocycle via N-alkylation (Scheme 96b);³²⁹ in the same paper, they have also described a lactonization with retention of configuration at the chiral alcohol center. Batzelladines are polycyclic guanidine alkaloids isolated from Bahamian and Jamaican sponges. In their studies on the synthesis of batzelladine D, Nagasawa and co-workers have reported an interesting cyclization reaction involving Nalkylation on an imine nitrogen leading to 363.¹⁰⁷² Batzelladine D was obtained in a later step by treating 363 with TFA/CH₂Cl₂. Synthesis of (+)-batzelladine A has also been reported by the same research group.¹⁰⁷³ A similar cyclization leading to a six-membered ring was employed in the



synthesis of (i) the cytotoxin lepadiformine,¹⁰⁷⁴ (ii) *cis*-4,5disubstituted piperidin-2-ones (e.g. **364**) using a reactive HN(OBn)C(O)– group (Scheme 97b),¹⁰⁷⁵ (iii) thyrotropinreleasing hormone (thyroliberin, TRH) analogues,¹⁰⁷⁶ (iv) *N*-alkoxy analogues of 3,4,5-trihydroxypiperidine,¹⁰⁷⁷ (v) pipecolinic acid derivatives,^{1078,1079} (vi) 2,6-dimethyl piperazines,¹⁰⁸⁰ (vii) piperaz-2-ones (δ -lactams),¹⁰⁸¹ (viii) 6-substituted analogues of 1-deoxymannojirimycin that contain a piperidine ring,¹⁰⁸² (ix) *N*-arylpiperazinones,^{1083,1084} and (x) piperazic acid derivatives.¹⁰⁸⁵

Lazaro and co-workers utilized both tosyl and trimethylsilylethylsulfonyl (SES) groups for N-activation in the synthesis of perhydro-(1,4)-diazepin-2-ones in which a seven-membered ring was newly generated.^{1086,1087} Functionalized benzazepine derivatives 365 could be obtained by intramolecular Mitsunobu reaction, when the corresponding nitrogen was fairly activated by means of groups such as $4-Me-C_6H_4-SO_2-$ (Ts), $CF_3C(O)-$, $4-O_2N C_6H_4C(O)$ -, or *tert*-BuOC(O)-(Boc). Use of the tosyl group gave the best yields (Scheme 98a).¹⁰⁸⁸ The Mitsunobu protocol was used by Goldstein and Wipf in the synthesis of the tricyclic hydroindole core system of a Stemona alkaloid that involved the formation of a seven-membered Nheterocycle.¹⁰⁸⁹ Taddei and co-workers adapted high-temperature MW conditions for the preparation of conformationally constrained peptidomimetics (e.g. 366) based on the 1,4-diazepan-2,5-dione skeleton (Scheme 98b).¹⁰⁹⁰ This route was more efficient than the one using DMF/rt/12 h without the MW conditions. Thiol-appended pyridine-based polyaminocarboxylic acids (e.g. **367**) have also been prepared using a similar methodology.¹⁰⁹¹



5.3. *N*-Alkylation of Heterocyclic Compounds (Excluding Nucleobases)

The NH group of several unsaturated heterocycles readily undergoes Mitsunobu *N*-alkylation. A brief discussion of this aspect is presented in this section.

A regioselective N^1 -alkylation of 3,4-dihydropyrimidin-2(1H)-ones 368 to lead to 369 was accomplished using the highly reactive coupling reagent combination n-Bu₃P-TMAD and primary alcohols (Scheme 99a).¹⁰⁹² Although the *n*-Bu₃P-ADDP combination also worked well, 4 mol equiv of the reagents had to be used, which posed problems during purification. With the n-Bu₃P-TMAD combination (2 mol equiv) in dry dioxane, complete conversion was achieved in about 1 h. Brandi and co-workers have demonstrated the synthesis of pyrolidine derivatives, affording exclusively N^1 alkylated derivatives using unprotected pyrimidine bases 370 (Scheme 99b) by judicious choice of the solvent.¹⁰⁹³ The choice of such a solvent (which reduced the O-alkylated products) was based upon a previous paper by Chu and coworkers.¹⁰⁹⁴ N-Alkylation of cinnolines was conveniently performed in the solid phase by Sereni et al.¹⁰⁹⁵ Although alkylation occurred at both the ring nitrogen atoms, only one product prevailed over a period of time.

For the preparation of chiral *N*-alkyl-substituted imidazoles and the corresponding ionic liquids, the Mitsunobu reaction offers a convenient route. Although imidazole has rather a high pK_a (14.5) and hence is less reactive as a nucleophile, under slightly forcing conditions using an excess of the reagents *n*-Bu₃P–TMAD, the yields of the products **372** could be substantially improved, and this is what Ko and co-workers have reported recently (Scheme 100).¹⁰⁹⁶ However, in the synthesis of pyrrole alkaloid polycitone A, Steglich and co-workers could effect ring *N*-alkylation by using the normal Ph₃P–DEAD system.¹⁰⁹⁷

Trisubstituted 1,2,4-triazoles **373**–**374** were readily synthesized by *N*-alkylation of disubstituted triazoles with amino alcohols; a library of products could thus be obtained.¹⁰⁹⁸ Interestingly, both the regioisomers of the trisubstituted derivatives were formed (Scheme 101). In the alkylation of naphtha-1,2,3-triazines, substitution at either N^1 or at N^2 occurred, with the N^2 isomer as the major product.¹⁰⁹⁹

Guo et al. reported the synthesis of several GnRH antagonists wherein Mitsunobu *N*-alkylation was utilized in the penultimate step (Scheme 102).¹¹⁰⁰ The best compound (**375**) from the initial SAR study had a K_i value of 37 nM. The authors also utilized solid-supported triphenylphosphine to synthesize these compounds, which was useful when the polarities of the products and Ph₃P(O) were similar in terms of isolation.

A comparative study of *N*-alkylation of 1*H*-indole and 9*H*-carbazole derivatives with alcohols leading to 376-377 was performed using classical Mitsunobu reaction conditions, i.e.



Scheme 100



Scheme 101



Ph₃P–DEAD or *n*-Bu₃P–TMAD, or using phosphorane derivatives such as Me₃P=CH(CN) [CMMP, cf. Scheme 103].¹¹⁰¹ The authors concluded that CMMP was the reagent of choice for the *N*-alkylation of 1*H*-indole and 9*H*-carbazole derivatives with alcohol derivatives. Two equivalents of the reagents were needed to get good yields.

In indolyl compounds, the acidity of the NH can be increased by introducing 2-chloro-substitution (carbon next to nitrogen) to make the *N*-alkylation proceed smoothly. This

Scheme 102

approach has been utilized by Sahagún and co-workers in the synthesis of unsymmetrical indolopyrrolocarbazoles. The reaction was conducted using the reagent combination $Ph_3P-DEAD$.¹¹⁰² 2-Chlorobenzimidazole also did not need any activation and readily reacted with alcohols such as 2-(2hydroxyethyl)pyridine under normal Mitsunobu conditions at 0 °C to afford the *N*-alkylated products.¹¹⁰³ Zembower and co-workers noted that $Ph_3P-DIAD$ was effective in the *N*-glycosylation of indoles also.¹¹⁰⁴ This protocol was a key step in the total synthesis of indolocarbazole topoisomerase I poisons reported by these researchers. However, application of this method to larger quantities was beset with difficulties in separation.

Interesting cyclization reactions leading to different types of 6-membered rings occurred when the heterocycle **378** was subjected to Mitsunobu *N*-alkylation (Scheme 104a).¹¹⁰⁵ Formation of **380** probably involved a proton shift prior to cyclization. The NH of a pyrrole is also sufficiently active to take part in the Mitsunobu reaction as shown in Scheme 104b, which depicts the formation of the seven-membered heterocycle **381**.¹¹⁰⁶

Other useful applications of N-alkylation on nitrogen heterocycles include synthesis of the following: (i) bridged aza-rebeccamycin analogues with a 7-azaindole moiety,¹¹⁰⁷ (ii) polymer-bound 1,2,4-oxadiazol-5-one¹¹⁰⁸ (iii) N-substituted 1,2,4-triazolones,¹¹⁰⁹ (iv) precursors for poly(N-substituted pyrrole)s,¹¹¹⁰ (v) N³-alkylation products of 1,3,4oxadiazol-2(3H)-ones,¹¹¹¹ (vi) bicyclic pyridones,¹¹¹² (vii) pseudonucleosides containing oxazolidin-2-ones,¹¹¹³ (viii) 3-aryl-2-pyridones,¹¹¹⁴ (ix) the N^1 -functionalized xanthine scaffold for phosphodiesterase 5 PDE5 inhibitors,¹¹¹⁵ (x) alkylated mellitic triamide (residue obtained by decomposition of ammonium mellate),¹¹¹⁶ (xi) N³-alkylated 1,3,5triazine-2,4-diones,¹¹¹⁷ (xii) a library of N⁹-alkylated monoand trisubstituted purines, ^{1118,1119} (xiii) (solid phase) D- and L-cycloserine derivatives,¹¹²⁰ (xiv) tussilagine and isotussilagine (pyrrolidine alkaloids),¹¹²¹ (xv) N¹-substituted indazoles,¹¹²² (xvi) 1-(primary alkyl)benzotriazoles,¹¹²³ (xvii) camptothecin analogue GI147211C^{1,1124} (xviii) N-alkylated isatoic anhydride (p K_a 8.25),¹¹²⁵ (xix) N-methylated diketopiperazines,¹¹²⁶ (xx) N³-alkylated flavins,¹¹²⁷ (xxi) Nalkylated indoles via 2-cyanoindole,¹¹²⁸ (xxii) 1-alkyl-4-chloropyrazolo[3,4-*d*]pyrimidines,¹¹²⁹ (xxiii) 2-substituted tetrazoles,¹¹³⁰ (xxiv) reversed azole nucleosides,¹¹³¹ (xxv) N-substituted phthalazinones (along with ring rearranged products),¹¹³² (xxvi) 1-alkyl-4-aminopyrazoles,¹¹³³ (xxvii) dissymmetric indolocarbazole glycosides,1134 (xxviii) 2,9disubstituted¹¹³⁵ or 2,6,9-trisubstituted purines,¹¹³⁶ (xxix) (+)-(S)-3-(4-nitropyrazol-1-yl)-propane-1,2-diol,¹¹³⁷ and (xxx) N^3 -alkyl-5-fluorouracils.¹¹³⁸ The structural drawings pertaining to these references are given in the Supporting Information (Table S8).





Scheme 104



5.4. *N*-Alkylation of Nucleobases Including 6-Chloropurine

There are a large number of pharmaceutically important molecules that contain a nucleobase residue. For example, entecavir (382, Baraclude) is an oral antiviral drug used in the treatment of hepatitis B infection approved by the FDA. Abacavir (383) as its sulfate (Ziagen) is a carbocyclic nucleoside and is effective for the treatment of HIV-1. Carbovir (384) is another compound with analogous activity. There is enough scope for improving the efficacy of these compounds by changing the substituents. Thus, coupling of nucleobases with suitable alcohols including carbohydrates, in principle, should lead to a large number of medicinally useful derivatives. The NH end of these bases is sufficiently nucleophilic under Mitsunobu conditions. However, one of the major problems in using direct Mitsunobu coupling is the solubility of the nucleobases/carbohydrates. The second problem is the selectivity, which may sometimes be enhanced by suitable protection. These aspects, as investigated by several researchers in this area, are discussed below. Reactions using 6-chloropurine are also included here, since this chlorine can later be readily substituted by other groups.



The coupling of 6-chloropurine with several cyclopentylbased secondary alcohols provided an efficient entry into N^9 - Scheme 105



Scheme 106



substituted 3-deazapurine carbocyclic nucleosides (e.g. **385**) of antiviral potential (Scheme 105a).¹¹³⁹ In some cases, N^7 products were also obtained. To convert the product into an adenine derivative, amination of the C–Cl bond was required. In lieu of this, straightforward use of adenine may be thought of, but in general, adenine is a poor nucleophile with low solubility in the most common solvent, THF. This problem was circumvented by replacing the NH₂ with a N(Boc)₂ group in adenine that increased both solubility and reactivity, as shown by Schneller and co-workers recently.¹¹⁴⁰ In a competitive reaction of the –OH groups with 6-chlo-





Scheme 108

392e



Alcohol used

ropurine *via* a Mitsunobu procedure, substitution of the primary hydroxyl group occurred preferentially at the allylic (e.g. **386**) as compared to the homoallylic position, most likely due to enhanced acidity and/or the assistance of the neighboring π -system (Scheme 105b).¹¹⁴¹ However, the success was rather limited when an attempt was made to optimize N^9/N^7 regioselectivity using *N*-protected adenine derivatives. In another study, alkylation of a chiral secondary alcohol with 6-chloropurine led to inversion, as expected.¹¹⁴²

In the glycosylation of 6-chloropurine with the selenocarbohydrate **387**, Poopeiko et al. have reported an interesting 1,2-selenophenyl migration as shown in Scheme 106.¹¹⁴³ Although the *N*-alkylation took place at two sites of the 6-chloropurine to lead to a mixture of products, the overall Scheme 109



CO₂Me

Scheme 111



yield (388 + 389) was good. Hence, this method may be applicable for the synthesis of many other nucleosides. A related reaction involving a thiophenyl group was also reported by the same group.¹¹⁴⁴

In the synthesis of cyclohexyl nucleosides **391a–b**, it was found beneficial to use a sterically bulky *tert*-butyldimethylsilyl rather than benzoyl protecting group to avoid the formation of elimination product in Mitsunobu *N*alkylation with 6-chloropurine (Scheme 107).¹¹⁴⁵ When the benzoyl protecting group was used, the *trans*-product **391a** that arose from the *cis*-**390** could not be obtained. Only the elimination product **391c** was isolated. The *cis*-product **391b** was obtained in fair yields when either of the protecting groups was employed. There are also several other cases in which 6-chloropurine or 2,6-dichloropurine has been utilized to introduce nucleoside bases indirectly.^{1146–1149} In an important study that should be useful while using Mitsunobu *N*-alkylation in nucleoside chemistry, guanine, adenine, thymine, and uracil derivatives were prepared directly by coupling the protected base with 1,6-heptadien-4-ol.¹¹⁵⁰ However, coupling of protected cytosine and alcohol gave an *O*-alkylated product. More importantly, the authors noted that the guanine, adenine, thymine, and uracil derivatives could be used without protection in this reaction. The protected bases (**392a**-e) used in this study are shown in Chart 5.

(-)-Neplanocin A (393), a carbocyclic nucleoside, isolated from the soil fungus Ampulliariella regularis, is a potent antiviral and antitumor agent (but is cytotoxic). Michel and Strazewski have successfully synthesized 393 in an enantiopure form in the highest published overall yield using N^6 bis-Boc-protected adenine (Scheme 108).¹¹⁵¹ An overall yield of 59% was obtained by starting with D-ribose. Adenine itself could be used for the N-alkylation, but the yield was relatively low. (-)-Neplanocin C (394) and (-)-neplanocin F (395) have also been isolated from the same fungus. Mathé and co-workers reported that coupling of the precursor alcohol with 6-chloropurine (instead of adenine itself) gave low isolated yields of the intermediate alkylated product required for the synthesis of (–)-neplanocin F.¹¹⁵² Synthesis of (-)-neplanocin A and many analogues was reported earlier by Chu and co-workers, using suitably protected/masked purine/pyrimidine bases.¹¹⁵³ Several other publications on the synthesis of neplanocin A and C analogues wherein a Mitsunobu protocol is used are also available.¹¹⁵⁴⁻¹¹⁵⁶ Synthesis of cyclohexene analogues of 394 (in place of a cyclopentene ring), using unprotected adenine as the nucleophile, has been reported recently by Herdewijn and coworkers.¹¹⁵⁷ In another study, by simple N-methylethanolamine addition to the allenes, the product 396 with an intact -OH group was obtained. This residual -OH group underwent facile Mitsunobu coupling with adenine to give the N-alkylated product 397 (Scheme 109), thus offering adenyl functionality at the ω -position of the phosphonate.¹¹⁵⁸ It is possible that extension of this work will lead to many pharmaceutically interesting phosphonates.

In the reaction of 2'-deoxy-5'-O-(4,4'-dimethoxytrityl)uridine with alcohols to lead to N^3 -substituted derivatives **398**, 3'-O-protection of the nucleoside was not required and the Mitsunobu products were obtained in high yields (Scheme 110).¹¹⁵⁹ It is noteworthy that the functionalities on the alcohol also did not adversely affect the reaction.

A series of purine and pyrimidine *cis*-substituted cyclohexenyl and cyclohexanyl nucleosides (e.g. **399**), some of which showed moderate antiviral activity against HSV1 and *coxsackie* viruses, were synthesized through a key Mitsunobu step (Scheme 111).¹¹⁶⁰ A similar method was employed to prepare the analogous cytosine and adenine derivatives.¹¹⁶¹ Another interesting recent paper by Ganesh and co-workers relates to ferrocene linked thymine–uracil conjugates (e.g. **400**).¹¹⁶² Bucci et al. have also reported a ferrocenemethyl– thymidine nucleoside by the Mitsunobu route.¹¹⁶³



Scheme 112





Cyclonucleosides have also been prepared by intramolecular Mitsunobu *N*-alkylation. In the reactions shown in Scheme 112, a seven-membered ring is generated via *N*-alkylation.¹¹⁶⁴ The yield of the product **401** is quite good (80%). Somewhat analogous cyclization has also been reported recently by Chun et al.¹¹⁶⁵ Another cyclization reaction leading to the fused heterocycle **402** was reported by Pappo and Kashman.¹¹⁶⁶

Normally the benzyl protecting group does not reorganize in the Mitsunobu reaction. However, in the alkylation of the alcohol **403** with N^3 -benzoyl thymine, Marsac et al. observed such a feature and obtained **404** and **405** in the ratio 3:1 (Scheme 113).¹¹⁶⁷ When adenine or thiophenol was used as a nucleophile, only the normal products were observed.

There are numerous other reports on the normal ring N-alkylation of nucleobases.^{144,155,187,707,713,1168–1303} In some cases, O-alkylation could also occur.1304 The resulting products are generally carbocyclic nucleosides. The structures of some of these (406-419) are given in Chart 6; additional data is provided in the Supporting Information (Table S9). In these studies, the nucleobase used is one or more of 6-chloropurine, 2-amino-6-chloropurine, adenine, 6-chloro-2-iodopurine or 6-chloro-2-methylthiopurine, thymine, N^3 benzoyl thymine, N³-benzoyl uracil, O-protected-2-N-isobutyrylguanine, anisoyl cytosine/adenine, 4-tert-butylbenzoyl cytosine, isobutyryl/diphenyl-carbamoyl guanine, 2,6-diaminopurine, 2-amino-6-benzoyloxy purine, N⁴-benzoylcytosine, N^6 -phenoxyacetyladenine, N^2 -acetyl-6-O-diphenylcarbamovlguanine, N^3 -benzovl-5-chlorouracil, 6-azauracil, 5-chlorouracil, and (Boc)2 adenine [Note: Removal of Boc can be effected with 50% HCO₂H]. If one needs to use unprotected adenine, dioxane or dioxane – DMF mixtures could be a convenient reaction medium. $^{1170-1172,1176,1178,1180,1217,1219,1236,1240,1245,1257}$ For N^3 -benzoyl uracil and N^3 -benzoylthymine, ^{1201,1218,1220,1221,1249}





THF works well in many cases but N^3 -benzoylthymine does lead to O-alkylation also.⁷⁹⁹ 2-Amino-6-chloropurine may give problems because of insolubility,¹²⁵² although success has been achieved in some cases.¹²⁴⁷ For these reactions dioxane is a better solvent.¹³⁰⁴ Some more useful points are listed below.

- (1) Ludek and Meier noted that, in the synthesis of pyrimidine nucleosides, to achieve maximum N^{1} alkylated product, MeCN or DMF was a better choice as solvent. They used cyclopentanol as the reference alcohol for these studies.¹²³⁹ Thymine- O^2 alkylated derivatives were also obtained as minor products. They reported that, in the coupling of thymine with cyclopentanol, N^3 -BOM protection was the best for Nalkylation, while the use of the 2,6-dimethyl-benzoyl group led exclusively to O^2 -alkylation.¹¹⁹⁸ In general, the benzyloxymethyl group (BOM) on N^3 of pyrimidines led to an improved product N^1/O^2 ratio compared to the standard benzoyl protected derivative using MeCN as the solvent.¹¹⁹⁷ With N^3 -benzoylthymine, while 1-pentanol or benzyl alcohol provided the N-alkylation exclusively, 2,2,2-trichloroethanol gave a 3:2 mixture of $N^1:O^2$ alkylated products.¹¹⁹⁹
- (2) Mitsunobu ribosylation/glucosylation of inosine and uridine using *n*-Bu₃P-ADDP afforded the *N*¹- and 6-*O*-glycosylinosine and *N*³-glycosyluridine derivatives, respectively.¹²¹⁴
- (3) Use of adenine as nucleophile sometimes led to both N^9 and N^3 coupled products.¹²¹⁹
- (4) *N*⁴-Benzoyl cytosine has a poor solubility in THF/ dioxane,¹¹⁹¹ and the reaction may not work well.

Cytosine derivatives could be prepared via uracil to cytosine base conversion.¹¹⁹⁴

- (5) For guanine-based nonsugar nucleosides, use of Ocarbamate-N-acetate protected guanine as the nucleophile in THF at 70 °C with Ph₃P-DIAD added twice, 1 equiv each time, provided the best yields.¹²⁰⁶ This result has been utilized to obtain 6-chloropurine derivatives in good yields. In addition, the results obtained vindicated an S_N2 process in the Mitsunobu N-alkylation.
- (6) Di Fabio and co-workers reported that a 2-(phenylthio)ethyl residue could be easily and regiospecifically inserted at the N^3 -position of the pyrimidine by 2-(phenylthio)ethanol to selectively achieve *O*-alkylation of ribose moieties connected to the nucleobase at a later stage.¹³⁰⁵ The protective group could be removed by oxidation followed by a β -elimination process.

5.5. Mitsunobu Reaction with Azides

Hydrazoic acid or a suitable azide source such as trimethylsilyl azide (Me_3SiN_3), diphenyl phosphoryl azide [(PhO)₂P(O)N₃, DPPA], zinc azide, sodium azide, or nicotinoyl azide do take part in Mitsunobu coupling with alcohols. Since the resulting azides can be readily transformed to other functional groups, this protocol has been widely utilized in various syntheses, as can be realized from the following discussion. Inversion is the expected outcome where a chiral center is involved. Catalytic quantities of phenol may activate an alcohol toward azidation by HN₃.¹³⁰⁶ Batzelladine F

Scheme 114



A noteworthy point is that if the phosphine is used in a larger stoichiometry (than the azodicarboxylate), the azide product may react with the phosphine to lead to products with a $R_3P=N-$ group. The N=PPh₃ group can be transformed to an $-NH_2$ group rather readily. Such a procedure is quite common, and two recent examples include (i) the synthesis of (3-aminocyclopentene)>alkylphosphinate 420 by Hanrahan and co-workers (Scheme 114a)¹³⁰⁷ and (ii) a similar azidation on cyclopentenyl alcohol synthesis of the transfer-RNA nucleoside queuosine by Carell and co-workers.1308 In the latter case, the authors also reported that rearrangement of the allylic azide could be suppressed by performing the reaction at 0 °C, thus enhancing selectivity. Between the two general Mitsunobu protocols to convert -OH to -NH₂, one using the phthalimide/hydrazine and the other using $HN_3/$ Staudinger/hydrolysis, the latter was found to be simpler and time-saving.¹³⁰⁹ Amination of an alcohol can be conducted by means of an azide functionality introduced via the Mitsunobu protocol through either hydrazoic acid or a metal azide as the nucleophile.¹³¹⁰⁻¹³²⁰ The bridge-head nitrogen in (+)-epibatidine 421 is introduced in this way (Scheme 114b).¹³¹⁰

Inversion of stereochemistry at two different secondary alcohol sites sequentially by azide substitution (cf. **422**) followed by deprotection of the second site and esterification as utilized by Cohen and Overman (Scheme 115) illustrates the value of the Mitsunobu protocol nicely.¹³²¹ This sequence has been useful in ascertaining the correct structure of batzelladine F later. In their work using α,β -diaminobutyramide derivatives, Carter et al. also utilized HN₃ as the nucleophile for the azidation of the precursor alcohol.¹³²² They noted that Zn(N₃)₂ or DPPA failed in their system. In the azidation of fmoc-protected amino alcohols [e.g. fmoc-Gly-ol] also, HN₃ worked while DPPA, Me₃SiN₃, and Zn(N₃)₂(pyr)₂ did not.¹³²³

The α -hydroxyphosphonates **423** underwent ready azidation *via* Mitsunobu reaction using HN₃ (Scheme 116).¹³²⁴ These azides (e.g. **424**) could later be converted to the



Scheme 118

OMe



corresponding α -aminophosphonates that show a wide range of biological activity. Similarly, β -hydroxyphosphonates have been converted to β -azidophosphonates and then to β -aminophosphonates.¹³²⁵ Many enantiopure β -aminophosphonates could thus be synthesized. In the synthesis of sialyltransferase transition-state analogue inhibitors also, Mitsunobu azidation of α -hydroxyphosphonates via HN₃ with inversion has been found to be quite effective.^{1326,1327} A recent review by Gajda and Gajda highlights the work on azidophosphonates that includes Mitsunobu azidation.¹³²⁸

Azidation, benzoylation, and tosylation of *syn*-2,3-dihydroxy esters **425** under Mitsunobu conditions exhibit complete regioselection for the β -hydroxyl group, leading to **426** (Scheme 117).¹³²⁹ The configurational inversion accompanying the Mitsunobu protocol offers a means for *syn/anti* diastereochemical diversity.

Both diols and epoxides can undergo double azidation. Thus, epoxides **427** react with HN₃ under Mitsunobu conditions to yield 1,2-diazides **428** stereospecifically (Scheme 118a).¹³³⁰ These azide groups were later converted to other functionalities. In earlier studies, Skmewski and Gupta as well as Sasaki et al. obtained diazides from diols.^{1331,1332} Interestingly, the double Mitsunobu azidation of *cis*-cyclohex-2-ene-1,4-diol, 3,4-epoxycyclohexene, or *trans*-2-azidocyclohex-3-en-1-ol gave a mixture of *cis*-3,6-diazidocy-clohexene (**429a**) and *cis*-3,4-diazidocyclohexene (**429b**) (Scheme 118b).¹³³³ Likewise, *cis*-cyclohept-2-ene-1,4-diol, 3,4-epoxycyclohept-3-en-1-ol gave a mixture of *cis*-3,7-diazidocyclohept-3-en-1-ol gave a mixture of *cis*-3,7-diazidocycloheptene and *cis*-

Scheme 119



3,4-diazidocycloheptene. The results were rationalized by invoking a [3,3] sigmatropic rearrangement. Spino and coworkers reported that the allylic alcohols **430** undergo Mitsunobu azidation to give the rearranged allylic azides **431a** exclusively (Scheme 118c) with no detectable amount of the S_N2 product **431b**. The stereoselectivity was also very good.¹³³⁴ Azidation via HN₃ is also utilized to prepare (i) 5-azido-3,4-di-*O*-benzyl-5-deoxy-1,2-*O*-isopropylidene- α -Lsorbopyranose,¹³³⁵ (ii) epothilone analogues 15(*R*)- or 15(*S*)aza-12,13-desoxyepothilone B,¹³³⁶ (iii) antibacterial 3-fluoro-D-alanine,¹³³⁷ (iv) protected 2,3-diamino esters,¹³³⁸ (v) kabiramide C analogues,¹³³⁹ and (vi) enantiomerically pure amines from (2*S*,*R*_S)-1-(*p*-tolysulfinyl)-2-butanol,¹³⁴⁰ and (vii) 3 β -aminosteroids.¹³⁴¹

It was shown that the regio- and stereospecific azidation reactions of 1,2- and 1,3-diols (e.g. **432**) with azidotrimethylsilane (Me₃SiN₃) *via* a Mitsunobu protocol predominantly led to substitution of the *secondary* rather than the *primary* hydroxyl group (e.g. **433**; Scheme 119).¹³⁴² Among the solvents used [THF, dichloromethane, and toluene], toluene gave the best regioselectivity for 1,2-diols. The *ee* of 1,2- and 1,3-diols was essentially unaltered during the course of the reaction. A phosphorane (**434**) mediated pathway, originally suggested by Mathieu-Pelta and Evans,¹³⁴³ was proposed for the observed stereo- and regiochemistry. Application of the same reaction conditions to a 1,4-diol led to the exclusive formation of the cyclic ether rather than the azido product.

The commercially available diphenylphosphoryl azide (DPPA) has been utilized earlier for amination via azide formation. Thus, enantioselective synthesis of (R,R)-solenopsin B was accomplished.¹³⁴⁴ This is perhaps a safer approach than directly using the hydrazoic acid. In a more recent application of this reagent in Mitsunobu azidation, Bringmann et al. have prepared the optically pure azido diether 435 (Scheme 120a) in 86% yield.¹³⁴⁵ In the synthesis of the alkaloid ent-WIN 64821, stereospecific incorporation of two C-N bonds for converting two secondary alcohol functionalities to the corresponding azides with inversion of configuration has been accomplished (Scheme 120b).¹³⁴⁶ The "inverted" diazide 437 thus obtained from 436 was converted to the desired ent-WIN 64821 in several other steps. In a protected 2-aminopyridine system, Silverman and co-workers were able to do the required azidation by remote group protection.¹³⁴⁷ Thus, when the 2-amino group was sufficiently blocked by two Boc groups or a (Boc+benzyl) group, they were able to obtain the normal Mitsunobu reaction of the alcoholic group with inversion (cf. 438, Scheme 120c). When the NH(Boc) was present instead of N(Boc)₂, cyclization involving the pyridine nitrogen of the 2-aminopyridine group took place. This reaction is similar to the one reported earlier by Yasuda et al.¹³⁴⁸ Although the latter was not the intended





reaction, it added to the variety of reactions which the Mitsunobu reagent combination Ph₃P-DEAD could do. This reagent combination along with DPPA has been utilized in the synthesis of (i) HIV-1 protease inhibitors based on acyclic carbohydrates,¹³⁴⁹ (ii) desferrisalmycin B,¹³⁵⁰ (iii) apratoxin A,¹³⁵¹ (iv) the side chain of the aminoglycoside amikacin,¹³⁵² (v) the insecticide (–)-spinosyn A,¹³⁵³ (vi) unnatural β -Lenantiomers of 2-chloroadenine pentofuranonucleoside derivatives,¹³⁵⁴ (vii) 3-azido/4-azido-substituted L-proline,¹³⁵⁵ (viii) dolastatin 10,¹³⁵⁶ (ix) C₂-symmetric chiral 1,4-diamines,¹³⁵⁷ (x) 3-azido-2,3,6-trideoxy-L-hexoses,¹³⁵⁸ (xi) malamycin A analogues,¹³⁵⁹ (xii) (R)-(-)-rolipram (antidepressant),¹³⁶⁰ (xiii) azido-2',3'-dideoxy- β -L-adenosines,¹³⁶¹ (xiv) vancomycin skeleton, 1362 (xv) methoxy-substituted 1-aminotetrahydronaphthalene,¹³⁶³ (xvi) azido-substituted POE-POP₁₅₀₀ resin,¹³⁶⁴ (xvii) chiral azido pyrrolidines,¹³⁶⁵ (xviii) 1-azido-2-(R)-hydroxy-3-phenylbutane, ¹³⁶⁶ (xix) (+)-1-deoxylycorine,¹³⁶⁷ (xx) 5-amino-2-fluorocyclohex-3-enecarboxylic acid (GABA aminotransferase inactivator),1368 and (xxi) (-)slaframine alkaloid,¹³⁶⁹ (xxii) 1-r-(C-4-ethylcyclohexa-2,5dienyl)amine hydrochloride,¹³⁷⁰ (xxiii) 1,3-diamino carboxylic acids,¹³⁷¹ (xxiv) amiclenomycin,¹³⁷² (xxv) γ -amino- β hydroxy acid of hapalosin,1373(xxvi) 2-acetamido-4-amino-2,4,6-trideoxy-D-galactopyranose,¹³⁷⁴ (xxvii) 3-aminocholan-24-oic acid esters,¹³⁷⁵ (xxviii) the dimethyl ester of 1-deoxy-L-idonojirimycin-1-methylenphosphonate, ¹³⁷⁶ (xxix) the hexahydroazepine moiety of (–)-balanol,¹³⁷⁷ (xxx) methyl 3b-azido-5b-cholan-24-oate,¹³⁷⁸ and (xxxi) polyprotected 2-deoxystreptamine (2-DOS) derivatives.¹³⁷⁹

Nicotinoyl azide has also been used instead of DPPA for the conversion of alcohols to azides. 1380

It has been shown earlier that zinc azide along with Ph_3P -DIAD in toluene is effective in the azidation of secondary alcohols.¹³⁸¹ Such a route was utilized in the synthesis of a larger fragment of pamamycin-607 (**439**); however, use of the HN₃ route gave better yields (Scheme 121a).¹³⁸² In another paper by Moravcova et al., it is reported that the reaction of 1,2-*O*-isopropylidene- α -D-xylofuranose via Ph₃P-DIAD/Zn(N₃)₂ (or zinc thiocyanate or zinc *N*,*N*-dimethyldithiacarbamate) led only to cyclic 3,5-anhydro product (**440**) without azidation although use of HN₃ did



 $R = -CH_2(CH_2)_8CH_3$ (447a) -CH₂(CH₂)₇CH=CH₂ (447b)

which they had prepared the thioacetate of methyl α -Dglucopyranoside; only moderate yields were obtained here, probably because of the oxidation of the sulfur nucleophile by the azodicarboxylate.¹³⁹¹

Mitsunobu thioesterification using thioacetic acid has been utilized for the synthesis of amide alkaloids. The cleavage of the acetyl group was accomplished by KOH/MeOH to obtain the thiols.¹³⁹² A similar methodology was employed earlier for the preparation of oxytocin antagonists, but the acetyl group was cleaved by using NaOH.1393 Polchow-Stein and Voss have similarly prepared methyl-1-S-acetyl-1-thio-L-sorbofuranosides with mesylate leaving groups.¹³⁹⁴ In the synthesis of thioester and thiol inhibitors (e.g. 446) of IMP-1 metallo- β -lactamase, a solid-phase reaction was employed (Scheme 123a).¹³⁹⁵ Here, a solid support based on Rapp TentaGel S-NH₂ resin with a mild acid-cleavable HMPB linker was used. The authors noted that this reaction was accelerated if $(4-Cl-C_6H_4)_3P$ in conjunction with an additional base such as *i*-Pr₂NEt was used in place of the traditional Ph₃P. For conversion of ester to thiol, ammonium hydroxide in the presence of dithiothreitol (DTT, to suppress disulfide formation) was employed. Thiobenzoic acid can also be used as the acidic component in these reactions. Removal of the PhC(O)- group can be done by treatment with NaOMe/ MeOH.¹³⁹⁶ Such thiobenzoate esters of steroids (e.g. cortisol, prednisolone) have been synthesized as precursors for potential glucocorticoid receptor imaging agents by Wuest et al. recently.¹³⁹⁷ Two equivalents of Ph₃P-DIAD and

Scheme 122



yield a mixture of azido products 441-442 along with a small quantity of dehydration product **443** (Scheme 121b).¹³⁸³ Use of 1,2-O-isopropylidene- α -D-ribofuranose as a substrate, however, afforded the C^5 -substituted products in decent yields (60-65%). The yields varied depending on the reaction time and the molar ratio of reagents. Zn(N₃)₂(pyr)₂ has been effectively used by Wu et al. to obtain aminoalkylazetidines (after subsequent treatment of the azide with NaBH₄ in the presence of NiCl₂•6H₂O)¹³⁸⁴ and by Wulff and co-workers for the synthesis of allocolchicine.1385 In the latter case, an excellent yield of 92% with inversion at the chiral alcohol center was achieved. Use of NaN₃ for azidation in the synthesis of 2'-modified nucleosides is also reported.1386

6. Sulfur Nucleophiles: C-S Bond Forming Reactions

It has long been known that appropriately activated sulfur nucleophiles will participate in the Mitsunobu reaction with alcohols to lead to thioesters or thioethers with inversion of configuration.¹³⁸⁷ In the preparation of terphenylalkanethiols with different chain lengths, this method was applied to introduce the sulfur functionality leading to 444 (Scheme 122a).¹³⁸⁸ Here a thioacid, which is a better nucleophile than a thiol, was used. Unprotected L-arabinofuranosides, D-ribofuranosides, and D-xylofuranosides could be converted into their corresponding S-acetyl-5-thio derivatives, which were subsequently transformed to 445 (Scheme 122b).¹³⁸⁹ However, unprotected D-glucitol led to 5-O-acetyl-1,4-anhydro-6-thio-D-glucitol in one step by this thio-Mitsunobu reaction.¹³⁹⁰ This study was in continuation of the previous work by the same research group, in



thiobenzoic acid were used to obtain decent yields (75-82%)of the thioesters. In the thioesterification [with MeC(O)SH or PhC(O)SH] of 2-amino-1,3-propanediols, the stereochemistry of the products was dependent upon the substituents on the nitrogen.¹³⁹⁸ The tristhiols 1,1,1-tris(mercaptomethyl)undecane (447a) and 1,1,1-tris(mercaptomethyl)dec-9-ene (447b) were synthesized by starting with the corresponding tris(hydroxymethyl) compounds (Scheme 123b).¹³⁹⁹ These compounds are potential substrates for the monolayer protection of 2D surfaces and nanoparticles. Related chemistry using thioacids has been made use of in the synthesis of (i) 2,5-anhydro-3-azido-2-thio-D-lyxofuranosides and 3,5-anhydro-2-azido-3-thio-D-lyxofuranosides,1400 (ii) highly oxygenated triterpene quassinoids,1401 (iii) anhydro-thiohexofuranosides,¹⁴⁰² (iv) dihydrothiophene derivatives,¹⁴⁰³ (v) (2S,3E)-5-(isopropylsulfanyl)-3-pentenes,¹⁴⁰⁴ (vi) thio-disaccharides,¹⁴⁰⁵ (vii) mercaptopyrrolidines,¹⁴⁰⁶ (viii) 2-oxa-7thiabicyclo[4.2.0]octane derivatives with the D-galacto and D-gulo configurations,¹⁴⁰⁷ (ix) functionalized long-chain etherlinked thiols for use in developing monolayers of gold,¹⁴⁰⁸ (x) (R)-4,4,4-trifuoro-2-mercaptobutyric acid starting from (S)-malic acid,¹⁴⁰⁹ (xi) diastereoisomeric 3-methoxy-2-oxa-6-thiabicyclo[3.2.0]heptan-4-ols,¹⁴¹⁰ (xii) α -acetylsulfanylphosphonates,1411 (xiii) S-adenosyl-L-homocysteine analogues,¹⁴¹² (xiv) 3-mercaptoproline derivatives,¹⁴¹³ (xv) L-methionine/L-homocysteine from the protected homoserine,¹⁴¹⁴ (xvi) allenic monocarboxylates,¹⁴¹⁵ (xvii) C⁵ thioalkynyl nucleosides,1416 (xviii) azole nucleoside 5'monophosphate mimics (PIMs),¹⁴¹⁷ and (xix) anhydrothiohexofuranosides.1418

Another route to thiol from a primary alcohol involved the use of zinc dimethyldithiacarbamate (Ziram) in the Mitsunobu protocol.^{1419,1420} A primary –OH group selectively formed the dithiacarbamate, which was converted to the thiol by treatment with LiAlH₄ (cf. **448**, Scheme 124). Thus, this route offers a way to distinguish between a primary and a secondary alcohol in the transformation of –OH to –SH functionality. Use of Ziram in the preparation of mercaptides has also been reported by Jacobi and co-workers and earlier by Rollin.^{1421,1422}

Thiols as nucleophiles have been exploited for the synthesis of neuroprotective A1 agonists (e.g. **449**, Scheme 125),¹⁴²³ 2-(4-bromocholest-4-en- 3α -ylthio)benzothiazole,¹⁴²⁴ benzothiazolyl sulfides,¹⁴²⁵ saccharidic benzothiazol-2-yl sulfones,¹⁴²⁶ and thiol-modified nucleoside phosphoramidites.¹⁴²⁷ In the last example, the authors used tritylmercaptan as the sulfur nucleophile. There is also a report on the synthesis of *S*-ribosyl-L-homocysteine, but the azodicarboxylate used is not clear.¹⁴²⁸

As one of the key steps for the synthesis of the macrolide (+)-zampanolide, the primary alcohol **450** was treated with 1-phenyl-1H-tetrazolo-5-thiol (PTS-H) and Ph₃P-DEAD in



THF at 0 °C to obtain the tetrazolo sulfide **451** (Scheme 126a).^{289,1429} This reaction nicely illustrates the use of aryl/heteroaryl thiols as nucleophiles. Three recent examples of this type of reaction pertain to the synthesis of (i) cyclindramide subunit **452** by Laschat and co-workers (Scheme 126b),¹⁴³⁰ (ii) (+)-brefeldin A by Trost and Crawley,¹⁴³¹ and (iii) a library of murisolin stereoisomers (as many as 28 isomers) with fluorous phase separation technology (Scheme 126c) by Curran and co-workers.¹⁴³² In the last case, the resulting compound **453** was an intermediate in the synthesis of murisolin isomers. In this work, Mitsunobu esterification also was utilized extensively for inversion of configuration at a secondary alcohol.

As mentioned above, aliphatic thiols generally lack sufficient acidity to participate in Mitsunobu condensation efficiently. Unsymmetrical alkyl thioethers **454** were, however, prepared from aliphatic thiols and unhindered alcohols under modified conditions with Me₃P–ADDP in the presence of 2 equiv of imidazole (Scheme 127).¹⁴³³ Thiophenols also reacted with primary alcohols in the presence of *n*-Bu₃P–ADDP; this reaction was used to synthesize chiral sulfoximines.¹⁴³⁴ In the absence of any alcohol, ω -dithiols reacted with Ph₃P–DIAD and were converted into monomeric and polymeric disulfides.¹⁴³⁵ Ethane-1,2-dithiol gave a polymeric material whereas propane-1,3-dithiol afforded 1,2-dithiolan.

Thioglycosides have been synthesized from 1-thiosugars and a series of alcohols using a Me₃P-ADDP combination (cf. **455**, Scheme 128a).¹⁴³⁶ The conditions were compatible with a large number of functionalities and protecting groups. Similarly, suitably protected L-aspartic acid pentafluorophenyl ester 456 underwent smooth thioetherification with 5-aminopentanol in the presence of Me₃P-ADDP.¹⁴³⁷ The final product, glycosyl amino acid ester 457 (Scheme 128b), could be separated easily because one of the byproducts, $Me_3P(O)$, was removed by aqueous workup. Such a study has been extended for the one-pot preparation of S-glycosyl amino-acid building blocks suitable for automated combinatorial syntheses of highly glycosylated β -peptides that can serve as potential mimics for complex oligosaccharides (e.g. 458) or for studying carbohydrate-protein interactions.¹⁴³⁸ Ichikawa and co-workers have used the combination *n*-Bu₃P–ADDP in the synthesis of a β -*N*-acetylglucosaminyl-1-thio-N-fmoc-serine derivative that was obtained in 53% yield.¹⁴³⁹ In an analogous thioetherification, resin bound thiosugars were employed by Malkinson and Falconer to prepare C-terminal thio-linked glycopeptides.¹⁴⁴⁰ THF as a solvent promoted good solvation of the polymer backbone. With suitable intramolecular -OH and -SH groups at the 1,5-positions, thiacyclization leading to a six-membered ring takes place, as shown by Hashimoto et al. in the synthesis



Scheme 127



Scheme 128



of both α and β forms of 5-thio-D-glucopyranosides.¹⁴⁴¹ Protected lanthionines have been prepared by Tabor and co-workers via a Mitsunobu thioetherification of *N*-trityl-(*R*)-serine allyl ester with fmoc-Cys-O-*tert*-Bu using Me₃P– ADDP in the presence of zinc tartrate as an additional component.¹⁴⁴² A single isomer of the required product was obtained.



 $H \xrightarrow{R} H \xrightarrow{Ph_{3}P+DEAD/CS_{2}} H \xrightarrow{R} \xrightarrow{S} HR'$ $H \xrightarrow{R} H \xrightarrow{R} HR'$ $H \xrightarrow{R} HR'$

Chaturvedi and Ray have reported an efficient one-pot Mitsunobu protocol for the high-yield synthesis of a large number of dithiacarbamates RC–S–C(S)NHR (**459**) starting with alcohols, amines, and carbon disulfide (Scheme 129).¹⁴⁴³ DMSO was the solvent of choice. It was proposed that the initially formed dithiacarbamic acid reacted with MBH betaine followed by reaction with the alcohol to generate the alkoxyphosphonium-dithiacarbamate salt in the intermediate steps. A similar approach has been adapted by the same group for the preparation of carbamates, xanthates (*O*,*S*-dialkyl dithiacarbamates), trithiocarbonates, and substituted ureas.^{1444–1449}

Analogous to the occurrence of O-alkylation when a nucleophile with a NH-C(=O) group is available, Salkylation is possible if NH-C(=S) is present. This was demonstrated by Dallemagne and co-workers in the synthesis of 1,3-thiazine derivatives 460 by starting with thioamides (Scheme 130a).¹⁴⁵⁰ The authors stated that the main problem was the difficulty in separation. By contrast, it is worth noting that only N-cyclization of N-(2-hydroxyethyl)thioureas took place in the solid-phase synthesis of 2-imidazolidinethiones.⁸⁶⁷ Reaction of N-(2-hydroxyethyl)-N'-phenylthioureas under Mitsunobu conditions gave both N- and S-cyclized products.¹⁴⁵¹ Selective sulfur alkylations leading to cyclic thioethers 461 and tricyclic pyrrolo[2,3-d]pyrimidine 462 have also been reported (Scheme 130b and c).1452,1453 A similar S-alkylation has been utilized by Wrona and Zakrzewski for the synthesis of ferocenyl conjugates of cholesterol and stigmasterol.¹⁴⁵⁴ Other applications involving thioether formation involve the synthesis of (i) phosphonylated thiazolines,¹⁴⁵⁵ (ii) thioalkylated pyrimidine nucleosides,¹⁴⁵⁶ (iii) methyl 5'-thio- α -isomaltoside,¹⁴⁵⁷ (iv) curacin A,¹⁴⁵⁸ and (v) 8,2'-S-cyclopurinenucleoside.1459

Firouzabadi and co-workers have reported a selective method using Ph₃P-DEAD and NH₄SCN for conversion of







alcohols/tetrahydropyranyl ethers, thiols, carboxylic acids, silyl ethers, and silyl carboxylates to thiocyanates.^{1460,1461} In this route, NH₄SCN can be construed as a masked source of the nucleophile HSCN. Use of Ph₃P–DEAD/NH₄SCN has also been made in the synthesis of the marine alkaloid (–)-fasicularin (compound **464**, Scheme 131).¹⁴⁶² An interesting rearrangement has taken place while forming **464** from **463**.

7. Carbon Nucleophiles: C–C Bond Forming Reactions

In early work, Mitsunobu and co-workers showed that the -OH group in (*R*)-2-octanol could be displaced with ethyl cyanoacetate using Ph₃P-DEAD, though the product was isolated in low yield with poor enantiomeric purity.¹⁵ Macor and co-workers found that (o-nitroaryl)acetonitriles were also good nucleophiles in the Mitsunobu reaction.⁸⁸ For carbon nucleophiles such as triethyl methanetricarboxylate (TEMT, commercially available) with a reasonably low pK_a value of 7.5, the best results were obtained with the sterically less crowded phosphine Me₃P (2 equiv), giving 85% of the product 465 in a THF-toluene mixture (1:1) at low temperature (Scheme 132a).¹⁴⁶³ No reaction was observed when the sterically crowded phosphine (cyclohexyl)₃P was used in place of Me₃P. Neutral and electron-poor substrates underwent mostly inversion while electron-rich and biaryl systems led to significant racemization. Toluene as the reaction medium helped in some cases to preserve optical purity. In a later paper on the synthesis of cycloalkyl-[b]indoles also, Hillier et al. made a similar observation that the sterically less hindered Me₃P worked better, with the product 466 (Scheme 132b) being obtained in high yield and high enantiomeric excess.¹⁴⁶⁴ They reported that the use of bis(2,2,2-trichloroethyl) azodicarboxylate in place of DEAD provided higher enantiomeric purity. Cravotto et al. had



earlier utilized TEMT for alkylating primary, benzylic, and allylic alcohols to obtain reasonable yields of the products.¹⁴⁶⁵ The same carbon nucleophile was employed by Palmisano and co-workers in the synthesis of the pyrrolizidine alkaloid, (-)-pyrrolam A.¹⁴⁶⁶

Similar to the conversion of -OH to $-N_3$ using metal azides, it is possible to convert -OH to -CN using lithium cyanide or acetone cyanohydrin by a Mitsunobu protocol in the case of primary and unhindered secondary alcohols.^{1467–1469} Either *n*-Bu₃P-TMAD or (cyanomethylene)trimethylphosphorane (CMMP) mediated transformation of primary and secondary alcohols into the corresponding nitriles in the presence of acetone cyanohydrin (source of hydrogen cyanide) has been accomplished (e.g. 467, Scheme 133a).¹⁴⁷⁰ Use of 1.5-3 equiv of reagents gave better yields. In many cases, such as conversion of 3β -cholestanol to 3α -cyanocholestane or for the synthesis of carbasugar derivatives, the CMMP reagent worked better.^{1470,1471} The methylene group in the $-CH_2NO_2$ moiety is also sufficiently activated to undergo an internal Mitsunobu cyclization, as shown by the synthesis of α -nitrocyclopropanes **468** (Scheme 133b).¹⁴⁷²

Morphine (**469**) is an efficient analgesic and has been in use for the treatment of pain associated with cancer. In a recent report on the synthesis of its racemic form, Fukuyama and co-workers have made use of the Mitsunobu reaction in two important steps. One of these is the inversion of configuration at the secondary alcohol **470** (Scheme 134).¹⁴⁷³ More interesting is the reaction using acetone cyanohydrin Me₂C(OH)CN and a primary alcohol, in which the net result is the conversion of an –OH group in **471** to a –CN group in **472**. Use of acetone cyanohydrin has also been reported in the synthesis of (+)-jasplakinolide, a 19-membered cyclic depsipeptide.¹⁴⁷⁴

Chain elongation of the primary alcohol end of saccharides is of chemical as well as biological interest. Such an elongation of the primary alcohol of saccharides (α -D-ribose, α -D-glucose, α -D-mannose) has been done using bis(2,2,2trifluoroethyl)malonate as nucleophile to give **473** (Scheme 135).¹⁴⁷⁵ Use of ADDP instead of DEAD, and a fairly concentrated solution in toluene (0.33 M) rather than in THF,





gave better yields. Similar mono- and dialkylations using the same nucleophile have been reported earlier by Takacs et al.¹⁴⁷⁶ Alkylation of polymer-supported alcohol (polymer)-4-HN(O)C-C₆H₄-CH₂OH with active methylene compounds (EtO₂C)CRR'H [R = H, Me; R' = CO₂Et, C(O)Me, etc.] in the presence of n-Bu₃P-TMAD afforded the desired monoalkylated products (polymer)-4-HN(O)C-C₆H₄-CH₂C(CO₂Et)RR'.¹⁴⁷⁷ Even compounds of type RCH(CN)-SO₂Ph and Meldrum's acid were utilized in similar Calkylation reactions.^{1478,1479} A unique stereoselective monofluoromethylation of primary and secondary alcohols using a sulfonated fluorocarbon nucleophile in Mitsunobu Calkylation has recently been reported by Surya Prakash et al.¹⁴⁸⁰ Here the normal reagent combination of Ph₃P-DEAD worked well and pharmaceutically interesting compounds such as monofluoromethylated Vitamin D₃ (474, Scheme 136a) could be synthesized. The bis(2,2,2-trifluoroethyl)malonate $CH_2(CO_2CH_2CF_3)_2$ also took part in C-alkylation when it reacted with the hydroxyl at the C^6 position, but it underwent O-alkylation when the reaction occurred at the C^1 position of protected mannose. The latter reaction took place via the tautomeric form (F₃CCH₂CO₂)CH=C(OH)-(OCH₂CF₃)₂.¹⁴⁸¹ The Mitsunobu reaction of ortho-substituted phenol derivatives with an optically active benzyl alcohol also leads to C-C bond formation. For example, 2,3,5trimethylphenol reacted with (R)-1-phenylbutan-1-ol under Mitsunobu conditions to afford the ortho-alkylated compound 475 in high enantiomeric purity in yields of 7-40%depending on the solvent. Considering the yield and the enantiomeric purity, toluene was a good solvent (Scheme 136b).¹⁴⁸²

Transannular spirocyclization under Mitsunobu conditions using *n*-Bu₃P–ADDP, leading to a cyclopropane ring via the formation of a new C–C bond, has been utilized in the synthesis of antitumor antibiotic natural product (+)-duocarmycin A (**477**; Scheme 137a).^{1483,1484} It may be noted that the saturated six-membered ring in **476** is replaced by fiveand three-membered rings in **477**. Salaün and co-workers have reported the intramolecular reaction of the allylic Scheme 136



alcohol (6*S*)-**478** in the presence of 2 equiv of Me₃P–DEAD in THF at room temperature, which led to a 73:7 mixture of (*E*)- and (*Z*)-**479** (77% yield; *de* 82%), along with **480** (15%) (Scheme 137b).^{1485,1486} The yield and diastereoselectivity were lower when *n*-Bu₃P was used in place of Me₃P. The traditional phosphine Ph₃P was ineffective.

Another interesting reaction, cyclopropanation (instead of cyclic ether formation), leading to the spiro-cyclopropyl derivative **481** (Scheme 138), has been reported by Coppola.¹⁴⁸⁷ This result was rationalized by tautomerization of the precursor to its 4-keto form, which has a highly acidic proton at the C^3 carbon. Thus, although the cyclopropane ring is more strained compared to five-membered furan rings, the reaction led only to the former in this case.

8. Miscellaneous Reactions

8.1. Halogenation

Halogenation of alcohols can be effected if a metal salt (e.g. lithium halide) is used in addition to Ph₃P-DEAD (Scheme 139a; from ref 1467).^{1467,1488} Although there are several methods for halogenation of alcoholic -OH groups, the Mitsunobu approach may be useful when other sensitive functionalities are present in the substrate. It is also possible to use methyl iodide in conjunction with Ph₃P-DEAD for iodination in some cases (Scheme 139b; from ref 1489).^{1489,1490} Inversion at the chiral center is an important outcome.¹⁴⁸³ This iodination route was used earlier by Dormoy.¹⁴⁹¹ It is likely that the cyclization shown in Scheme 139c, which was facilitated by the additional component ZnCl₂, also occurred via a chlorinated intermediate as shown.¹⁴⁹² Reaction in the absence of ZnCl₂ gave very poor (or none) yield of the cyclization product. The product 484 was an important precursor for the synthesis of the antibacterial agent levofloxacin. For bromination of the primary alcohol groups, the combination Ph₃P-DEAD/CBr₄ has also been used.¹⁴⁹³ Simon et al. prepared 6β -bromo-codeine and morphine derivatives using the precursor codeine and morphine hydrochloride salts as the source for halogen.¹⁴⁹⁴

8.2. Reaction in the Presence of CO₂

Primary alkylamines gave high yields of isocyanates **485** when treated with carbon dioxide and Morrison–Brunn– Huisgen intermediate **15** in dichloromethane (Scheme 140).^{1495,1496} With aromatic amines, depending upon the amine, they gave other products such as carbamoyl hydrazine **487** or/and triazolinone **488** in addition to the isocyanate **486**.







Scheme 139

ΌH



In such cases, use of n-Bu₃P improved the yield of the isocyanates dramatically. Aniline, however, gave mainly carbamoyl hydrazine with either Ph₃P or n-Bu₃P The pathway for the formation of isocyanates is also shown in Scheme 140.

CI

Yield: 75 %

A novel one-step process for the synthesis of *N*-alkyl carbamate esters **489** by mild carboxylation of alkylamines with CO_2 followed by *O*-alkylation with an alcohol has been developed using a Mitsunobu protocol (Scheme 141a).¹⁴⁹⁷ An intermediate of type **490** was proposed for the reaction. 1,2-Aminoalcohols reacted with CO_2/Et_3N under Mitsunobu conditions to lead to 2-oxazolidones **491a–b** (Scheme 141b).¹⁰³ Such a reaction can be considered for the fixation









485 Scheme 141



of CO₂. Since there was a significant change in the product ratios depending upon the phosphine used, it was assumed that there was a change in the mechanistic pathway at the intermediate stages. Dinsmore and Mercer have recently shown that the stereochemical course is dependent on whether the carbamic acid intermediate is *N*-substituted with hydrogen (retention) or carbon (inversion).¹⁴⁹⁸ The best



conditions for the formation of carbamate with normal amino alcohols were found to be (i) to pretreat the amino alcohol with DBU (0.1 equiv)-CO₂ for 45 min and (ii) to use n-Bu₃P and DTBAD (2.1 equiv each).

8.3. Use of Oximes as Nucleophiles

Oximes contain the =N-OH group that can act as the nucleophile in the Mitsunobu reaction. Thus, an intramolecular Mitsunobu procedure was utilized for the synthesis of herbicidal benzoxazines 493 from 2(hydroxyiminomethyl)benzyl alcohols 492 (Scheme 142a).¹⁴⁹⁹ It was surmised that the Z-isomer was the one that reacted. One can use the more readily available N-hydroxylphthalimide as a nucleophile to couple intermolecularly with different alcohols.¹⁵⁰⁰ This reagent was quite useful in the synthesis of many aminooxy acids. The other substrate in these cases was a protected hydroxy acid. Thus, D-PhthN-O-Lys(Boc)-OH (495), a lysine analogue, was prepared from commercially available L-NH(Boc)-Lys(Cbz)-OH (494) (Scheme 142b).¹⁵⁰¹ The hydroxyphthalimide route has been employed to prepare (i) chiral diamides that are useful in conformational studies related to N-O turns in peptides,¹⁵⁰² (ii) N-ethoxy-morpholino-oxime ethers with antifungal properties,¹⁵⁰³ (iii) pyrazolyl propynyl-hydroxylamines that can serve as precursors for isoxazoles,¹⁵⁰⁴ (iv) protected spermidine and spermine oxa-analogues,¹⁵⁰⁵ (v) 2'-O-[2-[(1,3-dihydro-1,3-dioxo-2H-isoindol-2-yl)oxy]ethyl] nucleosides,¹⁵⁰⁶ (vi) N-/Oglycosylated α -aminooxy acids,¹⁵⁰⁷ (vii) chiral β^3 -aminoxy acids or amides,¹⁵⁰⁸ (viii) methylene(methylimino) (MMI) linked oligodeoxyribonucleotide dimers,¹⁵⁰⁹ (ix) allyl hydroxylamine derivatives,¹⁵¹⁰ and (x) α -aminoxy amino acids.¹⁵¹¹ A review on dehydrative glycosylation with 1-hydroxy donors including N-hydroxyphthalimide is also available.¹⁵¹² In place of *N*-hydroxyphthalimide, it was possible to use compound 496, containing a saturated six-membered



ring, to obtain **497**. The latter product was a precursor for trichostatin D (Scheme 142c).¹⁵¹³ The hydroxylamine $Bz[H_2C=CH-C(O)]NOH^{1514}$ and *N*-hydroxy-4-methyl-thiazole-2(3*H*)-thione¹⁵¹⁵ are also nucleophiles that undergo similar *O*-alkylation.

As an alternative to the above, the N-hydroxyphthalimide moiety was anchored to a polymer solid support and then the normal reaction was performed (Scheme 143).¹⁵¹⁶ This study also highlighted the importance of the linker and a specific base effect for the Mitsunobu reaction. The best result was obtained when a spacer was provided between the polymer backbone and the phthalimide residue as in **498**. The Mitsunobu reaction was carried out at room temperature during 24 h in CH₂Cl₂ using 5 equiv of Ph₃P-DIAD/ imidazole to obtain various O-alkyl hydroxylamines (after subsequent methylaminolysis, e.g. 499) with yields in the range 51-94%. In an earlier study, Floyd et al. modified Wang resin using N-hydroxyphthalimide to prepare polymer bound O-hydroxylamine-hydroxamic acids.¹⁵¹⁷ By using this route, tripeptides and sulfonamido hydroxamic acids that could be useful as inhibitors of metalloproteinases were synthesized. 1-Hydroxyimidazole was also easily loaded onto -CH₂OH terminal Wang resin using a *n*-Bu₃P-ADDP reagent system.1518

Salicylhydroxamic acids **500** underwent cyclization to form 1,2-benzisoxazolin-3-ones **501** rather readily under Mitsunobu conditions (Scheme 144a).¹⁵¹⁹ Substituted 1,2benzoxazoles have also been prepared similarly.¹⁵²⁰ A new protocol using the Mitsunobu reaction was used for the synthesis of furazan **502** *via* vicinal dioximes that have pK_a values in the range 8–11 (Scheme 144b).¹⁵²¹ During the course of the reaction, the protecting group was also removed. Thus, these dioximes behave in a manner similar to diols.

8.4. Reaction in the Absence of a Nucleophile

In case a nucleophile is not available, the alcohol itself can react with the azocarboxylate intermediates to lead to





protected hydrazines of type **503** (Scheme 145a).¹⁵²² Also, if the betaine is not able to abstract the proton of the nucleophilic precursor ($pK_a > 13$), it is possible that the hydrazine portion of the reagent may itself react with the alcohol (cf. **504**, Scheme 145b).¹⁵²³ New triazoles (e.g. **505**) may be formed if isocyanates are present (Scheme 145c).¹⁵²⁴

The reaction shown in Scheme 146 leading to pyrazole **506** is an important one because if one uses substrates containing activated alkynes in the Mitsunobu reaction, it is possible that products (such as pyrazoles) other than the expected ones may form by just utilizing the acetylenic functionality.¹⁵²⁵ As can be seen from the reaction, the phosphine can pick up the oxygen from the azodicarboxylate (instead of an alcohol) to form the phosphine oxide.

8.5. Formation of Tetrazoles via Thioamides and Azide

Bis-tetrazole derivatives **507** have been conveniently prepared from *N*,*N'*-ditritylated ω -amino thioamides and trimethylsilyl azide (Scheme 147). Although the corresponding amides reacted, the reaction was sluggish and only thioamides gave better results.¹⁵²⁶ A similar methodology was utilized for the preparation of many other polyamines bearing 1*H*-tetrazol-5-yl units.¹⁵²⁷

8.6. Reactivity toward Carbonyl Compounds

The Morrison-Brunn-Huisgen intermediate **15** showed excellent reactivity toward carbonyl compounds to generate a variety of products (e.g. **508–510**) depending on the substituents present on the carbonyl carbon (Scheme 148).¹⁵²⁸ Although this is not a Mitsunobu reaction, one needs to be aware of this possibility when such functional groups are



present in the substrate. Products analogous to **509** were observed previously by Liu et al. when ketones were treated with tributylphosphine and dimethyl azodicarboxylate in dichloromethane at room temperature.¹⁵²⁹

In the reactions of 2-hydroxybenzaldehydes with the Ph₃P–DTBAD combination, derivatives such as hydrazones **511** are formed as major products rather than the expected alkyl aryl ethers (Scheme 149a).¹⁵³⁰ The reaction of diaryl-1,2-diones with Ph₃P–DEAD afforded *N*,*N*-dicarboethoxy-monohydrazones **512** by a novel *nitrogen to nitrogen migration* of a carboethoxy group (Scheme 149b).¹⁵³¹ It was proposed that this reaction took place via the MBH betaine **15** and the pentacoordinate intermediate **513**. This reaction may be contrasted with that of Otte et al. and Liu et al. wherein other types of products were obtained with aldehydes, ketones, or keto-esters (cf. Scheme 148 above).^{1528,1529}



Scheme 151



8.7. Synthesis of Fluorophosphoranes

In the presence of pyridine \cdot HF, the diffuorophosphoranes, R₃PF₂ (**514**) [R = Ph, *n*-Bu, Me₂N, MeO] have been isolated. These compounds are quite stable and can be isolated in good yields by using sonication (Scheme 150).¹⁵³²

8.8. Alcohol Dehydration

In the synthesis of butenolactone **516**, Schmidt-Leithoff and Brückner used the Mitsunobu dehydration of alcohol **515** (Scheme 151a).¹⁵³³ The driving force here was perhaps the extended conjugation in the product. An analogous water elimination reaction leading to butenolides has also been reported by O'Doherty and co-workers.¹⁵³⁴ Such dehydration (followed by ring closure) has been elegantly utilized by Greshock and Williams in the total synthesis of complex alkaloids such as stephacidin A.¹⁵³⁵ An unusual dehydration/ rearrangement is observed in the Mitsunobu reaction involving kaurene derivatives which was reported by Takeya and co-workers (Scheme 151b).¹⁵³⁶ It may be noted that dehydration occurred in one of the six-membered rings, but the fivemembered ring present in the final compound was different from what was there in the precursor. The best result (yield 58%) was obtained with 2.2 equiv of DEAD, a 0.0058 M concentration of the substrate, and 2.4 equiv of 4-nitrobenzoic acid as a proton source under reflux for 1 h. The bonds that were cleaved/formed are shown by an arrow in the drawing. Compound 517 was characterized by X-ray crystallography. A dehydrative decarboxylation route was used in the preparation of isomers of the anti-androgen cyoctol 518 by Mulzer et al. (Scheme 151c).¹⁵³⁷ Ollp and Brückner have utilized antiselective dehydration of γ -(α -hydroxyalkyl)butenolides under Mitsunobu conditions to obtain γ -alkylidenebutenolides.¹⁵³⁸ A large scale synthesis of optically pure trans-(+)-sobrerol by dehydration was reported by Wang et al.¹⁵³⁹ N-Protected serine methyl esters underwent ready β -elimination rather than azidation with HN₃ under Mitsunobu conditions.¹⁵⁴⁰ Nitro derivatives of nitrilotriacetic acid and ethylenediaminetetraacetic acid were prepared by dehydration of serine derivatives, and subsequent conjugate addition of nitromethane was presented by Meunier et al.¹⁵⁴¹ As shown in Scheme 151d, protective groups have a role to suppress the side reactions (like elimination, cf. compound 519) while synthesizing serine derivatives (e.g. 521).¹⁵⁴² Kobayashi and co-workers have utilized alcohol dehydration as well as Mitsunobu inversion in the total synthesis of khafrefungin.137

8.9. Some Unusual Reactions

 N^3 -Benzyluracil when treated with *N*-hydroxymethylphthalimide in the presence of the Mitsunobu reagent gave an unusual product **522**, bearing a hydrazylmethyl group and or the condensate **523** (Scheme 152),¹⁵⁴³ particularly when TMAD (*N*,*N*,*N'*,*N'*-tetramethylazodicarboxamide) was used in place of DIAD. If the expected Mitsunobu reaction is not feasible due to steric or other reasons, the DEAD may get attached to the acid RC(O)OH to lead to a derivative of the type RC(O)-O-N(CO₂Et)-NH(CO₂Et).¹⁵⁴⁴

The reaction shown in Scheme 153 is a bit peculiar in the sense that a *dehydrogenation* rather than a *dehydration* has occurred to lead to the product **524** (25% yield).¹⁵⁴⁵ The same product was obtained via oxidation of the precursor with lead tetraacetate. The formation of **524** is almost certainly a result of MBH betaine-catalyzed cyclization followed by aerial oxidation during workup. Another interesting reaction is the *in situ* quantitative formation of triphenylphosphinimines from polymer bound 2-aminobenzimidazoles and Ph₃P–DEAD reported by Houghten and co-workers, wherein a simple dehydrogenation had taken place.¹⁵⁴⁶

3-Aminofuran-2-carboxylate esters (e.g. **525**, Scheme 154a) were readily synthesized via the reaction of an α -cyanoketone with ethyl glyoxylate followed by treatment of the vinyl ether so obtained with NaH.¹⁵⁴⁷ In the first step, a tautomeric $-CH_2-C=O$ to -CH=C(OH) shift of the proton may be envisaged prior to the product formation. This method, however, is limited to the synthesis of 5-alkyl-, 5-aryl-, and 4,5-fused bicyclic furans. In another reaction involving the synthesis of a part of the skeleton (**526**, Scheme 154b) of the proteasome inhibitor TMC-95A, a decarboxylative 1,3-elimination step utilizing Mitsunobu conditions was involved and lactonization did not take place.^{1548,1549} This reaction is similar to that shown in Scheme 151c for the formation of **518**.

Evans and co-workers have reported an unusual skeletal rearrangement (Wagner-Meerwein) of the bicyclo[3.1.1]heptanol skeleton under standard Mitsunobu conditions





Scheme 154



Yield: 40% (including a previous step)

(Scheme 155a).¹⁵⁵⁰ A possible pathway for the formation of the product 527 has been proposed by the authors. Reaction of D,L-alcohol with the selone (e.g. 528) chiral derivatizing agent (CDA) via the Mitsunobu reaction has given rise to Se-alkylated adducts (e.g. **529**, Scheme 155b) in yields ranging from 82 to 92%.¹⁵⁵¹ Another novel reaction involved intramolecular N-P and O-P bond formation to give the *N*-apical 1,2- λ^5 -azaphosphetidine **530** with pentacoordinate phosphorus (Scheme 155c) reported by Kawashima et al.¹⁵⁵² A very unusual reaction reported by Hanessian and coworkers involved fragmentation of the sugar-like ring in bafilomycin A 1 and isobafilomycin A 1, leading to an olefinic ester.¹⁵⁵³ There is also a report on the N-alkylation of sulfamides with alkyl bromides using MBH betaine 15 as a mild base.¹⁵⁵⁴ Seth and co-workers have developed a solid-phase synthesis of N-aryl-N'-carboalkoxy guanidines based on Mitsunobu alkylation of resin-bound Fmocguanidines with a variety of alcohols.¹⁵⁵⁵



8.10. Additional Literature

In addition to what has been discussed in the above sections, a search through SciFinder revealed a few more cases wherein the Mitsunobu reaction has been applied. These include the preparation of (i) 2-substituted 2,3-dihydro-4-quinolones by sulfonamide activated N-alkylation,¹⁵⁵⁶ (ii) entecavir,¹⁵⁵⁷ (iii) cyclic peptolides,¹⁵⁵⁸ (iv) benzothiadiazine dioxide,¹⁵⁵⁹ (v) thymine containing pseudopeptides via *N*alkylation by o-Ns activation,¹⁵⁶⁰(vi) anhydro-nucleosides from cyclization of 6-amino-7H-purine-8(9H)-thione,¹⁵⁶¹ (vii) 5-{4-[2-(methyl-p-substituted phenylamino)ethoxy]benzyl}thiazolidine-2,4-diones by O-alkylation (ether formation),¹⁵⁶² (viii) furan containing macrolactones, 1563 (ix) C(1)–C(7) and C(17)-C(28) subunits of didemnaketal A and B, respectively, ^{1564,1565} (x) prenylflavanones, ¹⁵⁶⁶ (xi) boonein from bisbenzyloxymethyl-substituted bicyclo[2.2.1]ketone,¹⁵⁶⁷ (xii) pseudo-aminosugars, (+)-valienamine and (+)-validamine,¹⁵⁶⁸ (xiii) the monomethyl ether of 1,1'-binaphthol as a precursor for poly(meth)acrylates with a pendant 1,1'binaphthyl group,¹⁵⁶⁹ (xiv) esters of syn-diisopropyl-1-bromo-2-hydroxy-2-(*p*-methoxyphenyl)ethylphosphonate,¹⁵⁷⁰ (xv) racemic cyclopent-3-en-1-yl nucleoside analogues,¹⁵⁷¹ (xvi) pregnane ketols,1572 (xvii) aminopropyl phosphonate nucleosides with purine and pyrimidine bases,¹⁵⁷³ (xviii) high glass transition polyimides via etherification for NLO applications,¹⁵⁷⁴ (xix) liquid crystalline aromatic azo compounds (esterification),¹⁵⁷⁵ (xx) peptide nucleic acid monomers based on N-[2-(tert-butoxycarbonylaminomethyl)-trans-4-hydroxy]tetrahydropyrrole acetic acid Me ester,1576 (xxi) chiral liquid crystalline polyacrylates based on L-isoleucine (esterification),1577 (xxii) 20-hydroxyhepoxilins (inversion/rearrangement),¹⁵⁷⁸ (xxiii) α-mercaptomethyl amino acids from α-hydroxymethyl amino acids,¹⁵⁷⁹ (xxiv) β-lactamase hydrolysis resistant penicillin analogues,¹⁵⁸⁰ (xxv) (3*R*)-2'3'-dihydro- β , β -caroten-3-ol,¹⁵⁸¹ (xxvi) deuterium- and tritium-labeled multidrug resistance modulator LY 335979 (etherifcation),¹⁵⁸² (xxvii) acyl glucuronides of *R*-(-)- and (*S*)-(+)-ibuprofen,¹⁵⁸³ (xxviii) 2,3-dideoxy-2,3-epimino and 3,4-dideoxy-3,4-epimino derivatives of 1,6-anhydro- β -D-hexopyranoses,¹⁵⁸⁴ (xxix) azaoxamacrobicyclic ligands,¹⁵⁸⁵ (xxx) tetrahydropyrido[2,1*b*]quinazolin-11-ones,¹⁵⁸⁶ (xxxi) reversed imidazole nucleosides,¹⁵⁸⁷ protected (3-*N*-hydroxyamino-1-alkenyl)phosphonates via BocNHOBoc,¹⁵⁸⁸ (xxxii) poly(etherimide)s with attached NLO moieties,¹⁵⁸⁹ *N*-(β -phenethyl)-*N*-triflylvaline (*N*-alkylation),¹⁵⁹⁰ and (xxxiv) (-)-cladospolide B.¹⁵⁹¹

9. Modification of Mitsunobu Protocol

9.1. Polymer Supports in the Mitsunobu Reaction

The generation of phosphine oxide and hydrazinecarboxylate as byproducts in the Mitsunobu reaction often haunts the synthetic chemist in the isolation of the desired product. Many efforts have been directed toward modifying triphenylphosphine or azodicarboxylate reagents to facilitate isolation and purification of the products. In this context, three types of separation approaches are established with their own limitations: acidic or basic aqueous workup, postreaction sequestration (solution or solid-phase reaction), and polymerassisted phase-switching or solid phase immobilization.^{32,506,1592–1594} Two excellent reviews, one by Dembinski and the other by Dandapani and Curran, have appeared fairly recently.^{33,35} Another review by Nam, Sardari, and Parang gives an overall picture of solid-supported reagents.³² This section primarily deals with polymer-supported reagents/ reactions; several reports are already covered under different sections above. The use of polystyryldiphenylphosphine resin (used in excess) can circumvent the problem of removal of Ph₃PO because the resulting oxide is also anchored to the polymer and thus can be readily filtered off. Reduction of the oxide back to reusable resin can be effected by treating it with trichlorosilane.¹⁵⁹² Polymer-supported triphenylphosphine prepared from brompolystyrene (bead size up to 600 nm) has also been utilized for esterification reactions.^{1595,1596}

Esterification may be conveniently performed on the Wang resin-based solid phase, which may anchor either the reagents or the substrates. This method has been utilized for the preparation of substituted amino acids wherein the -COOH end of the amino acid is first protected by Mitsunobu esterification using a polymer-supported alcohol (Scheme 156a).^{1597,1598} In general, only one of the reagents, phosphine or azodicarboxylate, is polymer bound in most of the applications because of solubility problems. The polymersupported reagents 532-533 are soluble in THF but insoluble in ethyl acetate. The byproducts are also insoluble in ethyl acetate, making the separation process much easier (Scheme 156 (b and c)).¹⁵⁹⁹ Two mole equivalents of each of these reagents were used during the esterification reactions. The authors also reported that their polymer-based phosphine 532 worked better than JandaJel-PPh3 and solid-supported PPh3 reagents.^{1600,1601} A second system wherein both the phosphine and azodicarboxylate are soluble (THF) involves the reagents 534-535 (Scheme 157).¹⁶⁰² Here, the supporting dendritic polymer was prepared by anionic polymerization of glycidol. A chromatography-free protocol in which both the polymeric reagents and byproducts were removed by precipitation cum Scheme 156



filtration to afford high purity esterified products is reported. In another earlier study, Alexandratos and Miller used benzyldiphenylphosphines derived from copolymers of vinylbenzylchloride and styrene. With an increase in the number of unsubstituted phenyl groups and decreasing the number of ligand sites, the conversion of alcohol to benzylbenzoate ester proceeded better.¹⁶⁰³ They proposed that, in such a system, a nonpolar microenvironment could be used to enhance the reactivity of the intermediates and the rate of product formation. Mitsunobu esterification was also readily conducted (with no interference by other groups present) on a secondary alcohol present in *ortho*-disubstituted polyfunctionalized arene chromium dicarbonyl species immobilized onto a solid support, as demonstrated by Rigby and Kondratenko.¹⁶⁰⁴

Zaragoza and Stephensen have reported that fmocprotected amino acids (e.g. phenyl alanine, proline) esterified with Wang resin (1% cross-linked polystyrene with Wang linker) reacted with aliphatic alcohols in the presence of n-Bu₃P-ADDP/*i*-Pr₂NEt (or Et₃N) to yield *O*-alkyl carbamates (cf. Scheme 158) that are suitable for robotic synthesizers.¹⁶⁰⁵ Here the substrate, but not the reagents, was polymerbound. The authors proposed that the reaction proceeded via *O*-alkylation of an intermediate carbamate anion **536**. They also noted that primary alcohols gave good results, but yields using secondary alcohols were not satisfactory. Tertiary



benzylamines could be synthesized readily by first converting the amines to the corresponding ammonium iodides.¹⁶⁰⁶

Peroxisome proliferator-activated receptors (PPARs) have great potential as pharmaceutical targets for many applications. In a preliminary communication, Humphries et al. have disclosed a method for the synthesis of PPAR agonists (e.g. **538**) using the PS-PPh₃-ADDP reagent system (Scheme 159).¹⁶⁰⁷ Here, when DEAD was used in place of ADDP, unwanted substituted hydrazine products were also obtained.

Aromatic hydroxy acids, Ac-Tyr-OH and *N*-(4-hydroxybenzoyl)glycine, were attached to a polymeric solid support, and the phenolic hydroxy groups reacted with a variety of primary and secondary alcohols under Mitsunobu conditions (Ph₃P–DEAD) in THF to give the ethers **539** (Scheme 160a).¹⁶⁰⁸ One more report by the same group also relates to polymer-bound ethers;¹⁶⁰⁹ the "one bead—one compound mix and split strategy" was adapted to combine 20 natural amino acids, 10 aromatic hydroxy acids, and 21 alcohols in the library of 4200 products. Nicolaou and co-workers have also utilized a polymeric backbone for the synthesis of a dodecasaccharide (cf. **540**, Scheme 160b) using the Mitsunobu protocol as a primary step.¹⁶¹⁰ Use of an additional base (i-Pr₂NEt) perhaps had helped in enhancing the yield in this case.

A solid phase synthesis of a number of monoamino and diamino derivatives as potential dual binding site AChE inhibitors was developed by Leonetti et al. (Scheme 161).¹⁶¹¹ The first Mitsunobu reaction was efficiently carried out with Ph₃P-DIAD in THF, whereas the two subsequent reactions, allowing the introduction of an aliphatic spacer linked to a second phenolic moiety and leading to 541, were more efficiently performed using n-Bu₃P-ADDP in CH₂Cl₂. A high-loading soluble star-polymer based on a cyclophosphazene skeleton has been prepared by Reed and Janda.¹⁶¹² They have also demonstrated its use in the synthesis of alkylaryl ethers. Synthesis of a soluble poly(ethylene glycol)supported triphenylphosphine conjugate and its utility in the production of alkyl-aryl ethers were also reported earlier by the same group.¹⁶¹³ The yields were nearly the same as those using the PS-PPh₃ system in most cases.

Although 3-hydroxypyridazine exists predominantly in the oxo form, it does undergo Mitsunobu coupling with polymer bound benzyl alcohols as shown by Salives et al. (Scheme 162).⁵³⁰ Both the *O*-alkylated (542) and the *N*-alkylated (543) products [ratio 2:3] were obtained. With an excess of hydroxypyridazine, complete alkylation of the resin-bound alcohol was achieved in 5 h; use of DEAD in place of DIAD reduced the time required to 2 h. In a different report, an interesting concept based on ionic resin-based purification combined with simultaneous cleavage of the protecting group was recently implemented by Meier and Müller.¹⁶¹⁴ After the normal Mitsunobu etherification reaction, the products (e.g. 544) were caught by adding the ionic resin Bondesil SCX, formic acid, water, and methanol and shaking this mixture for 3 days at room temperature. Subsequent washing with MeOH-toluene and MeOH-water followed by treatment with NH₃-MeOH afforded the products in 79-84% vield.

Barrett et al. achieved a chromatography-free Mitsunobu reaction of an alcohol with a carboxylic acid or phthalimide using PS-PPh₃ and bis(5-norbornenyl-2-methyl) azodicarboxylate (DNAD) (Scheme 163).¹⁵⁹³ The purity of the product **545** so obtained was ~90%. A library of substituted amines, ethers, and esters was readily obtained using a PS-PPh₃-DTBAD reagent system and without using chromatography, by Pelletier and Kincaid.¹⁵⁹⁴ Recently, a polymerbound azodicarboxylate and anthracene tagged phosphine for the Mitsunobu reaction leading to phthalimides, esters, as well as ethers has been reported by Lan et al.¹⁶¹⁵ The authors pointed out that the azodicarboxylate and its corresponding hydrazine product could be readily separated from the desired products by simple filtration.

The coupling of *N*-Boc-L-tyrosine methyl ester with hydroxymethyl polystyrene resin proceeded in practically quantitative yield in the presence of a tertiary amine under Mitsunobu conditions.¹⁶¹⁶ The polymer bound iminodicarbonate (**546**), prepared by the reaction of Wang resin with *N*-(chlorocarbonyl)isocyanate and *tert*-butyl alcohol, acted as a new ammonia equivalent for solid-phase synthesis of primary amines **547** (Scheme 164a).¹⁶¹⁷ Oxime resin supports have been useful in the synthesis of sulfahydantoins. The precursors **548** for these sulfahydantoins were prepared by using Mitsunobu *N*-alkylation (Scheme 164b). It may be noted that the *N*-alkylation occurred only at one of the available nitrogen sites.¹⁶¹⁸



Scheme 162



Scheme 163



The polymer bound versatile amine releasing *N*-Boc-*o*nitrobenzenesulfonamide (Boc-ONBS) **549** has been employed in the synthesis of primary and secondary amines by sequential substitution of the sulfonamide moiety using the Mitsunobu reaction.¹⁶¹⁹ A large number of amines were prepared by using this linker. Thus, in Scheme 165, step (a) led to (poly)-ArSO₂N(CH₂CH₂-2-Np)Boc and then to (poly)-ArSO₂NH(CH₂CH₂-2-Np), which in step (b) underwent further alkylation with 4-O₂N-C₆H₄CH₂OH followed by cleavage of the N–S bond to lead to the product **550**. In step (a), instead of removing the Boc group, the sulfonamide group could also be cleaved, which expanded the scope of the reaction further. Alternatively, removal of both the Boc and sulfonamide groups after the first *N*-alkylation led to primary amines. In a different study, Falkiewicz has shown the utility of Merrifield resin-bound *o*-nitrobenzenesulfo-nylglycine in the synthesis of peptide nucleic acids (PNAs) containing a reduced peptide bond, that involved similar *N*-alkylation.¹⁶²⁰ For subsequent cleavage of the N–S bond, a thiophenol–DBU combination was utilized.

Flynn, Hanson, and their co-workers have developed a capture-ring-opening metathesis polymerization-release (capture-ROMP-release) method for obtaining pure amines and alkylhydrazines and for ring-closing metathesis;^{1621,1622} the same methodology was applied to the synthesis of *O*-alkylhydroxylamines using a chromatography-free protocol.¹⁶²³ The type of polymer system used in this work has been discussed above (cf. Scheme 156b and c).¹⁵⁹⁹ Recently, solid phase synthesis of optically pure *N*-aminodipeptide derivatives using a solid-supported α -hydroxy acid and a free phthaloylated α -*Z*-*N*-aminohydrazide has been reported by Jamart-Grégoire and co-workers.¹⁶²⁴

9.2. Other Modified Reagent Systems (Including Fluorous Reagents)

In one study, Jackson and Routledge tagged crown ethers to phosphines and used the resulting compounds (e.g. **551**) for Mitsunobu etherification.¹⁶²⁵ The purification was effected by post-reaction sequestration onto an ammonium functionalized ArgoPore resin. The yield in the reaction of 7-hydroxycoumarin with benzyl alcohol to lead to 7-benzyloxycoumarin was comparable to that using Ph₃P. In another study, Yoakim et al. reported that the use of 4-(diphenylphosphinyl)benzoic acid 2-(trimethylsilyl)ethyl ester [DP-PBE, **552**, $\delta(P) - 7.1$] greatly facilitated isolation of the desired etherification products.^{1626,1627} The ester linkage on the phosphine reagent was cleaved by tetrabutylammonium fluoride or with trifluoroacetic acid/CH₂Cl₂. A subsequent aqueous base wash greatly facilitated the purification of the product. The authors also reported the ³¹P NMR spectrum



Scheme 165



of the corresponding MBH betaine $[\delta(P) - 41.1]$ that was formed after the addition of DIAD to the phosphine.



In contrast to other esterifications, for benzoylation, the Ph₃P-benzoyl peroxide combination also works well, and it may actually be advantageous, since the hydrazine byproduct is avoided.^{99,300} While this reaction conducted in DMF using L-menthol as the substrate led to retention, the presence of an additional bulky amine such as tert-butylamine favored the inverted product.⁹⁹ Another useful system is the cyanomethylene-tri-n-butylphosphorane 553 (CMBP), developed by Tsunoda and co-workers, which can be considered to be an equivalent of *n*-Bu₃P-DEAD.^{34,57-64,1628} It is prepared by starting with chloroacetonitrile and tributylphosphine. This reagent has been utilized for C-C bond formation even with nucleophiles with $pK_a > 20$ to give compounds of type 555. The substrate 554 has a $pK_a = 23.4$ in DMSO, but the reaction still worked well (Scheme 166a,b).^{61,62} It is possible to utilize this reagent in coupling reactions involving unprotected *p*-toluenesulfonamide also. The corresponding methyl analogue cyanomethylenetrimethylphosphorane (Me₃P=CHCN, CMMP) is another valuable reagent.^{58,63} The only handicap is that this reagent is sensitive to air and moisture, and hence, care has to be exercised in handling. It has been used in the alkylation of primary and secondary alcohols with prenyl and geranyl phenyl sulfone (Scheme 166c, cf. compound **556**).¹⁶²⁹ Excellent yields of the products were obtained. This reagent and geranyl phenyl sulfone were also utilized for the preparation of norfaranal, an analogue of the pheromone produced by Pharaoh's ant. Earlier, CMMP



mediated one pot cyanation of alcohols and efficient alkylation of primary and secondary alcohols with arylmethyl phenyl sulfones were reported by the same group.^{1470,1630}

562:563 = 91:9

Another alternative to using Ph₃P–DEAD is dimethylmalonyltributylphosphorane (DMTP, **557**) [δ (P) 27.8].⁹⁸ This compound can be readily prepared by the reaction of *n*-Bu₃P with dimethyl-2-chloromalonate. It is stable at room temperature under an argon atmosphere. Most of the side products in the esterification, tributylphosphine oxide and dimethylmalonate, can be removed by aqueous base partitioning (0.2 M Na₂CO₃), which simplifies the purification. More importantly, in the esterification of L-menthol, stereochemistry with retention/inversion varied depending on the acid. Thus, while 4-nitrobenzoic acid gave a 95:5 ratio in favor of retention, 2,4,6-trimethylbenzoic acid gave a 0.5: 99.5 ratio in favor of the inverted product (**559**, Scheme 167). The results were rationalized by invoking an alkoxyphosphonium species for inversion and an acyloxyphosphonium intermediate for retention similar to that proposed in normal Mitsunobu esterification.

It can be noted that, in the Mitsunobu reaction, an intermediate alkoxyphosphonium salt (cf. species **18**, Scheme 6) is involved in most of the reactions. Thus, one can think of using phosphonium ions $[R_3POPR_3]^+$ in Mitsunobu type reactions. Hendrickson's reagent $[Ph_3POPPh_3]^{2+}[CF_3SO_3^-]_2$ (**560**) is a compound of that type.¹⁶³¹ *O*-Alkylation of tetronic acids **561** leading to **562–563** was done very efficiently using this reagent (Scheme 168).¹⁶³² The normal Mitsunobu reaction afforded only a hydrazine-substituted product of tetronic acid.

Jenkins and co-workers have developed analogous polymersupported coupling/dehydrating agents **564**–**565**.^{1633,1634} Species **565** was especially useful in ester, amide, anhydride, peptide, ether, and nitrile formation reactions, and it gave high yields. More importantly, this material could be readily recovered and reused several times without loss of efficiency because the product phosphine oxide, upon treatment with triflic anhydride, reverted back to **565**. The tedious step of column chromatography was also avoided because the phosphine oxide was bound to the polymer backbone and easily filtered off. Thus, this reagent offers a lot of scope for further work. In the presence of 4-dimethylaminopyridine (DMAP), esterification of secondary alcohols took place with *retention* of configuration as a result of the formation of the triflate salt of acylated DMAP.



The betaine 566 is another equivalent of the Morrison-Brunn-Huisgen intermediate and can effect Mitsunobu type reactions.¹⁶³⁵ An example of its use in the synthesis of trisubstituted amine 567 is shown in Scheme 169.1636 The products thus obtained could later be converted to amine derivatives. Dahan and Portnoy have employed compound 566 to obtain poly(aryl benzyl ether) dendrimers on core Wang resin support.¹⁶³⁷ Mukaiyama and co-workers used a reaction of the in situ generated Ph2P(OR) with 1,4benzoquinone or 2,6-dimethyl-1,4-benzoquinone to obtain an oxophosphonium zwitterion of type $Ph_2P^+(OR)(OAr-O^-)$ which then reacted with carboxylic acids or phenols to give esters or ethers, respectively.^{1638–1641} The reaction worked with tertiary alcohols also. Dialkyl ethers could be obtained in good to high yields *via* fluoranil, alcohols, and alkoxydiphenylphosphine. Likewise, use of Ph₃P/2,4,4,6-tetrabromo-2,5-cyclohexadienone//Zn(N₃)₂(pyr)₂ in azidation reactions has also been reported.1642

Curran and co-workers have been developing new approaches to circumvent the problem of separation in the Mitsunobu reaction.^{35,829,1643–1647} Two new fluorous reagents,

Scheme 169



 $C_8F_{17}(CH_2)_3O_2CN=NCO_2$ -*tert*-Bu (F-DEAD-2, **568**) and $C_6F_{13}(CH_2)_3O_2CN=NCO_2(CH_2)_3C_6F_{13}$ (F-DEAD-3, **569**), with propylene spacers that help in alleviating the separation problems have been introduced.¹⁶⁴⁴ These were found to be better than $(C_6F_{13}(CH_2)_2O_2CN=NCO_2(CH_2)_2C_6F_{13}$ (F-DEAD-1, **570**) for use with sterically hindered alcohols. They have



also introduced fluorous phosphine like $C_8F_{17}(CH_2)_2C_6H_4$ -PPh₂ (F-TPP, **571**) for use in the Mitsunobu reaction. The byproducts could be separated either by fluorous flash chromatography or fluorous solid-phase separation.^{1644,1645} Other useful phosphines introduced by the same research group are $[RfCH_2CH_2-C_6H_4]_2PPh$ $[Rf = C_6F_{13}$ and C₈F₁₇].¹⁶⁴⁶ A simple chromatography-free fluorous Mitsunobu protocol using a highly fluorinated substituted benzoic acid has also been developed by Dembinski and co-workers.1648,1649 Dobbs and McGregor-Johnson also have independently developed the fluorous reagent 570 and effectively utilized it in the O-alkylation of N-hydroxyphthalimide.¹⁶⁵⁰ Separation of the fluorous byproducts was easily achieved by fluorous liquid extraction, using a perfluoro solvent. Thus, this procedure offered an easy method for the purification of the hydrazine byproduct from the Mitsunobu reaction. Synthetic routes to the reagents 568-569¹⁶⁴⁴ and 570¹⁶⁵⁰ have also been described in detail. For the microwave (MW) assisted esterification of pharmacologically important dihydropyrimidine C^5 acids, Kappe and co-workers employed the fluorous phosphine F-TPP (571) and the fluorous azodicarboxylate **569**.¹⁶⁵¹ The best conditions were THF as solvent, 1.8 equiv each of the alcohol, F-TPP (571), and F-DEAD-3 (569), and microwave irradiation at 110 °C for 10 min for a conversion of $\sim 80\%$ (HPLC). However, it was found that the classical reagent system Ph₃P-DIAD was better than the fluorous one in these cases. Use of the same fluorous phosphine was also reported by Zhang and Lu in the same year for automatic (96 parallel reactions) fluorous solid phase extraction in Mitsunobu esterification/etherification/ N-alkylation.^{1652,1653} In the alkylation of various 2-nitrobenzenesulfonyl-substituted sulfonamides (using PS-PPh₃-DTBAD), use of a fluorous scavenger

Scheme 170

OH Ph₃P+DDQ *n*-Bu₄NCN CH₃CN, rt Yield: 94% 572

 $C_8F_{17}CH_2CH_2CH_2L$ has been reported by Baslé et al. to facilitate the isolation of amine products.¹⁶⁵⁴ A nice review by Zhang and Curran on fluorous solid-phase separation has also appeared recently.¹⁶⁵⁵ The new greener reagent, *t*-C₄F₉O(CH₂)₃-OC(O)-N=N-C(O)O-(CH₂)₃O-*t*-C₄F₉, has also been reported recently by Curran's group.⁸²⁹

Firouzabadi and co-workers have been looking at alternative systems with phosphine as one of the components.^{1656–1658} Thus, they found that 2,3-dichloro-5,6-dicyanobenzoquinone (DDQ), when used in place of DEAD for the conversion of an alcohol or a thiol to a cyano compound (e.g. **572**), was quite effective (Scheme 170).¹⁶⁴⁴ In a competitive reaction, primary alcohols reacted much faster than secondary alcohols. Using a Ph₃P–DDQ combination, it was also possible to convert α -hydroxyphosphonates to α -thiocyanatophosphonates.¹⁶⁵⁷ Facile conversion of alcohol ethers to bromides could also be effected by the same combination along with a bromide source.¹⁶⁵⁸ In a different type of reaction, Zhang et al. used *n*-Bu₃P-ArSeCN to convert an alcoholic –OH to –SeAr (Ar = *o*-nitrophenyl) group in their synthesis of absinthin.¹⁶⁵⁹

10. Patented Literature

A sizable number of patents (>150) subsequent to the year 1996 make use of the Mitsunobu reaction explicitly. Although essentially no new insight into the mechanistic aspects is offered in a majority of cases, the efforts are directed toward the pharmaceutical industry or materials and hence this literature is of practical significance. A large number of applications involve ether formation or *N*-alkylation reactions. In the presentation below, where multistep syntheses are involved, the connectivity resulting from the Mitsunobu reaction is shown by an arrow for the reader's convenience.

10.1. Esterification

A normal Mitsunobu protocol has been utilized in the synthesis of the artemisinin derivative **573** and the tryptophan amide **574**.^{1660,1661} A publication related to dihydroartemisinin has also appeared.²⁴⁹ While former compound **573** is claimed to be particularly effective for the treatment of malaria and neosporosis, the latter compound **574** is useful as a tachny-kinin (NK2) antagonist. Mitsunobu esterification with inversion of configuration at the secondary alcoholic carbon



has been employed in the synthesis of losartan (antihypertensive), calanolide (antiviral), and analogues of leucascandrolide A (antitumor active) derivatives.^{1662–1664} Pertinent



publications on leucascandrolide A have been discussed above.^{173,174,385–387} In the preparation of oligosaccharides conjugated with proteins, esterification of the phosphonates

585

ö

 $Ar = -C_6H_4 - 4 - NO_2$

rt, 2 h

82%

ÔН

584

(R = Boc)

d

586



Scheme 173. (Continued)





was required (Scheme 171).^{407,1665} In these cases, it is claimed that the use of tris(4-chlorophenyl)phosphine and a large excess of triethylamine in place of just triphenylphosphine increased the yield to nearly two-fold. Derivatives of the product **577**, after deprotection, were claimed to be useful as Meningitidis A vaccines. Normal esterification was also employed in the synthesis of (i) ethyl 4-cyano-3-hydroxybutyric acid,¹⁶⁶⁶ (ii) (*S*)- α ,4-dimethyl-2-(4-triffluoromethylphenyl)-5-thiazolemethanol,¹⁶⁶⁷ (iii) intermediates for the preparation of halichondrin B,¹⁶⁶⁸ (iv) hexahydrofurofuranols,^{1669,1670} (v) 12-aryl prostaglandin analogues as antiglaucoma agents,¹⁶⁷¹ and (vi) fluorinated polymers and membranes containing a 4-phenolsulfonic acid residue.¹⁶⁷²

Inversion of configuration at the secondary alcoholic carbon site has been reported in the synthesis of (i) cyclopentenone derivatives 578 (intermediates for prostaglandins),¹⁶⁷³ (ii) hydroxydibenzazepinecarboxamides **579**,¹⁶⁷⁴ (iii) epicoleonol **580**,¹⁶⁷⁵ (iv) (*R*)-propargylic alcohol 581,¹⁶⁷⁶ (v) isoursodeoxychloic acid 582 (a bile acid),¹⁶⁷⁷ (vi) orlistat 583 (a drug designed to treat obesity),¹⁶⁷⁸ (vii) dehydroepiandrosterone derivatives for use in cosmetics,¹⁶⁷⁹ (viii) chiral propargylic alcohol and ester intermediates of himbacine analogues,¹⁶⁸⁰ (ix) paclitaxel, docetaxel, and taxol (partial synthesis), 1681,1682 (x) hydroxyvitamin D, 131,1683 and (xi) *trans*-(+)-sobrerol. 1684 The structures of compounds 578–583 are shown in Chart 7. It is interesting to note that isoursodeoxychloic acid 582 is almost completely converted back to its epimer, ursodeoxychloic acid, in rat livers. Orlistat 583 is claimed to be useful against type II diabetes. With a halide (X) present as $C^{*}(OH)$ -CH₂X, a convenient route to optically pure epoxides 586 has been developed as shown in Scheme 172.¹⁶⁸⁵ Some details on the esterification of thiophosphate salts which were patented earlier are now published and have been discussed already.459,1686

10.2. Ether Formation

10.2.1. Etherification without Cyclization

Numerous reports on etherification on pharmaceutically important compounds exist in the patented literature. The indole carboxylic acid derivatives 588 that are active against hepatitis C virus have been prepared in decent yields (Scheme 173a) with the use of DTBAD in place of the usual DEAD or DIAD.¹⁶⁸⁷ The thienyl derivative **590**, an intermediate in the synthesis of duloxetine hydrochloride 591 (cymbalta; used for major depressive disorder), was prepared by starting with the appropriate chiral secondary alcohol and 1-naphthol (Scheme 173b).¹⁶⁸⁸ This method had the advantage that no racemic product was formed. The pyridyl ethers 592, that are useful in the treatment of Alzheimer's disease, memory loss, or dementia and analgesia, have been obtained via pyridinols as shown in Scheme 173c.¹⁶⁸⁹ The benzooxazinones **593**, that are active as PPAR γ agonists/antagonists, have been prepared by Mitsunobu etherification followed by saponification (Scheme 173d).^{1690,1691} In an agonist intrinsic activity assay for induction of aP2 mRNA production, compound 593 was reported to give a 64.9-fold increase over control. For the synthesis of the diether 594 (Scheme 173e), even with the stronger reagent system n-Bu₃P-ADPP, the yield was only moderate. However, a large number of applications, that included treatment of type I and II diabetes, cardiovascular diseases including atherosclerosis, and hypercholesterolemia, are claimed for this compound.¹⁶⁹² In the synthesis of estrogen receptor modulator 596, Mitsunobu etherification was one of the key steps (Scheme 173f).¹⁶⁹³ Compound **596** bound to ERa and $ER\beta$ human recombinant estrogen receptors in vitro with IC₅₀ values of 107 nM and 1.8 nM, respectively. The tyrosine kinase inhibitor piperidyl-quinazoline derivative 598 was prepared using Mitsunobu etherification as a primary step (Scheme 173g).¹⁶⁹⁴ It showed inhibition against EGFR and erbB2 tyrosine kinases with IC50 values of 3 nM and 59 nM, respectively. Compound 600^{1695} showed PPAR δ whereas derivative 593 showed PPAR γ activity. The PPAR α and PPAR γ activities are also exhibited by the 1,4-dioxyphenyl ether 603, which was prepared by reacting the allyl alcohol 601 with the phenol 602 (Scheme 173i).¹⁶⁹⁶ Looking at the structures of 600 and 603, it appears that molecular modeling could be of some help to have a more active compound for PPAR activity. Compound 604 is claimed to be useful in the treatment of cardiovascular diseases, atherosclerosis, and inflammation.¹⁶⁹⁷ Here, the key connectivity with 5-methyl-1H-pyrazol-3-ol was made via Mitsunobu etherification (Scheme 173j). Starting with a pyridinol and protected phenylalaninol, compound 605 has been prepared in several steps (Scheme 173k);¹⁶⁹⁸ it is claimed to be useful for treating cancer and arthritis. Multisubstituted cyclopentane derivative 606 with an ether linkage to a 2,4-dichlorphenolic residue has been prepared as a therapeutic agent for treating hypertensive conditions. The ether linkage here was provided by a simple Mitsunobu protocol (Scheme 1731).¹⁶⁹⁹ The trifluoromethyl-substituted phenolic ether 607, claimed to be useful for treatment of diseases modulated by $LXR\alpha$ and LXR β agonists, was prepared in two steps by etherification of the appropriate protected phenol with the required alcohol followed by deprotection (Scheme 173m).¹⁷⁰⁰

In addition to the above, there are several other pharmaceutically important derivatives wherein Mitsunobu etherification-connectivity played a key role. Chart 8 shows some of these.^{1701–1746} The bond at which ether formation is effected is shown by an arrow mark in each case. Wherever possible, the pharmacological activity of the compound is indicated. In the synthesis of **628**, the reaction mixture was treated with MgCl₂ and Celite twice and then distilled with isopropanol to remove toluene; no chromatography was used.¹⁷²³ The product was claimed to have no detectable Ph₃P(O). For **633**, diphenyl(2-pyridyl)phosphine (**1**) was used in place of Ph₃P.¹⁷²⁸ In the synthetic route given for **638**,

Chart 8





Pioglitazone (insulin sensitizing agent) ref. 1704



613 (treatment of inflammatory diseases) ref. 1706



Inhibition of erbB2 receptor tyrosine kinase ref. 1707



Treatment of 5-HT2A mediated disorders and platelet aggregation related conditions ref. 1709







ref. 1703



Acid pump antagonistic activity (claimed uses: peptic/gastric/duodenal ulcers hearburn, hypersalivation etc.) ref. 1705



615 (treatment of type II diabetes)

ref. 1708



(oncolytic drug) PLK1 inhibitor/anticancer drug ref. 1710



 $\text{PPAR}\delta$ agonist (treatment of obesity, hyperlipidemia) ref. 1712



Pest control agent ref. 1715

Chart 8. (Continued)



Chart 8. (Continued)




the phosphine appears to be missing in the reaction sequence.¹⁷³³ In the case of **641**, unprotected D-glucose was used with methyl cyanide as the solvent.¹⁷³⁶ Details on patented compounds of type 648 (compound 236 above, R = R' = H) have been published as full papers.^{755,757} Other patents that make use of the simple Mitsunobu etherification pertain to (i) polyimides from 3,6-dialkyloxypyromellitic dianhydrides,1747 (ii) 3,3'-bis((4-R-4-stilbenyl)oxyalkyloxy)biphenyl-4,4'-diamine (R = Cl, CN, etc.) for liquid crystal applications,¹⁷⁴⁸ (iii) 2,3'-anhydro-5'-O-tert-pentanol deoxythymidine,¹⁷⁴⁹ (iv) antimitotic agents,¹⁷⁵⁰ (v) 3-indolyl-4-phenyl-1H-pyrrole-2,5-dione derivatives,¹⁷⁵¹ (vi) solid support based on selenium useful in solid phase reactions,¹⁷⁵² (vii) fluoxetine,¹⁷⁵³ (viii) acyclic hydrazides for use as cannabinoid receptor modulators,¹⁷⁵⁴ (ix) 1H-quinolin-2-one derivatives as antagonists of gonadotropin releasing hormone (GnRH),¹⁷⁵⁵ (x) indanyloxy-substituted pyridine derivatives and analogues, useful as phosphodiesterase inhibitors,¹⁷⁵⁶ (xi) benzoxazinone and benzopyrimidinone piperidinyl tocolytic oxytocin receptor antagonists,¹⁷⁵⁷ (xii) dihalopropene compounds as insecticides/acaricides, and intermediates for their production,¹⁷⁵⁸ (xiii) cyclic guanidine derivatives for treatment of thromboembolic disorders,¹⁷⁵⁹ (xiv) tricyclic spiro

Scheme 174

compounds (5-HT1D receptor antagonists),¹⁷⁶⁰ (xv) bridged biaromatic and triaromatic ring compounds,¹⁷⁶¹ (xvi) heterocyclic ethers as neuronal nicotinic receptor ligands,¹⁷⁶² (xvii) 5-[(4-piperidinyl)methoxy]-2-indolecarbonyl-2(S)-phenylsulfonylamino- β -alanine as a fibrinogen receptor antagonist,¹⁷⁶³ (xviii) oxazolylethyltyrosine and oxazolylethoxyarylserine derivatives as hypoglycemic and hypolipidemic agents,¹⁷⁶⁴ (xix) phenalkyloxy phenyl derivatives for use in conditions associated with insulin resistance,¹⁷⁶⁵ (xx) ami-noindazole derivatives active as kinase inhibitors,¹⁷⁶⁶ (xxi) 11a-aza-11a-homoerythromycin compounds as antibacterial agents,¹⁷⁶⁷ (xxii) substituted 3-phenyl-2-alkoxypropanoic acids and analogues as modulators of peroxisome proliferator activated receptors,¹⁷⁶⁸ (xxiii) phenylalkanoic acid derivatives as preventive or remedial agents for digestive tract diseases,¹⁷⁶⁹ (xxiv) aza-ring derivatives and their use as monoamine neurotransmitter re-uptake inhibitors,¹⁷⁷⁰ (xxv) substituted 3-phenylpropionic acid derivatives with PPARa and PPAR δ modulatory activities,¹⁷⁷¹ (xxvi) 4-substituted pyrrolidine-2-carboxylic acid derivatives,¹⁷⁷² (xxvii) 3-aryl-3-heteroaryloxy-1-propanamine derivatives useful as serotonin and norepinephrine re-uptake inhibitors,¹⁷⁷³ (xxviii) prolines/proline analogues for preventing neuropathic pain and as acromelic acid analogues/allodynia inducers,^{1774,1775} (xxix) triazolyl thiophenecarboxamides as inhibitors of pololike kinases,¹⁷⁷⁶ (xxx) retinoid-based polyunsaturated com-pounds,¹⁷⁷⁷ (xxxi) oxazoles and thiazoles as PPAR modulators,¹⁷⁷⁸ and (xxxii) duloxetine (using HF elimination from 1-fluoronaphthalene).¹⁷⁷⁹ An interesting class of polyimide derivatives, 651-652, useful in nematic liquid crystal devices, with chromophores attached via ether linkages by the Mitsunobu reaction, has also been patented.¹⁷⁸⁰ Synthesis of a nonlinear optical (NLO) polymer using a similar reaction is also claimed.1781



Scheme 175



10.2.2. Etherification with Cyclization

The flavone *C*-glycoside, an antiallergy agent, was prepared by a novel cyclization reaction as shown in Scheme 174a;¹⁷⁸² a related publication has also appeared.⁷⁰⁹ A more active reagent system, *n*-Bu₃P–TMAD, was utilized for this purpose. In the cyclization shown in Scheme 174b, tautomerization of NHC(O) to N=C(OH) could precede oxazolyl ring formation. These compounds (e.g. **655**) have been claimed to be good protein kinase inhibitors;¹⁷⁸³ intermediates of type **654** (cf. species **251**) have already been mentioned above.⁷⁷⁴ This type of cyclization is quite common, and two more examples, **656–657**, are depicted in Scheme 174c.^{1784,1785} Compound **656** is an inhibitor of steroid sulfatase and is claimed to be useful against acne, seborrhea, androgen-dependent cancer, inflammatory or autoimmune diseases, etc.¹⁷⁸⁴

As mentioned above, (+)-calanolide A (**658**) is a potent HIV reverse transcriptase inhibitor. This compound and its analogues have been claimed to be useful in treating tuberculosis. Mitsunobu ether formation cum cyclization prior to reduction of a keto group was one of the key steps in the synthetic strategy, as shown in Scheme 175a,^{1786–1789} related work has been published earlier.^{732–734} The macrocyclization in the case of **659** was also accomplished by a Mitsunobu etherification as shown in Scheme 175b.¹⁷⁹⁰ Some of these cyclized peptide derivatives are inhibitors of matrix metalloproteinases and tumor necrosis factor α secretion. Species of type **660** are glucocorticoid receptor agents; their single biaryl atropisomers were readily prepared in high yields with high stereoselectivity via a

Scheme 176



Mitsunobu procedure (Scheme 175c).¹⁷⁹¹ Asymmetric dihydrobenzofuran derivative **661** has been obtained through internal cyclization in good yields (76%) as shown in Scheme 175d.¹⁷⁹² Derivatives of this compound are claimed to be useful for treating schizophrenia, psychotic disorder, etc. Several indole-based multiring compounds as inhibitors of HCV replication have been prepared recently through a multistep procedure. In some of these, a seven-membered ring containing both nitrogen and oxygen have been generated via Mitsunobu etherification.^{1793,1794}

The cyclization shown in Scheme 176a for the synthesis of NK-1 receptor antagonist **662** is slightly different from previous ones in the sense that no phenolic –OH is involved.^{1795,1796} Citalopram and escitalopram (**663**, Scheme 176b) are known active ingredients commonly used for



the treatment of depression. Both of these have been prepared via Mitsunobu cyclization.¹⁷⁹⁷ In particular, it has been claimed that this process is particularly advantageous for escitalopram, since it permits cyclization of the diol with high stereoselectivity. The preferred molar ratio of the phosphine, DEAD, and the substrate was 3:5.3:1 in the presence of the strong base sodium *tert*-butylate with THF as the reaction medium (60% yield). Here also, no phenolic -OH was involved in the cyclization process. Other patents wherein cyclization is involved are (i) NK-1 receptor antagonists for treating sexual dysfunction¹⁷⁹⁸ and (ii) isoflavan/isoflavene derivatives.¹⁷⁹⁹

10.3. N-Alkylation

In the synthesis of aminomethylpyridine derivatives (e.g. 664) that are useful as CB1 receptor antagonists, Nalkylation via phthalimide and a benzylic alcohol was utilized (Scheme 177a).¹⁸⁰⁰ The phthalimide residue was subsequently removed by hydrazine hydrate in most cases. Compound 664 was shown to have very good in vitro affinity for the cannabinoid CB1 receptor, with $IC_{50} \leq 5 \times 10^{-7}$ M, and claimed uses include treatment or prevention of appetite disorders, metabolic disorders, gastrointestinal diseases, inflammatory phenomena, immune system disorders, psychotic disorders, etc. Introduction of nitrogen by means of phthalimide was again a key step in the synthesis of the imidazo-pyrazine 665, a tyrosine kinase inhibitor (Scheme 177b).¹⁸⁰¹ Compounds **666–670** have also been synthesized via phthalimide, 1802-1806 but in the case of 671^{1807} the species of interest was the N-substituted phthalimide itself (Chart 9). Compound 670 was a precursor to other *trans*-cyclohexane derivatives that were intermediates for VLA-inhibitors.¹⁸⁰⁶ This method of converting –OH to an –NH₂ group was found to be quite convenient and hence was adapted for synthesizing oligoamines that were useful as antineoplastic and anticancer agents.¹⁸⁰⁸ A synthetic route to ultrabroad-



Tirosine kinase inhibitor



spectrum injectable antibiotic doripenem **671** involved this inexpensive phthalimide protocol with no necessity for column chromatography purification.¹⁸⁰⁷

As discussed in the earlier sections, the amino nitrogen can be activated by having a sulfonyl group attached to it. Thus, optically pure **672** (Scheme 178) has been obtained.¹⁸⁰⁹ Interestingly, many such sulfonamides themselves are of significant pharmaceutical interest. Chart 10 shows compounds **673–678**, wherein *N*-alkylation has been





performed. These were intermediates for doripenem (doribax), 1810 dorzolamide, 1811 substituted azetidines, 1812 and phenylsulfonamides (the OSiR₃ group was later replaced by a OC(O)NR₂ group),¹⁸¹³ respectively. Compound 675 was obtained in a decent yield (45%) without performing chromatography. In the synthesis of the target sulfanilide 677, Mitsunobu N-alkylation was a key step.¹⁸¹⁴ A large number of (poly)azanaphthalenyl carboxamides of type 676 as HIV integrase inhibitors have been prepared by starting with Me-N-[(4-methylphenyl)sulfonyl]glycinate and related compounds.¹⁸¹⁵ A solid-supported synthesis of sulfonated 2-oxopiperazines 679, shown in Scheme 179a, involved an initial Mitsunobu alkylation.¹⁸¹⁶ Trifluoroacetamide NH appears to be more reactive than sulfonamide, as exemplified by the synthesis of the indole derivative 680, which is claimed to be useful as a psychotherapeutic agent (Scheme 179b).¹⁸¹⁷ In one case, bis(tert-butoxycarbonyl) imide (Boc)₂NH was used as the nitrogen source to build an oligomeric peptide nucleic acid (PNA) combinatorial library.1818,1819 In another, PhOCONHOCO₂Ph was utilized for the synthesis of a hydroxamic acid derivative of cyclohexygenase-2 and 5-lipoxygenase inhibitors (681, anti-inflammatory agent).^{1820,1821}

The ring NH moiety in purines, pyrimidines, pyrimidones, imidazoles, etc. is amenable to Mitsunobu *N*-alkylation. Five reactions of this type leading to compounds **682–686** are shown in Scheme 180.^{1822–1826} Chart





 Enzyme COX-1
 53.5μM

 Enzyme COX-2
 6.6μM

 Enzyme 5-LO
 12.3μM

11 presents a few more compounds (687-691) where this type of N-alkylation has been utilized.¹⁸²⁷⁻¹⁸³¹ In the example leading to 690, though, the cyclic 1,4-diamino precursor itself was sufficiently reactive. Finally, one patent dealing with the synthesis of carbamate esters using the Mitsunobu protocol has been recently awarded.¹⁸³² This type of reaction has been described in section 8 above. Other examples of N-alkylation involve the synthesis of (i) taxane intermediates, ¹⁸³³ (ii) substituted purine heterocyclics, ^{1834–1836} (iii) 5'-nor-1-homo-N-carbonucleosides as antiviral and antitumor agents,¹⁸³⁷ (iv) N^3 -aminoalkyl derivatives of (Z)-5-arylidenehydantoin,¹⁸³⁸ (v) tetrahydrocarbazole and cyclopentanoindole derivatives as antagonists of the prostaglandin D2 receptor,¹⁸³⁹ (vi) N-(3-amino-2-hydroxypropyl)benzenesulfonamide derivatives as GlyT1 transporter inhibitors,¹⁸⁴⁰ and (vii) polymer conjugates of therapeutic agents and food additives.1841

Intramolecular *N*-alkylation has led to the formation of many important cyclic amines such as **692–695** (Scheme 181).^{1842–1847} In compound **692** (claimed to be anticonvulsant), a new benzodiazepine ring was generated via the hydrazino residue.^{1842–1844} Rings of several sizes could be generated employing this approach. Chemistry analo-

Scheme 180



gous to that for the synthesis of 693 has been published.^{1083,1084}

As mentioned earlier, the nucleophilic partner in the Mitsunobu reaction can be HN_3 (via Me_3SiN_3). Azidation using this nucleophile and subsequent reactions can be done on a NHC(O) group that can tautomerize to N=C(OH). This is shown in Scheme 182, wherein a formation of tetrazole is shown;¹⁸⁴⁸ a similar cyclization with the NHC(S) group is discussed above.¹⁵²⁶ These reactions probably involve 1,3-dipolar cycloaddition of Me_3SiN_3 to an intermediate nitrilium ion. The final target molecule, phosphonate **696**, is an ECE inhibitor. Relatively speaking, compared to C–O, C–N, or C–S bonds, C–C bond formation by the Mitsunobu protocol is difficult. In one such rare example, a solid-supported reagent of the type RNHCOC₆H₄(CH₂OH)-4 (R = resin) was condensed with diethyl malonate (in solution) in the presence of Ph₃P/

 $Me_2NCON=NCONMe_2$.¹⁸⁴⁹ Subsequent cleavage of the resin led to 4-(H₂NCO)C₆H₄CH₂CH(CO₂Et)₂.

10.4. Other Reactions

As discussed in section 8, the Mitsunobu protocol offers a simple route to thiols from alcohols. Thus, the LTA4 hydrolase-inhibiting compound **698** ($K_i = 62$ nM) was synthesized by esterifying the precursor alcohol **697** with thioacetic acid followed by hydrolysis (Scheme 183a).¹⁸⁵⁰ In the synthesis of mercaptobenzene sulfonamide **699** (Scheme 183b; claimed uses: rheumatoid arthritis, osteoarthritis, tumor metastasis)¹⁸⁵¹ and *N*-(mercaptoethyl) amino acid derivative **700** (active against neutral endopeptidase),¹⁸⁵² a similar thioacylation was utilized. This *S*-alkylation reaction may be contrasted with that for the thiophosphate salt shown in Scheme 36 (compound **147** above) where *O*-alkylation

Chart 11



was reported. In the preparation of thioethers **701** and **702**, the unprotected amino functionalities did not interfere in the process.^{1853,1854} Mercaptans such as **703** that are intermediates for antibacterial agents have also been synthesized using similar procedures.¹⁸⁵⁵

Parts of the patents on three of the phosphine modifications, by Charette and co-workers, 50-52 Tavonekham and co-workers (compound **536** above), 1626,1627 and Curran's group, have been published 1643-1647 and hence are not discussed further.

11. Summary and Outlook

As mentioned in the Introduction, the Mitsunobu reaction, along with its modifications, has been versatile and applicable for a variety of organic transformations. The ease with which

Scheme 181

stereochemistry at the chiral secondary alcohol can be reversed is a valuable asset. The large number of publications, particularly in the area of nucleobase alkylation, reflects its popularity, mainly as a result of the mild conditions employed during the reaction. It is expected that this aspect is going to be much sought after, particularly by the pharmaceutical industry. Synthetic chemists tend to rely on its various facets, be it natural product synthesis or nucleobase alkylation or etherifcation. As far as the future of this reaction is concerned, an efficient and inexpensive way to recycle the reagents or to make the reaction fully catalytic is desirable. Another aspect worth looking into would be to synthesize a water soluble but degradable phosphine/phosphite of low toxicity, to simplify the purification process. The aforesaid points become important if we desire to employ the Mitsunobu route for large scale synthesis. Recoverable polymer bound phosphonium salts of type 565 that avoid the wastage of phosphine as well as azodicarboxylate, and hence make the system more atom economical and greener, also need to be considered in the future. Finally, although a major part of the mechanistic pathways is fairly well understood, the complexity of the initial steps when different P^{III} precursors are used is intriguing. This could also be a fruitful area for a physical organic chemist to probe in detail.

12. Note Added in Proof

Since the number of articles htat appeared after the first submission of this review is large (>100), inclusion of these would require another review process, and hence, these are not added. Also, despite our (unbiased) attemt to be as comprehensive as possible in this review utilizing *SciFinder* search, we might have missed some articles.



Scheme 182



Scheme 183





13. Abbreviations Used in This Review

ADDP 1,1'-(azodicarbonyl)dipiperidine Bn benzyl

Boc	<i>tert</i> -butoxycarbonyl
Bz	benzoyl
Cbz	benzyloxycarbonyl
CMBP	(cyanomethylene)tributylphosphorane
CMMP	(cyanomethylene)trimethylphosphorane
<i>m</i> -CPBA	<i>m</i> -chloroperbenzoic acid
DAST	diethylaminosulfur trifluoride
DBU	1,8-diazabicyclo[5.4.0]undec-7-ene
DCAD	di- <i>p</i> -chlorobenzyl azodicarboxylate
DCC	N,N -dicyclohexylcarbodiimide
DDQ	5,6-dicyanobenzoquinone
DEAD	diethyl azodicarboxylate
DEPC	4.7 dimethyl 2.5.7 heyebydre 1.2.4.7 tetrozogin
DHID	4,/-dimetryi-5,5,/-nexanydro-1,2,4,/-tetrazociii- 3.8-dione
DIAD	disopropyl azodicarboxylate
DMAP	dimethylaminopyridine
DMB	dimethoxybenzyl
DMEAD	di-2-methoxyethyl azodicarboxylate
DMSO	dimethyl sulfoxide
DMTP	dimethylmalonyltributylphosphorane
DMTr	dimethoxytrityl
DNAD	bis(5-norbornenyl-2-methyl) azodicarboxylate
DPPA	diphenylphosphoryl azide
DPPE	1,2-diphenylphosphinoethane
DTBAD	di-tert-butyl azodicarboxylate
DTT	dithiothreitol
EDCI	N-(3-dimethylaminopropyl)-N-ethylcarbodiimide
fmoc	9-fluorenylmethoxycarbonyl
HMPB	4-hydroxymethyl-3-methoxyphenoxybutyric acid
MOM	methoxymethyl
Ms	methanesulfonamide
NBSH	o-nitrobenzenesulfonylhydrazine
NMP	<i>N</i> -methylpyrrolidinone
o-Ns	o-nitrobenzenesulfonyl
p-Ns	<i>p</i> -nitrobenzenesulfonyl
PhF	phenylfluorenyl
PMB	<i>p</i> -metnoxybenzyl
PINC	2,2,5,7,8-pentamethylchroman-o-suitonyl
SES TDAE	tetrabutylammonium fluorida
TRDMS	terrt-butylaminomum muonde
TRDPS	<i>tert</i> -butyldinbeylsilyl
TRP	tri- <i>n</i> -butylphosphine
TBS	<i>tert</i> -butyldimethylsilyl
TEA	triethylamine
TEMT	triethylmethanetricarboxylate
TES	triethylsilyl
TFA	trifluoroacetic acid
THP	tetrahydropyranyl
Thy	thyminyl residue
TIPS	triisopropylsilyl
TMAD	N, N, N', N'-tetramethyl azodicarboxamide
TMBMS	trimethyl(2,6-dibromo-4-(<i>N</i> -maleimido)phen- oxy)silane
TMS	trimethylsilyl
Troc	N-2,2,2-trichloroethoxycarbonyl
Ts	<i>p</i> -toluenesulfonyl
Ziram [@]	N.N-dimethyldithiacarbamate [(Me ₂ NCS ₂) ₂ Zn]

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15. Supporting Information Available

Tables containing structures of additional compounds (with references cited in the main text) wherein the Mitsunobu reaction is utilized (Tables S1–S9; 67 pages). This information is available free of charge via the internet at http://pubs.acs.org/.

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